KEY INFORMATION MEMORANDUM (KIM)

KOTAK FIXED MATURITY PLAN SERIES 336

A closed ended debt scheme with maturity of 125 days.
A relatively low interest rate risk and moderate Credit Risk.

BSE Symbol - To be obtained at the time of scheme launch

Offer for Units of ₹ 10 each for cash during the New Fund Offer

New Fund Offer Opens on: November 02, 2026 **New Fund Offer Closes on:** November 04, 2026

6th Floor, Kotak Infinity,
Building No. 21, Infinity Park,
Off. Western Express Highway,
Gen.A.K. Vaidya Marg, Malad (E)
Mumbai - 400 097.
☎ 1800 309 1490 (Tollfree)
www.kotakmf.com

This product is suitable for investors who are seeking*	Scheme Risk-o-meter*	Benchmark Risk-o-meter
• Income over a short term investment horizon • Investment in debt & money market securities	 The risk of the scheme is Moderate	 The risk of the benchmark Moderate NIFTY Ultra Short Duration Debt Index

* Investors should consult their financial advisers if in doubt whether the product is suitable for them
(The product labelling assigned during the New Fund Offer is based on internal assessment of the Scheme Characteristics or model portfolio and the same may vary post NFO when actual investments are made).

Potential Risk Class ("PRC") Matrix of the Scheme

Credit Risk ▶ / Interest Rate Risk ▼	Relatively Low (Class A)	Moderate (Class B)	Relatively High (Class C)
Relatively Low		B-I	
Moderate			
Relatively High			

This Key Information Memorandum (KIM) sets forth the information, which a prospective investor ought to know before investing. **For further details of the scheme/Mutual Fund, due diligence certificate by the AMC, Key Personnel, investors' rights & services, risk factors, penalties & pending litigations etc. investors should, before investment, refer to the Scheme Information Document and Statement of Additional Information available free of cost at any of the Investor Service Centres or distributors or from the website www.kotakmf.com.**

The Scheme particulars have been prepared in accordance with Securities and Exchange Board of India (Mutual Funds) Regulations 2026, as amended till date, and filed with Securities and Exchange Board of India (SEBI). The units being offered for public subscription have not been approved or disapproved by SEBI, nor has SEBI certified the accuracy or adequacy of this KIM.

This Key Information Memorandum is dated October 26, 2026.

Scheme Code

KOTM/C/D/FTP/26/08/0176

Investment Objective

The investment objective of the Scheme is to generate income by investing in debt and money market securities, maturing on or before the maturity of the scheme.

There is no assurance that the investment objective of the Scheme will be achieved.

Asset Allocation Pattern of the scheme

The asset allocation under the Scheme, under normal circumstances, will be as follows:

Particulars	Indicative allocations (% of total assets)	
	Minimum	Maximum
Debt and Money Market Instruments including Government Securities	0	100

Towards maturity when residual maturity of the scheme is 30 days or lower, there may be higher allocation to money market instruments & cash and cash equivalents under the scheme.

Pursuant to Para 8.5 and 13.15 of SEBI Master Circular No. HO/24/13/11(1)2026-IMD-POD-1/I/7602/2026, dated March 20, 2026, as may be amended from time to time, the Scheme may take derivatives position up to 50% of the net assets of the Scheme, based on the opportunities available subject to the guidelines issued by SEBI from time to time and in line with the investment objective of the Scheme.

As per para 13.18.1 of SEBI Master Circular No. HO/24/13/11(1)2026-IMD-POD-1/I/7602/2026, dated March 20, 2026, the cumulative gross exposure through debt, money market instruments, derivatives positions, repo transactions in corporate debt securities and other permitted securities/assets and such other securities/assets as may be permitted by the Board from time to time shall not exceed 100% of net assets of the Scheme.

Pursuant to Para 13.8 of the SEBI Master Circular No. HO/24/13/11(1)2026-IMD-POD-1/I/7602/2026, dated March 20, 2026, the scheme may invest in repo in corporate debt securities.

The gross exposure of the scheme to repo transactions in corporate debt securities shall not be more than 10 % of the net assets of the scheme.

The scheme may invest in securitized debt upto 50% of the net assets of the scheme in accordance with Para 13.1 of the SEBI Master Circular No. HO/24/13/11(1)2026-IMD-POD-1/I/7602/2026, dated March 20, 2026.

Investment in debt instruments having structured obligations (SO) / credit enhancements (CE) as per Para 13.1 of SEBI Master Circular No. HO/24/13/11(1)2026-IMD-POD-1/I/7602/2026, dated March 20, 2026:

The investment of the Scheme in the following instruments shall not exceed 10% of the debt portfolio of the Scheme and the group exposure in such instruments shall not exceed 5% of the debt portfolio of the Scheme:-

- Unsupported rating of debt instruments (i.e. without factoring-in credit enhancements) is below investment grade; and –
- Supported rating of debt instruments (i.e. after factoring-in credit enhancement) is above investment grade

Pursuant to Para 13.18.6 of SEBI Master Circular no. HO/24/13/11(1)2026-IMD-POD-1/I/7602/2026 dated March 20, 2026 and SEBI Letter to AMFI dated November 03, 2021, Cash or cash equivalents with residual maturity of less than 91 days may be treated as not creating any exposure. Cash Equivalent shall consist of the following securities having residual maturity of less than 91 days:

a) Government Securities;

b) T-Bills; and

c) Repo on Government securities.

The Scheme does not intend to invest in:

- Securities lending and borrowing
- Overseas Securities
- Units of Infrastructure Investment Trusts (InvITs).
- Debt instruments with special features as referred to in Para 10.4, 5.5.3, 13.1 of SEBI Master Circular no. HO/24/13/11(1)2026-IMD-POD-1/I/7602/2026 dated March 20, 2026
- Units of Mutual Fund Schemes

Apart from the investment restrictions prescribed under SEBI (MF) Regulations, the Fund follows certain internal norms vis-à-vis limiting exposure to a particular issuer or sector, etc within the mentioned restrictions, and these are subject to review from time to time.

Indicative Table (Actual instrument/percentages may vary subject to applicable SEBI circulars)

Sr. No.	Type of Instrument	Percentage of exposure (Maximum)	Circular references*
1.	Securitized Debt	The scheme may invest in securitized debt upto 50% of the net assets of the scheme	Clause 13.1 of SEBI Master Circular No. HO/24/13/11(1)2026-IMD-POD-1/I/7602/2026 dated March 20, 2026

Sr. No.	Type of Instrument	Percentage of exposure (Maximum)	Circular references*
2.	Fixed Income Derivatives for Heding Purposes & Portfolio Rebalancing	The Scheme may take derivatives position up to 50% of the net assets of the Scheme.	Para 8.5 and 13.15 of SEBI Master Circular No. HO/24/13/11(1)2026-IMD-POD-1/I/7602/2026 dated March 20, 2026
3.	Repo in corporate debt securities	The gross exposure of the scheme to repo transactions in corporate debt securities shall not be more than 10 % of the net assets of the scheme	Para 13.8 of the SEBI Master Circular No. HO/24/13/11(1)2026-IMDPOD-1/I/7602/2026, dated March 20, 2026
4.	Debt instruments having structured obligations (SO) / credit enhancements (CE)	The investment of the Scheme in the following instruments shall not exceed 10% of the debt portfolio of the Scheme and the group exposure in such instruments shall not exceed 5% of the debt portfolio of the Scheme: • Investments in debt instruments having unsupported rating (i.e. without factoring-in credit enhancement) below investment grade and • Investments in debt instruments having supported rating (i.e. after factoring-in credit enhancement) above investment grade.	Para 13.1 of SEBI Master Circular No. HO/24/13/11(1)2026-IMD-POD-1/I/7602/2026, dated March 20, 2026
5.	Overseas Investments	The Scheme shall not invest in Overseas Securities	N.A
6.	Credit Default Swaps transactions	Exposure through CDS shall shall not exceed 10% of the net assets of the scheme	Clause 13.17 of SEBI Master Circular No. HO/24/13/11(1)2026-IMD-POD-1/I/7602/2026 dated March 20, 2026
7.	Units of InVITS	The Scheme shall not invest in Units of InVITS	N.A
8.	Debt instruments with special features as referred to in Para 10.4, 5.5.3, 13.1 of SEBI Master Circular no. HO/24/13/11(1)2026-IMD-POD-1/I/7602/2026 dated March 20, 2026	The Scheme shall not invest in Debt instruments with special features	N.A
9.	Units of Mutual Funds Schemes	The Scheme shall not invest in Units of Mutual Funds schemes	N. A.
10.	Securities Lending/Borrowing	The Scheme shall not enter into Securities Lending/Borrowing	N. A.

Portfolio Rebalancing:

Pursuant to Para 3.11 of SEBI Master Circular no. HO/24/13/11(1)2026-IMD-POD-1/I/7602/2026 dated March 20, 2026, in the event of any deviation from mandated asset allocation mentioned above, due to passive breaches, rebalancing period will be Thirty (30) business days. In case the portfolio is not rebalanced within Thirty (30) business days, justification in writing, including details of efforts taken to rebalance the portfolio shall be placed before the Investment Committee. The Investment Committee, if so desired, can extend the timelines up to sixty (60) business days from the date of completion of mandated rebalancing period. In case the portfolio of the scheme is not rebalanced within the aforementioned mandated plus extended timelines, the AMC shall not launch any new scheme till the time the portfolio is rebalanced and also not levy exit load, if any on the exiting investors of the Scheme. However, at all times the portfolio will adhere to the overall investment objective of the Scheme.

As per para 7.24 of SEBI Master circular No. HO/24/13/11(1)2026-IMD-POD-1/I/7602/2026 dated March 20, 2026, the scheme shall deploy the funds garnered in an NFO within 30 business days from the date of allotment of units.

In an exceptional case, if the AMC is not able to deploy the funds in 30 business days, reasons in writing, including details of efforts taken to deploy the funds, shall be placed before the Investment Committee of the AMC. The Investment Committee, if so desired, can extend the timelines up to thirty (30) business days from the date of completion of mandated deployment period.

Short term defensive consideration:

Subject to Paragraph 1.9.1(b) of SEBI Master Circular no. HO/24/13/11(1)2026-IMD-POD-1/I/7602/2026 dated March 20, 2026, the asset allocation pattern indicated above may change for a short term period on defensive considerations, keeping in view market conditions, market opportunities, applicable regulations and political and economic factors. These proportions may vary depending upon the perception of the Fund Manager, the intention being at all times to seek to protect the interests of the Unit holders. In case of any deviation, the portfolio shall be rebalanced within 30 calendar days and further action may be taken as specified under SEBI Circulars/ AMFI guidelines issued from time to time.

Investment in Tri-party Repo on Government securities or treasury bills before the closure of NFO:

In accordance with para 1.7.3 of SEBI Master Circular no. HO/24/13/11(1)2026-IMD-POD-1/I/7602/2026 dated March 20, 2026, the scheme may deploy the NFO proceeds in Tri-party Repo on Government securities or treasury bills before the closure of NFO period. The appreciation received from investment in Tri-party Repo on Government securities or treasury bills shall be passed on to investors. In case if the scheme is not able to garner the minimum subscription amount during the NFO period the interest earned upon investment of NFO proceeds in Tri-party Repo on Government securities or treasury bills shall be returned to investors, in proportion of their investments, along-with the refund of the subscription amount. The AMC shall not charge any investment management and advisory fees on funds deployed in Tri-party Repos on Government securities or treasury bills during the NFO period.

Intended Portfolio for Scheme

The intended Portfolio for the Scheme will be as under. The Scheme will ensure adherence to the floors and ceilings within a range of 5% of the intended allocation (in %) against each sub asset class/credit rating.

Instruments	Credit Rating						
	Sovereign	AAA	AA	A	A1+	BBB	Not Applicable
Cds	-	-	-	-	60-65	-	-
Cps	-	-	-	-	35-40	-	-
NCDs	-	0-5	-	-	-	-	-
Gsecs	-	-	-	-	-	-	-
Tri-party Repo/ Reverse Repo On Government securities or treasury bills/ T- Bills	0-5	-	-	-	-	-	-

Notes:-

i.Securities with rating A and AA shall include A+ and A- & AA+ and AA- respectively. Also such ratings may include SO/CE.

ii.Positive variation in investment towards higher credit rating in the same instrument shall be allowed.

iii.In case instruments/securities as indicated above are not available or taking into account risk- reward analysis of instruments/securities, the Scheme may invest in Certificate of Deposits (CDs) having highest ratings/ Triparty Repo/Government Securities/Reverse Repo and Repo in Government Securities (including T-bills).

iv.At the time of building up the portfolio post NFO towards maturity when residual maturity of the scheme is 30 days or lower, there may be higher allocation to money market instruments & cash and cash equivalents under the scheme.

v.Subsequent to the initial portfolio construction, during the tenure of the Scheme, the above allocation may vary due to instances like coupon inflow, the instrument is called or bought back by the issuer, in anticipation of any adverse credit event, etc. In case of such deviations, the Scheme may invest in CDs of highest rating/ Triparty Repo /Government Securities/Reverse Repo and Repo in Government Securities/T-Bills.

vi.All investment shall be made based on the rating prevalent at the time of investment. However, in case of an instrument having dual ratings, the most conservative publicly available rating would be considered. In case of downgrades of an instrument, the Fund Manager shall endeavor to rebalance the portfolio on a best effort basis.

vii.Investment in Derivatives will be up to 50% of the net assets of the Scheme.

viii.In the event of any deviations from the floor and ceiling of credit ratings specified for any instrument, due to any reasons other than those mentioned in points (i) to (vii) above the same shall be rebalanced within 30 calendar days from the date of the said deviation.

Investment Strategy	For the purpose of achieving the investment objective, the Scheme will invest in a portfolio of Debt and Money Market securities, maturing on or before maturity of the Scheme. The AMC has an internal policy for selection of assets of the portfolio. The portfolio is constructed taking into account ratings from different rating agencies, rating migration, credit premium over the price of a sovereign security, general economic conditions and such other criteria. Such an internal policy from time to time lays down maximum/minimum exposure for different ratings, liquidity norms, and so on. Through such norms, the Scheme is expected to maintain a high quality portfolio and manage credit risk well. Investments may be made in instruments, which, in the opinion of the Fund Manager, are of an acceptable credit risk and chance of default is minimum. The Fund Manager will generally be guided by, but not restrained by, the ratings announced by various rating agencies on the assets in the portfolio. The scheme may use various derivative products, from time to time, in an attempt to protect the value of the portfolio and enhance Unit holders' interest. Derivative products are leveraged instruments and can provide disproportionate gains as well as disproportionate losses to the investor. Execution of such strategies depends upon the ability of the fund manager to identify such opportunities. Identification and execution of the strategies to be pursued by the fund manager involve uncertainty and decision of fund manager may not always be profitable. No assurance can be given that the fund manager will be able to identify or execute such strategies. The risks associated with the use of derivatives are different from or possibly greater than, the risks associated with investing directly in securities and other traditional investments. **Portfolio Turnover:** Since the scheme is close-ended scheme, the portfolio turnover ratio is expected to be low.
Risk Profile of the Scheme	Mutual Fund Units involve investment risks including the possible loss of principal. Please read the SID carefully for details on risk factors before investment. Scheme specific Risk Factors are summarized below: **Scheme Specific Risk Factors:** a) Kotak FMP Series 336 (125 days) will comprise of securities issued by central and state government as also debt & money market instruments issued by corporates as mentioned under the paragraph 'How will the Scheme allocate its assets'. All such securities will mature on or before maturity of the scheme. As the securities are held to maturity, the interest rate risk is significantly mitigated. The debt securities issued by the corporates do carry a credit risk as also a liquidity risk. b) The Scheme will invest entirely in Debt/ Money Market Instruments and Government securities. Liquidity in these investments may be affected by trading volumes, settlement periods and transfer procedures. These factors may also affect the Scheme's ability to make intended purchases/sales, cause potential losses to the Scheme and result in the Scheme missing certain investment opportunities. c) Different types of securities in which the scheme would invest as given in the SID carry different levels and types of risk. Accordingly, the scheme's risk may increase or decrease depending upon its investment pattern. E.g. corporate bonds carry higher amount of risk than government securities. Further, even among corporate bonds, bonds, which are AAA rated, are comparatively less risky than bonds, which are AA rated. d) Kotak FMP Series 336 (125 days) like any other listed security, is largely dependent on two factors, viz., (1) the intrinsic value of the unit (or NAV), and (2) demand and supply of units in the market. Sizeable demand or supply of the units in Exchange may lead to market price of the units to quote at premium or discount to NAV. Hence the price of the units is likely to hold significant variance (large premium or discount) from the latest declared NAV. **Investments in the Scheme are subject to various risk factors including but not limited to risks associated with:** • Specific Risks in Debt Markets and Capital Markets • Risk associated with Close Ended Scheme • Risk envisaged and mitigation measures for repo transactions. • Risks associated with Securitised Debt • Risk Associated with Investment in Derivatives Market • Risks associated with segregated portfolio • Risks associated with investing in Structured Obligation (SO) & Credit Enhancement (CE) rated securities • Risk associated with investment in Government securities and Tri-Party Repo • Risks Factors associated with investing in Credit Default Swap (CDS) For details on risk factors and risk mitigation measures, please refer SID.
Plans/Options	**Plan- Direct Plan/Regular Plan** Direct Plan: This Plan is only for investors who purchase /subscribe Units in a Scheme directly with the Fund and is not available for investors who route their investments through a Distributor. Regular Plan: This Plan is for investors who wish to route their investment through any distributor. The portfolio of both plans will be unsegregated. **Options under each Plan(s)** a)Growth b)Income Distribution cum Capital Withdrawal (IDCW) • Payout of Income Distribution cum Capital Withdrawal Option The NAVs of the above options under each plan of the scheme will be different and separately declared; the portfolio of the investments remaining the same. **Default Option /Sub-Options** • If applicant does not indicate the choice of option between growth and Income Distribution cum capital withdrawal (IDCW) option in the application form, then the fund will accept it as an application for growth option under respective plan. For detailed disclosure on default plans and options, kindly refer SAI.
Applicable NAV (after the scheme opens for subscriptions and redemptions)	Not Applicable. All units of the scheme shall be redeemed only on maturity.
Minimum Application Amount/ Number of Units	**Minimum application amount for purchases** ₹ 5,000/- and in multiples of ₹ 10 for purchase and switch-ins. This clause is applicable only for purchases and switch in during the NFO. **Minimum amount for redemption:** Redemption will be done on maturity date at NAV based price of the scheme. As per SEBI guidelines, the AMC/Mutual Fund shall not redeem the units of the scheme before the date of maturity.
Dispatch of Redemption Request	**Dispatch of redemption proceeds** Investors will not be able to redeem their units during the tenor of the Scheme directly from the fund and there will be redemption by the fund only on the maturity of the Scheme. The redemption proceeds shall be dispatched to the unit holders within three working days from the date of maturity of the Scheme. A penal interest of 15% per annum or such other rate as may be prescribed by SEBI from time to time, shall be paid in case the redemption or repurchase proceeds are not transferred within the prescribed timelines. In case of exceptional situations listed in AMFI Circular No. AMFI/35P/MEM-COR/74/2022-23 dated January 16, 2023, the scheme shall be allowed additional timelines for transfer of redemption or repurchase proceeds to the unitholders. **Dispatch of IDCW** The Income Distribution cum capital withdrawal (IDCW) payments shall be dispatched to the unitholders within seven working days from the record date.

Benchmark Index

NIFTY Ultra Short Duration Debt Index

Benchmark rationale: The composition of the aforesaid benchmark is such that it is most suited for comparing the performance of the scheme.

The AMC/Trustees reserve right to change benchmark in future for measuring performance of the scheme and as per the guidelines and directives issued by SEBI from time to time.

Dividend Policy

- **Growth Option:**

  Under the Growth option, there will be no distribution of income and the return to investors will be only by way of capital gains, if any, through redemption at applicable NAV of Units held by them.

- **Income Distribution Cum Withdrawal (IDCW) Option**

  Under the IDCW option, the Trustee may at any time decide to distribute by way of IDCW, the surplus by way of realised profit and interest, net of losses, expenses and taxes, if any, to Unitholders if, in the opinion of the Trustee, such surplus is available and adequate for distribution. The Trustee's decision with regard to such availability and adequacy of surplus, rate, timing and frequency of distribution shall be final. The Trustee may or may not distribute surplus, even if available, by way of IDCW.

  IDCW will be paid on the number of units held by the unit holder on the record date as per the records of CAMS (the Registrar) and /or as per the records maintained by depositories, which will be announced in advance.

  The Asset Management Company (AMC) is required to dispatch IDCW payments within seven working days from the record date. In case the AMC fails to dispatch the IDCW payments within the stipulated time of seven working days, it shall be liable to pay interest to the unit holders at 15% p.a. or such other rate as may be prescribed by SEBI from time to time.

  Payout of IDCW Option: Unitholders will have the option to receive payout of their IDCW by way of IDCW payment or any other means which can be encashed or by way of direct credit into their account.

  However, the Trustees reserve the right to introduce new options and / or alter the IDCW payout intervals, frequency, including the day of payout.

  When units are sold, and sale price (NAV) is higher than face value of the unit, a portion of sale price that represents realized gains is credited to an Equalization Reserve Account and which can be used to pay IDCW. IDCW can be distributed out of investor's capital (Equalization Reserve), which is part of sale price that represents realized gains.

Name of the Fund Manager

Mr. Deepak Agrawal and Mr. Manu Sharma will be the Fund Managers of the Scheme.

Name of the Trustee Company

Kotak Mahindra Trustee Company Ltd

Performance of the scheme

Since the scheme is a new fund to be launched, the Performance of the scheme is not applicable.

Additional Scheme Related Disclosures

Since the scheme is a new fund to be launched, the following disclosures are not applicable.

i. **Scheme's portfolio holdings (top 10 holdings by issuer and fund allocation towards various sectors to be provided through a functional website link that contains detailed description.)** Not Applicable

ii. **Functional website link for Portfolio Disclosure** - Not Applicable

iii. **Portfolio Turnover Rate:** Not Applicable

iv. **Functional website link to the respective addendums to the SID after the last update of SID:** Not Applicable.

v. **Aggregate investment in the Scheme by Scheme Fund Managers:** Not Applicable

For any other disclosure w.r.t investments by key personnel and AMC directors including regulatory provisions in this regard kindly refer SAI.

vi. **Investments of AMC in the Scheme:**

Pursuant to Regulation 22(3)(a) of the SEBI (MF) Regulations, 2026, AMC shall invest in the scheme based on the risk associated with the scheme as specified in para 7.13 of SEBI Master circular No. SEBI Master circular no HO/24/13/11(1)2026-IMD-POD-1/I/7602/2026 dated March 20, 2026 read with AMFI Best Practice Guidelines Circular 135/BP/100/2022-23 dated 26th April 2022 and any other circulars issued there under, from time to time.

In addition to investments as mandated above, the AMC may invest in the Scheme subject to the SEBI (MF) Regulations. Under the Regulations, the AMC is not permitted to charge any investment management and advisory services fee on its own investment in the Scheme.

Details of Investments of AMC in the Scheme will be available in the given link. - https://www.kotakmf.com/Information/statutory-disclosure/information

Expenses of the Scheme

Load Structure

Exit Load is an amount which is paid by the investor to redeem the units from the scheme. Load amounts are variable and are subject to change from time to time. For the current applicable structure, please refer to the website of www.kotakmf.com or may call at 18003091490 or your distributor.

Type of Load	Load chargeable (as % age of NAV)
Exit Load	NIL

Units issued on reinvestment of IDCW shall not be subject to entry and exit load.

* In terms of Para 11.7 of SEBI Master circular no HO/24/13/11(1)2026-IMD-POD-1/I/7602/2026 dated March 20, 2026, no entry load will be charged on purchase / additional purchase / switch-in. The commission as specified in aforesaid circular, if any, on investment made by the investor shall be paid by the investor directly to the Distributor, based on his assessment of various factors including the service rendered by the Distributor.

** Any exit load charged (net of Goods and Services tax, if any) shall be credited back to the Scheme.

Any imposition or enhancement of Load in future shall be applicable on prospective investments only. For any change in load structure AMC will issue an addendum and display it on the website/Investor Service Centres. In case of changes in load structure the addendum carrying the latest applicable load, structure shall be attached to all KIM and SID already in stock till it is updated.

Investors may obtain information on loads on any Business Day by calling the office of the AMC or any of the Investor Service Centres. Information on applicability of loads will also be provided in the Account Statement.

The investor is requested to check the prevailing load structure of the scheme before investing

Recurring expenses

These are the fees and expenses for operating the scheme. These expenses include Investment Management and Advisory Fee charged by the AMC, Registrar and Transfer Agents' fee, marketing and selling costs etc. as given in the table below.

The AMC has estimated that upto 0.80% daily net assets of the scheme will be charged to the scheme as expenses. For the actual current expenses being charged, the investor should refer to the website of the mutual fund viz. www.kotakmf.com.

As per Regulation 67 of SEBI (MF) Regulations,2026, the total of all expenses charged to the investors of the scheme, shall be total of expense charged within the base limit specified under sub-regulation 7 of regulation 66, brokerage cost permitted under sub regulation 9 of regulation 66, transaction cost incurred for the purpose of execution of trade as referred under sub-regulation 10 of regulation 66, and statutory levies.

Base Expense Ratio (Regulation 66(7): In accordance with the SEBI (Mutual Funds) Regulations, 2026, the base expense ratio charged to the scheme shall be 0.80% of the scheme's daily net assets.

Total Expense Ratio for the Scheme

Expense Head	% p.a. of daily Net Assets* (Estimated p.a.)
Investment Management and Advisory Fees	Upto 0.80%
Audit fees/fees and expenses of trustees	
Custodial Fees	
Registrar & Transfer Agent Fees including cost of providing account statements / IDCW / redemption cheques/ warrants	
Marketing & Selling Expenses including fees, commission and charges towards distribution of mutual fund schemes	
Costs related to investor communications	
Costs of fund transfer from location to location	
Cost towards investor education, awareness and financial inclusion (at least 2 bps) * $	
Brokerage & transaction cost pertaining execution of trade	
Cost of statutory advertisements	
Other Expenses (to be specified as per Reg 66 of SEBI MF Regulations)	
Maximum Base expenses ratio (BER) permissible under Regulation 66	
Brokerage cost as referred under sub-regulation 9 regulation 66	Upto 6 bps and of 2 bps for cash market transactions and derivatives transactions respectively.
Transaction cost incurred for the purpose of execution of trade as referred under sub-regulation 10 of regulation 66	As Applicable
Statutory levies @ (including GST) on all expenses excluding brokerage and transaction cost	As applicable
Statutory levies @ (including GST) on brokerage and transaction cost	As applicable

@ As per Regulation 2(yy), statutory levy means levy imposed by state government and central government such as GST, STT, Stamp duty etc.

$ As per para 11.9 of SEBI Master circular no HO/24/13/11(1)2026-IMD-POD-1/I/7602/2026 dated March 20, 2026, the AMC/Mutual Fund shall annually set apart at least 2 basis point (i.e. 0.02%) of daily net asset of the Scheme within the maximum limit of Base Expense Ratio as per Regulation 66(7) of SEBI (MF) Regulations for investor education, awareness and financial inclusion.

*With reference to para 11.6 of SEBI Master circular no HO/24/13/11(1)2026-IMD-POD-1/I/7602/2026 dated March 20, 2026, additional distribution commission shall be payable to distributors for onboarding (i) new individual investors (new PAN) from B 30 cities at the mutual fund industry level and (ii) new women individual investors (new PAN) from Top 30 and B 30 cities.

AMCs shall pay additional commission to distributors for onboarding eligible new investors subject to conditions as specified under para 11.6 of SEBI Master circular no HO/24/13/11(1)2026-IMD-POD-1/I/7602/2026 dated March 20, 2026.

The structure of such additional commission shall be as under:

Investment Mode	Commission Structure
Lump Sum Investment	1% of the amount of the first application subject to a maximum of ₹ 2,000, provided the investor remains invested for a minimum period of one year
Systematic Investment Plan (SIP)	1% of the total investment made during the first year, subject to a maximum of ₹ 2,000

The additional commission shall be in addition to the existing trail commission paid to the distributor from the scheme.

The additional distribution commission shall be paid from the 2 basis points on daily net assets, mandated to be set apart annually by AMCs for investor education, awareness and financial inclusion initiatives, subject to adequate claw back provisions.

Distributors shall be eligible to receive the additional commission for mobilizing investments from new women investors from Top-30 cities and in cases where the commission for new investment from B-30 cities has not been claimed for the same woman investor/investment. Dual incentives for the same investor/investment shall not be permitted.

Brokerage cost as referred under sub-regulation 9 of regulation 66

The following brokerage cost may be charged to the scheme under Regulation 66(9),

- Brokerage cost incurred for the purpose of execution of trade shall be charged to the scheme over and above base expenses ratio (a) upto 6 bps and 2 bps for cash market transactions and derivatives transactions respectively. Any payment towards brokerage costs, over and above the said 6 bps and 2 bps for cash market transactions and derivatives transactions respectively shall be part of the base expense ratio limit specified under Regulation 66(7).

Transaction cost incurred for the purpose of execution of trade as referred under sub-regulation 10 of regulation 66:

Transaction cost incurred for the purpose of execution of trade namely regulatory levies and any other expenses charged by the stock exchanges, clearing corporation, and clearing house, as applicable shall be charged to scheme over and above base expense ratio prescribed in Regulation 66(7)

Statutory Levies charge to Investors

All Statutory levies imposed by state government and central government shall be charged to the schemed in addition to the maximum limit of BER as prescribed in Regulation 66(7)

No charges other than the charges covered under base expense ratio, brokerage cost, transaction cost, statutory levy and exit load as may be specified by the Board, shall be charged to the investors. Any expenses other than those specified in sub-regulation (4), sub-regulations (5), sub regulation (6), sub regulation (9) and sub-regulation (10), shall be borne by the asset management company or trustee or sponsors.

Expense Structure for Direct Plan – The annual recurring expenses will be within the limits specified under the SEBI (Mutual Funds) Regulations, 2026.

Commission/ Distribution expenses will not be charged in case of Direct Plan. The TER of Direct Plan will be lower than Regular Plan.

As per Para 15.7.4 e(ii) of SEBI Master Circular no. SEBI Master circular no HO/24/13/11(1)2026-IMD-POD-1/I/7602/2026 dated March 20, 2026, all fees and expenses charged in a direct plan (in percentage terms) under various heads including the investment and advisory fee shall not exceed the fees and expenses charged under such heads in a regular plan. The expenses would exclude distribution expenses, commission, etc and no commission for distribution of Units will be paid / charged under Direct Plan.

Tax treatment for the Investors (Unitholders)

Investor will be advised to refer to the details in the Statement of Additional Information and also independently refer to his tax advisor.

Daily Net Asset Value (NAV) Publication

The NAVs of the Scheme will be calculated and disclosed on every Business day on the website of the Kotak Mahindra Mutual Fund viz www.kotakmf.com and AMFI's website www.amfiindia.com by 11.00 p.m.

Unitholders may avail the facility to receive the latest available NAVs through SMS by submitting a specific request in this regard to the AMC/Mutual Fund. Also, information regarding NAVs can be obtained by the Unit holders / Investors by visiting the nearest ISC.

Delay in uploading of NAV beyond 11.00 p.m. on every business day shall be explained in writing to AMFI. In case the NAVs are not available before the commencement of business hours on the following business day due to any reason, a press release for revised NAV shall be issued.

In terms of SEBI regulations, a complete statement of the Scheme portfolio will be sent to all unitholders whose e-mail address are registered with AMC, within ten days from the close of each month whose email addresses are registered with the Mutual Fund.

The portfolio of the scheme (along with ISIN) shall also be disclosed on the website of Mutual Fund (www.kotakmf.com) and on the website of AMFI (www.amfiindia.com) on a monthly within 10 days from the close of each month in a user-friendly and downloadable spreadsheet format.

For Investor Grievances please contact

Contact details for complaint resolution:

Ms. Sushma Mata, Investor Relations Officer

Kotak Mahindra Asset Management Company Limited,

6th Floor, Kotak Towers, Building No.21,

Infinity Park, Off: Western Express Highway

Goregaon - Mulund Link Road, Malad (East), Mumbai 400097

Phone Number: 18003091490 / 044-40229101

Fax: 6708 2213

E-mail: https://info.kotakmf.com/write-to-us or WhatsApp us by sending us "Hi" at 9321884488. For portfolio valuation, give a missed call to 7039055555

Computer Age Management Services Ltd. (Registrar)

AVA Tower, Old No. 788 & 789, Electricity Avenue, New No. 152 & 150, Anna Salai, Beside Rayala Towers, Chennai - 600002.

Contact details - 044 6110 4034 Website - www.camsonline.com

Email - enq_k@camsonline.com

Unitholders' Information

Fortnightly/ Monthly and Half yearly Disclosures: Portfolio / Financial Results

The Mutual Funds/ AMCs shall disclose portfolio (along with ISIN) as on monthly for all the schemes on the website of the Kotak Mahindra Mutual Fund viz. www.kotakmf.com and on the website of AMFI (www.amfiindia.com) within 10 calendar days from the close of each month respectively in a user-friendly and downloadable spreadsheet format.

For debt schemes, the Mutual Fund/ AMC shall, in addition to the above, disclose the portfolio (along with ISIN and yield of the instruments) on a fortnightly basis within 5 calendar days of every fortnight.

The link for the mentioned disclosures –

https://www.kotakmf.com/Information/statutory-disclosure/information

In accordance with Para 6.1 and 6.3 of SEBI Master Circular no. HO/24/13/11(1)2026-IMD-POD-1/I/7602/2026 dated March 20, 2026, unitholders whose e-mail addresses are registered, Mutual Funds/AMC shall send the details of the scheme portfolio including the scheme risk-o-meter, name of benchmark and risk-o-meter of benchmark while communicating the fortnightly, monthly of scheme portfolio via email within 5 days of every fortnight for debt schemes, 10 days from the close of each month for other schemes. AMCs shall provide a link to investors to their registered email to enable the investor to directly view/download only the portfolio of schemes subscribed by the said investor.

The Mutual Fund / AMC shall provide a physical copy of statement of its scheme portfolio, without charging any cost, on specific request received from a unit holder. AMCs shall declare on their website the hosting of the monthly statement of its scheme portfolio on their respective websites and on the website of AMFI and the modes such as SMS, telephone, email or written request (letter) through which a unit holder can submit a request for a physical or electronic copy of the statement of scheme portfolio.

Half Yearly Results

The soft copy of unaudited financial results shall within one month from the close of each half year i.e. 31st of March and the 30th of September, be hosted on the website kotakmf.com and will be sent to AMFI for posting on its website www.amfiindia.com. The link for the mentioned disclosures - https://www.kotakmf.com/Information/statutory-disclosure/financials

Pursuant to Regulation 70(2) of SEBI (Mutual Funds) Regulations, 2026, Written communication (including digital modes such as email/SMS etc.) shall be sent to unitholders by the asset management company about the availability of financial results.

Annual Report

Pursuant to Regulation 70(1) of SEBI (Mutual Funds) Regulations, 2026 read with Para 6.4 of SEBI Master circular No. HO/24/13/11(1)2026-IMD-POD-1/I/7602/2026 dated March 20, 2026, the scheme wise annual report or abridged summary thereof in machine readable format will be hosted on the website in machine readable format of Kotak Mahindra Mutual Fund viz. www.kotakmf.com and on the website of AMFI, immediately after approval in Annual General Meetings within a period of four months, from the date of closing of the financial year (31st March). The AMCs shall display the link prominently on the website of the Kotak Mahindra Mutual Fund viz. www.kotakmf.com and make the physical copies available to the unitholders, at their registered offices at all times. The unit holders may request for a physical copy of scheme annual reports at a nominal price and the text of the relevant scheme by writing to the Kotak Mahindra Asset Management Company Ltd. / Investor Service Centre / Registrar & Transfer Agents. AMC shall provide a physical copy of abridged report of the annual report, without charging any cost, on specific request received from a unit holder. AMCs shall e-mail the scheme annual reports or abridged summary thereof, in machine readable formats, to all such unit holders, whose email addresses are registered with the Mutual Fund. The said communication shall also include details of modes such as SMS, telephone, email or written request (letter), etc. through which unit holders can submit a request for a physical copy of the scheme wise annual report or abridged summary thereof. AMCs shall send an email/SMS to all unitholders regarding the hosting of scheme wise annual report on their website and on the website of AMFI.

Account Statements

The AMC shall send an allotment confirmation specifying the units allotted by way of email and/or SMS within 5 working days of receipt of valid application/transaction to the Unit holders registered e-mail address and/ or mobile number (whether units are held in demat mode or in account Statement form).

A Consolidated Account Statement (CAS) detailing all the transactions across all mutual funds (including transaction charges paid to the distributor) and holding at the end of the month shall be sent to the Unit holders in whose folio(s) transaction(s) have taken place during the month by email on or before 12th of the succeeding month, or in physical mode before 15thof the succeeding month.

Half-yearly CAS shall be issued at the end of every six months (i.e. September/ March) on or before 18thday of April and October and to investors that have opted for delivery via physical mode, on or before the twenty-first (21st) day of April and October. However, where an investor does not wish to receive CAS through email, option shall be given to the investor to receive the CAS in physical form at the address registered with the Depositories and the AMCs/MF-RTAs.

For further details, refer SAI.

kotak Mutual Fund

Key Information Memorandum and Application Form

KOTAK FIXED MATURITY PLAN SERIES 336

A closed ended debt scheme with maturity of 125 days. A relatively low interest rate risk and moderate Credit Risk.

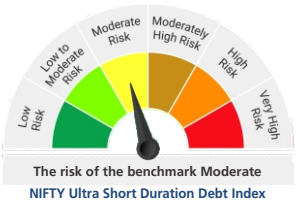
BSE Symbol - To be obtained at the time of scheme launch

New Fund Offer Opens on: November 02, 2026 **New Fund Offer Closes on:** November 04, 2026

6th Floor, Kotak Infinity,
Building No. 21, Infinity Park,
Off. Western Express Highway,
Gen.A.K. Vaidya Marg, Malad (E)
Mumbai - 400 097.

☎ 1800 309 1490 (Tollfree)

www.kotakmf.com

This product is suitable for investors who are seeking*	Scheme Risk-o-meter*	Benchmark Risk-o-meter
• Income over a short term investment horizon • Investment in debt & money market securities	 The risk of the scheme is Moderate	 The risk of the benchmark Moderate NIFTY Ultra Short Duration Debt Index

Potential Risk Class ("PRC") Matrix of the Scheme

Credit Risk ▶ / Interest Rate Risk ▼	Relatively Low (Class A)	Moderate (Class B)	Relatively High (Class C)
Relatively Low		B-I	
Moderate			
Relatively High			

* Investors should consult their financial advisers if in doubt whether the product is suitable for them
(The product labelling assigned during the New Fund Offer is based on internal assessment of the Scheme Characteristics or model portfolio and the same may vary post NFO when actual investments are made).

APPL. KOTAK FIXED MATURITY PLAN SERIES 336
Date : DD /MM/ YYYY

DISTRIBUTOR'S ARN/ RIA CODE#	SUB-BROKER'S ARN	SUB-BROKER'S CODE	EUIN

☐ #By mentioning ARN/RIA/PMS code, I/ We authorize you to share with the Distributor/ Investment Adviser/ Portfolio Manager the details of my/our transactions in the scheme(s) of Kotak Mahindra Mutual Fund.

☐ Declaration for "Execution-only" transactions (only where EUIN box is left blank): "I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of inappropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker."

SIGNATURE OF SOLE/ FIRST APPLICANT	SIGNATURE OF SECOND APPLICANT	SIGNATURE OF THIRD APPLICANT

Upfront commission shall be paid directly by the investor to the AMFI registered distributors based on the investor's assessment of various factors including the service rendered by the distributor.

Existing Folio Number: _____ **PAN:** _____ **LEI Number:** _____
(Legal Entity Identifier) For Non-individuals only

SECTION I - NEW APPLICANT'S PERSONAL INFORMATION (MANDATORY)

Investor Details	1st Applicant/ Minor	2nd Applicant	3rd Applicant
Investor Name (As per Income Tax)			
PAN (As per Income Tax)			
Date of Birth	DD/MM/YYYY	DD/MM/YYYY	DD/MM/YYYY
Guardian Details (In case of Minor) (Please fill details as per Income Tax)	Guardian Name Guardian PAN	Relationship with Minor ☐ Father ☐ Mother ☐ Legal Guardian Guardian Date of Birth: DD/MM/YYYY	Relationship Proof attached ☐ Birth Certificate ☐ Passport ☐ Aadhaar Card (masked) ☐ Court Order
Mode of Holding	☐ Single ☐ Joint	☐ Anyone or Survivor(s)	(Joint applicants not allowed in case of Minor investment)
CKYC Number (KIN)			

Contact Details	1st Applicant/ Minor			2nd Applicant			3rd Applicant		
Mobile Number									
Given Mobile Number Belongs to	☐ Self	☐ Dependent Child		☐ Self	☐ Dependent Child		☐ Self	☐ Dependent Child	
	☐ Spouse	☐ Dependent Parent		☐ Spouse	☐ Dependent Parent		☐ Spouse	☐ Dependent Parent	
	☐ Guardian (for minor)	☐ Dependent Sibling		☐ Guardian (for minor)	☐ Dependent Sibling		☐ Guardian (for minor)	☐ Dependent Sibling	
	☐ Custodian	☐ POA	☐ PMS	☐ Custodian	☐ POA	☐ PMS	☐ Custodian	☐ POA	☐ PMS
Email ID									
Given Email ID Number Belongs to	☐ Self	☐ Dependent Child		☐ Self	☐ Dependent Child		☐ Self	☐ Dependent Child	
	☐ Spouse	☐ Dependent Parent		☐ Spouse	☐ Dependent Parent		☐ Spouse	☐ Dependent Parent	
	☐ Guardian (for minor)	☐ Dependent Sibling		☐ Guardian (for minor)	☐ Dependent Sibling		☐ Guardian (for minor)	☐ Dependent Sibling	
	☐ Custodian	☐ POA	☐ PMS	☐ Custodian	☐ POA	☐ PMS	☐ Custodian	☐ POA	☐ PMS

Tax Status [Please Tick (✓)]

☐ Resident Individual
☐ NRI on Repatriation Basis (NRE)
☐ NRI on Non-Repatriation Basis (NRO)
☐ HUF
☐ Proprietorship
☐ Partnership Firm
☐ Private Limited Company
☐ Public Limited Company
☐ Mutual Fund
☐ Mutual Fund FOF Scheme
☐ Body Corporate
☐ Registered Society
☐ PF/ Gratuity/ Pension/
☐ Superannuation Fund
☐ Trust
☐ AOP/ BOI
☐ Foreign Institutional Investor
☐ On behalf of Minor
☐ Other _____ (Please Specify)

kotak® Mutual Fund

Acknowledgement of: KOTAK FIXED MATURITY PLAN SERIES 336

(To be filled in by the Applicant)

Appl. KOTAK FIXED MATURITY PLAN SERIES 336

Received from Mr/ Ms/ M/s _____

along with cheque No.* _____ dated _____

Drawn on (Bank) _____ **for Rs. (in figures)/ (Amount)** _____

*Cheques and drafts are subject to realisation.

Stamp of Kotak AMC Office/ Authorised Collection Centre

SECTION II - POWER OF ATTORNEY (POA) HOLDER

Name	PAN	Country of Birth	Nationality	Tax Reference Number (for NRI)

Gross Annual Income Details in INR (please tick): ☐ < 1 lac ☐ 1 - 5 lac ☐ 5 - 10 lac ☐ 10 - 25 lac ☐ 25 lac - 1 cr ☐ 1 cr - 5 cr ☐ 5 cr - 10 cr ☐ > 10 cr
or Net-worth as on (date) DD / MM / YYYY Rs. _____ (should not be older than 1 year)

Please tick, if applicable, ☐ **Politically Exposed Person (PEP)** ☐ **Not Politically Exposed Person**

*I declare that the information is to the best of my knowledge and belief, accurate and complete. I agree to notify Kotak Mahindra Mutual Fund/ Kotak Mahindra Asset Management Co. Ltd. immediately in case there is any change in the above information.

SECTION III - CORRESPONDENCE DETAILS OF SOLE/ FIRST APPLICANT

	Correspondence Address (Address as per KRA records)		Overseas Address (Mandatory for NRI/ PIO/ FII applicant)	
Address for Communication	House/ Flat No		House/ Flat No	
	Street Address		Street Address	
	City/ Town	State	City/ Town	State
	Country	Pin Code	Country	Pin Code

SECTION IV - BANK DETAILS (PLEASE ATTACH BANK ACCOUNT PROOF)

Bank Name	Bank Account. No.	
Branch Name	IFSC	MICR (9 Digit)
Branch Address	City	Pin Code

A/C Type ☐ Savings ☐ Current ☐ NRO ☐ NRE ☐ FCNR ☐ Others _____

SECTION V - INVESTMENT & PAYMENT DETAILS

Purpose of Investment Goal: ☐ Child s Education ☐ Child s Marriage ☐ Car Purchase ☐ House Purchase ☐ Retirement Benefit ☐ Other _____

Scheme Name	Plan	Option/ Sub-option	Frequency*	Amount Invested (Rs.)	Payment Details		
					Cheque No./ OTM/ RTGS/ NEFT/ Fund Transfer	Bank & Branch	Source Account No.
KOTAK FIXED MATURITY PLAN SERIES 336	☐ Regular ☐ Direct	☐ Growth ☐ IDCW Payout ☐ IDCW Reinvestment	☐ D ☐ B ☐ W ☐ Q ☐ F ☐ H ☐ M ☐ A				

***Frequency:** D = Daily, W = Weekly, F = Fortnightly, M = Monthly, B = Bi-monthly, Q = Quarterly, H = Half Yearly, A = Annually

If you are an NRI Investor, please indicate source of funds for your investment (Please ✓) ☐ **NRE** ☐ **NRO** ☐ **FCNR** ☐ **Others** (Please specify)

SECTION VI - FATCA & CRS INFORMATION MANDATORY FOR INDIVIDUAL/ SOLE PROPRIETOR
NON-INDIVIDUAL INVESTORS SHOULD MANDATORILY FILL SEPARATE FATCA/ CRS & UBO FORM ALONG WITH THIS FORM

FATCA & CRS	1st Applicant	2nd Applicant	3rd Applicant	Guardian
Country of Birth				
Place/City of Birth				
Nationality				
Is the applicant(s) Country of Birth/ Nationality/ Tax Residency other than india	☐ Yes ☐ No	☐ Yes ☐ No	☐ Yes ☐ No	☐ Yes ☐ No
If Yes, Please provide following information:				
Country of Tax Residency 1				
Identification Type				
Tax Payer Ref ID No				
Country of Residency 2				
Identification Type				
Tax Payer Ref ID No.				
Country of Residency 3				
Identification Type				
Tax Payer Ref ID No.				

Note: In case Tax Identification Number is not available, kindly provide its functional equivalent. If no TIN is available or has not yet been issued, please provide an Explanation and attach this to the form. (Please attach additional sheet if necessary and mention all countries in which applicant is a tax resident and provide relevant details

KOTAK MAHINDRA MUTUAL FUND
6th Floor, Kotak Infinity, Building No. 21,Infinity Park,
Off. Western Express Highway, Gen.A.K. Vaidya Marg,
Malad (E), Mumbai - 400 097.
☎ 1800 309 1490 (Toll-free), 044-4022 9101
www.kotakmf.com

Computer Age Management Services Ltd.
No 178/10, Kodambakkam High Road,
Ground Floor, Opp. Hotel Palmgrove,
Nungambakkam, Chennai - 600034.
☎ 044 6110 4034
enq_k@camsonline.com www.camsonline.com

SECTION VII - DEMAT ACCOUNT DETAILS

In case you wish to hold units in demat, please fill this section. Please note that you can hold units in demat for all open ended schemes (except ETFs and IDCW options having IDCW frequency of less than a month).

NSDL		**CDSL**	
DP Name _____		DP Name _____	
DP ID	Beneficiary Account No.	DP ID	Beneficiary Account No.

Please ensure that your demat account details mentioned above are along with supporting documents evidencing the accuracy of the demat account. Bank details & Nomination of DP will overwrite the existing details.

SECTION VIII - NOMINATION DETAILS
TO BE FILLED BY INDIVIDUAL(S) (MANDATORY FOR UNITS HELD SINGLY AND OPTIONAL FOR UNITS HELD JOINTLY)
SIGNATURE/S AS PER MODE OF HOLDING

I/ We _____ and _____ do hereby nominate the undermentioned Nominee to receive the Units to my/our credit in Folio No./ Application No. _____ in the event of my/ our death. I/we also understand that all payments and settlements made to such Nominee and signature of the Nominee acknowledging receipt thereof, shall be a valid discharge by the AMC/ Mutual Fund / Trustee.

NOMINEE DETAILS	**NOMINEE 1**	**NOMINEE 2**	**NOMINEE 3**
Name of the Nominee			
(%) of Allocation** (equal share if % is not specified)*			
Relationship with Sole/ First Unit-holder (mandatory)			
Postal Address Same as Primary holder/ Joint holder			
Mobile No.**			
Email ID (Mandatory)**			
DOB of Nominee (to be provided in case Nominee is Minor)			
Guardian Name (applicable if the Nominee is Minor***)			
Specify Personal Identifier Document Aadhaar (last four digits)/ PAN Driving Licence/ Passport			

* Any odd lot after division shall be transferred to the first nominee mentioned in the form.
** Please note that the MF/ RTA will be able to reach out to your Nominee if you provide the contact details.
*** The aforesaid details shall be optionally provided for the Guardian, in case of nominee is minor

NOMINEE DETAILS TO BE PRINTED IN STATEMENT OF HOLDING (Mandatory - tick any one below):

I/ We want the details of me/ our nominee to be printed in the statement of holding or statement of account, provided to me/ us by the AMC as follows:

☐ Nomination: Yes/ No ☐ Name of Nominee(s) with Percentage

If no option is selected, the account statement will by default display the nomination status as 'Nomination: Yes/ No' without revealing nominee name(s).

☐ **NO NOMINATION**

I hereby confirm that i do not wish to appoint any nominee(s) to my Kotak Mutual Fund folio at this point of time.

I understand that:

(i) the nomination helps to uickly identify the person for transfer of securities and helps in faster and smoother transmission of my securities to my legal heir(s) after my demise.

(ii) in the absence of a nomination, my legal heir(s) may require the submission of certain additional legal or court-issued documents which may delay the process of transmission of securities to my legal heir(s)

(iii) if no claim is made on the account / folio for a prolonged period after my demise, the holdings may be treated as unclaimed assets, and they may be transferred to Investor Education and protection Fund Authority (IEPF) in accordance with the applicable regulatory framework.

I confirm that I have understood the above implicatoions and that my decision to opt out of nomination is voluntary.

POA holder cannot nominate. Hence, sole/ all joint holder applicants must sign as per mode of holding.	First/ Sole Unitholder: Signature	Unitholder 2: Signature	Unitholder 3: Signature

SECTION IX - NON-PROFIT ORGANISATION [NPO] DECLARATION

We are falling under "Non-Profit Organisation" [NPO] which has been constituted for religious or charitable purposes referred to in clause (15) of section 2 of the Income-tax Act, 1961 (43 of 1961), and is registered as a trust or a society under the Societies Registration Act, 1860 (21 of 1860) or any similar State legislation or a Company registered under the section 8 of the Companies Act, 2013 (18 of 2013).	☐ **Yes** ☐ **No**

If yes, please quote the NPO Registration Number provided by DARPAN portal: _____

If not, please register immediately and confirm with the above information. Failure to get above confirmation or registration with the portal as mandated, wherever applicable will force MF/ AMC to register your entity name in the above portal and may report to the relevant authorities as applicable. We am/ are aware that we may be liable for it for any fines or consequences as required under the respective statutory requirements and authorize you to deduct such fines/charges under intimation to me/ us or collect such fines/ charges in any other manner as might be applicable.

SECTION X - OTHER PERSONAL INFORMATION

Other Information	1st Applicant/ Minor	2nd Applicant	3rd Applicant	Guardian/ Contact Person (If Non-Individual Applicant)
Gender	☐ Male ☐ Female ☐ Other	☐ Male ☐ Female ☐ Other	☐ Male ☐ Female ☐ Other	☐ Male ☐ Female ☐ Other
Father's Name				
Spouse Name				
Occupation	☐ Private Sector ☐ Public Sector	☐ Private Sector ☐ Public Sector	☐ Private Sector ☐ Public Sector	☐ Private Sector ☐ Public Sector
	☐ Government Service ☐ Doctor	☐ Government Service ☐ Doctor	☐ Government Service ☐ Doctor	☐ Government Service ☐ Doctor
	☐ Business ☐ Professional	☐ Business ☐ Professional	☐ Business ☐ Professional	☐ Business ☐ Professional
	☐ Agriculturist ☐ Retired	☐ Agriculturist ☐ Retired	☐ Agriculturist ☐ Retired	☐ Agriculturist ☐ Retired
	☐ Student ☐ House Wife	☐ Student ☐ House Wife	☐ Student ☐ House Wife	☐ Student ☐ House Wife
	☐ Forex Dealer	☐ Forex Dealer	☐ Forex Dealer	☐ Forex Dealer
	☐ Others (Please Specify) _____	☐ Others (Please Specify) _____	☐ Others (Please Specify) _____	☐ Others (Please Specify) _____
Gross Income Range (in Rs.)	☐ Below 1 Lac ☐ 1-5 Lacs	☐ Below 1 Lac ☐ 1-5 Lacs	☐ Below 1 Lac ☐ 1-5 Lacs	☐ Below 1 Lac ☐ 1-5 Lacs
	☐ 5-10 Lacs ☐ 10-25 Lacs	☐ 5-10 Lacs ☐ 10-25 Lacs	☐ 5-10 Lacs ☐ 10-25 Lacs	☐ 5-10 Lacs ☐ 10-25 Lacs
OR	☐ 25 lacs-1 Cr ☐ 1-5 Cr	☐ 25 lacs-1 Cr ☐ 1-5 Cr	☐ 25 lacs-1 Cr ☐ 1-5 Cr	☐ 25 lacs-1 Cr ☐ 1-5 Cr
	☐ 5-10 Cr ☐ > 10cr	☐ 5-10 Cr ☐ > 10cr	☐ 5-10 Cr ☐ > 10cr	☐ 5-10 Cr ☐ > 10cr
Networth in Rs. (Not older than 1 year)	Rs.	Rs.	Rs.	Rs.
	As on: DD/MM/YYYY	As on: DD/MM/YYYY	As on: DD/MM/YYYY	As on: DD/MM/YYYY
Politically Exposed Person (PEP)	☐ Yes ☐ No ☐ Related to PEP	☐ Yes ☐ No ☐ Related to PEP	☐ Yes ☐ No ☐ Related to PEP	☐ Yes ☐ No ☐ Related to PEP
Type of Address given at KRA	☐ Residential ☐ Business ☐ Registered Office	☐ Residential ☐ Business ☐ Registered Office	☐ Residential ☐ Business ☐ Registered Office	☐ Residential ☐ Business ☐ Registered Office

SECTION XI - DECLARATION AND SIGNATURES

I/We have read and understood the contents of the Statement of Additional Information/ Scheme Information Document/ Key Information Memorandum of the respective scheme(s) of Kotak Mahindra Mutual Fund. I /We hereby apply for allotment / purchase of Units in the Scheme(s) indicated in Section V above and agree to abide by the terms and conditions applicable thereto. I /We hereby declare that I /We are authorised to make this investment in the abovementioned Scheme(s) and that the amount invested in the Scheme(s) is through legitimate sources only and does not involve and is not designed for the purpose of any contravention or evasion of any Act, Rules, Regulations, Notifications or Directions of the provisions of Income Tax Act, Anti Money Laundering Act, Anti Corruption Act or any other applicable laws enacted by the Government of India from time to time. I / We hereby authorise Kotak Mahindra Mutual Fund, its Investment Manager and its agents to disclose details of my investment to my/our Investment Advisor and / or my bank(s) / Kotak Mahindra Mutual Fund's bank(s). I /We have neither received nor been induced by any rebate or gifts, directly or indirectly, in making this investment.

I/ We confirm that the distributor has disclosed all commission (in the form of trail commission or any other mode) payable to the distributor for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/ us.

I have examined the information provided by me in this form and to the best of my knowledge and belief it is true, correct, and complete.

Applicable to NRIs seeking repatriation of redemption proceeds: I/We confirm that I am/ we are Non-Resident(s) of Indian Nationality / Origin and that I/We have remitted funds from abroad through approved banking channels or from funds in my/our NRE/ FCNR Account.

FATCA & CRS Declaration: I/We have understood the information requirements of this Form (read along with FATCA & CRS Instructions) and hereby confirm that the information provided by me/ us on this Form is true, correct, and complete. I/ We also confirm that I/ We have read and understood the FATCA & CRS Terms and Conditions and hereby accept the same. (Refer guideline No. 10).

Nomination: I/ We have read and understood the instructions on nomination and I/We hereby undertake to abide by the same.

KYC Declaration:

I/ We hereby consent to receiving information from Central KYC Registry through SMS/ E-mail on the above registered number/ email address. I also providing consent to MF/ AMC/ KRA to share this KYC data with CKYCR, download the information from CKYCR and other participating intermediaries as mandated by PMLA Act/ Rules/ SEBI guidelines.

I/ We hereby consent to receiving information from central KYC Registry through SMS/ E-mail on the above registered number/email address and to download the information from CKYCR.

I/ We am/ are providing the consent to MF/ RTA/ SEBI registered intermediary to share this KYC data/ applicable Aadhaar XML data with KRA and share the data to other participating intermediaries as mandate by PMLA Act/ Rules/ SEBI guidelines.

I/ We hereby declare that the details furnished above are true & correct to the best of my knowledge and undertake to inform KMAMC of any changes therein immediately, and I/we approve the usage of these contact details for any communication with KMAMC. Please note all kinds of investor communication, Transaction Information, Statement of Account, Annual Report and other kind of communication will be sent through email only instead of physical, for investors who provide their email address.

☐ I/ We wish to opt in to receive Statement of Account, Annual Report and any other regulatory communication in physical mode.

SIGNATURE(S) (To be signed by All Applicants)			
	Sole / First Applicant	Second Applicant	Third Applicant

Please tick if the investment is operated as POA / Guardian ☐ POA ☐ Guardian

Note: If the application is incomplete and any other requirements is not fulfilled, the application is liable to be rejected.

Checklist

Please ensure that:

☞ Your Application Form is complete in all respects & signed by all applicants:
- Name, Address and Contact Details are mentioned in full.
- Bank Account Details are entered completely and correctly. 11-digit IFSC Code of your Bank is correctly updated in the Application Form.
- **Permanent Account Number (PAN)** Mandatory for all Investors (Indian & NRI) Irrespective of the Investment amount.
- **Know Your Client (KYC)** Mandatory for irrespective of the amount of investment (please refer the guideline 2(d) for more information)
- Please ensure that Relationship is correctly provided, in case of Mobile Number & Email Address. For investment under HUF capacity, if mobile number and e-mail address is provided of the Karta, please select relationship as 'Custodian'.

☞ Your Investment Cheque is drawn in favour of **< Scheme Name >** dated and signed.
☞ Application Number is mentioned on the face of the cheque.
☞ A cancelled Cheque leaf of your Bank is enclosed in case your investment cheque is not from the bank account that you have furnished in the Application Form.
☞ Documents as listed below are submitted along with the Application form (as applicable to your specific case)

Document	Companies	Trusts	Societies	Partnership Firms	NRIs/ PIOs	FIIs	Investments through Constituted Attorney
1. Resolution / Authorisation to invest	✓	✓	✓	✓		✓	
2. List of Authorised Signatories with Specimen Signature(s)	✓	✓	✓	✓		✓	✓
3. Memorandum & Articles of Association	✓						
4. Trust Deed		✓					
5. Bye-Laws			✓				
6. Partnership Deed				✓			
7. Notarised Power of Attorney							✓
8. Account Debit/ Foreign inward Remittance Certificate fromremitting Bank					✓	✓	

All documents in 1 to 8 above should be originals / true copies certified by the Director / Trustee / Company Secretary / Authorised Signatory / Notary Public

GUIDELINES FOR FILLING UP THE APPLICATION FORM

1. GENERAL INFORMATION

a) Please fill up the Application Form legibly in English in CAPITAL LETTERS.

b) Please read this Memorandum and the respective SAI/ SID carefully before investing. Your application for allotment of units in the Scheme(s) is construed to have been made with a full understanding of the terms and conditions applicable to it and the same is binding on you in respect of your investment in the Scheme(s).

c) Application Forms incomplete in any respect or not accompanied by a Cheque are liable to be rejected. In case your investment application gets rejected on account of the same being incomplete in any respect, your investment amount would be refunded without interest within 5 days.

d) Any correction / over writing in the application form must be signed by the investor.

e) If the Name given in the application is not matching PAN card, application may be liable to get rejected or further transactions may be liable get rejected.

f) AMC shall not be responsible for direct credit rejects or / payout delays due to incorrect/ incomplete information provided by investor.

g) In terms of SEBI Circular No. SEBI/IMD/CIR No. 4/168230/09 dated June 30, 2009, no entry load will be charged on purchase / additional purchase / switch-in. The commission as specified in the aforesaid circular, if any, on investment made by the investor shall be paid by the investor directly to the Distributor, based on his assessment of various factors including the service rendered by the Distributor.

h) The distributor shall disclose all commissions (in the form of trail commission or any other mode) payable to them for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to the investor.

i) In case of investments in the name of a minor, purchase has to be from minor account or from joint account with guardian (Parent/ Court Appointed) only. The registered guardian in the bank account of the minor should be the same guardian as mentioned in the folio/application. This will ensure seamless payment of redemption/ IDCW amount to the minor's account. Please furnish valid proof of Date of Birth of minor.

j) If the name is not mentioned as per the PAN card, the name will be captured as per the PAN Card if attached.

k) If the balance in the scheme/ plan is less than the request amount/ units of redemption request, then the redemption transaction shall be processed for all available units in the scheme/ plan.

l) If you have opted to redeem/ switch-out 'All Units Free from Exit Load', then the shame shall be processed only on FIFO basis.

2. APPLICANT'S INFORMATION

a) If you are already a Unitholder in any scheme of the Fund and wish to make your present investment in the same Account, please fill in the Name of Sole/ First Holder, PAN & Folio No. in Section I, of the Application Form and then proceed to Section XI.

Your personal information and bank account details updated in your existing account would also apply to this investment.

b) If you are applying for units in Kotak Mahindra Mutual Fund for the first time, please furnish your complete postal address with Pin Code (P.O. Box No. not enough) and your Contact Nos. This would help us reach you faster.

c) Permanent Account Number (PAN) Information (Mandatory) With effect from January 1, 2009, it is mandatory for all existing and new investors (including joint holders, guardians of minors and NRIs) to enclose a copy of PAN card to the application for investing in mutual fund Schemes.

d) Know Your Client (KYC)

With reference to SEBI Circular MIRSD/Cir-26/2011 dated December 23, 2011, investors may kindly note w.e.f. January 1, 2012, it is mandatory for all individual/ non individual investors to be KYC Compliant. Investors can approach any SEBI registered KRA for doing KYC.

In the event of KYC Form being subsequently rejected for lack of information/ deficiency/ insufficiency of mandatory documentation, the investment transaction will be cancelled and the amount may be redeemed at applicable NAV, subject to payment of exit load, wherever applicable.

e) If you are KYC Complaint, your Change of Address, Change in Name, etc. should be given at KRA for updation.

3. THIRD PARTY PAYMENT

Reference to AMFI Best Practice Guidelines Circular No. 16/2010 -11 on Risk Mitigation process agains Third Party Cheques in Mutual Fund Subscriptions will not be accepted by the Scheme.

Definition of Third Party Cheques

- Where payment is made through instruments issued from an account other than that of the beneficiary investor, the same is referred to as Third-Party payment.
- In case of a payment from a joint bank account, the first holder of the mutual fund folio has to be one of the joint holders of the bank account from which payment is made. If this criterion is not fulfilled, then this is also construed to be a third party payment.

However, afore-mentioned clause of investment with Third-Party Payment shall not be applicable for the below mentioned exceptional case.

- Payment for investment by any mode shall be accepted from the bank account of the minor, parent or legal guardian of the minor or from a joint account of the minor with parent or legal guardian.
- Custodian on behalf of an FII or a client.

Kotak Mahindra Asset Management Co. Ltd./ Trustee retains the sole and absolute discretion to reject/ not process application and refund subscription money if the subscription does not comply with the specified provisions of Payment Instruments

4. TERMS & CONDITIONS FOR INVESTORS WHO WISH TO HOLD THEIR UNITS IN DEMAT MODE

a. The Demat Account Details section on the investment application form needs to completely filled

b. Please ensure that you submit supporting documents evidencing the accuracy of the demat account details. Applications received without supporting documents could be processed under the physical mode.

c. The units will be credited to the Demat Account only post realisation of payment.

d. The nomination details as registered with the Depository Participant shall be applicable to unitholders who have opted to hold units in Demat mode.

e. For units held in demat mode, the bank details mentioned on investment application form shall be replaced with the bank details as registered with the Depository Participant.

f. For units held in demat form, the KYC performed by the Depository Participant of the applicants will be considered as KYC verification done by the Trustee / AMC. However, if the transfer of unit to demat account is rejected for any reason whatsoever, the transaction will be liable to be rejected if KYC performed by KRA is not attached with the investment application form.

g. In case of Unit Holders holding units in the demat mode, the Fund will not send the account statement to the Unit holders. The statement provided by the Depository Participant will be equivalent to the account statement.

h. If the investor names and their sequence in the investment application form does not match with the Demat Account details provided therein, the units will not be transferred to the Demat Account & units will be held in physical form.

i. The option of holding units in demat form is not being currently offered for investment in IDCW option of schemes/ plans having IDCW frequency of less than a month (ie: Investments in all Daily, Weekly and Fortnightly IDCW Schemes cannot be held in Demat mode)

j. In case the application is rejected post banking your payment instrument, the refund instrument will be sent with the bank details furnished in the investment application form & not as available in the Demat Account, post reconciliation of accounts.

5. BANK ACCOUNT DETAILS

a) Please furnish the Name of your Bank, Branch and City (i.e clearing circle in which the branch participates), Account Type and Account Number. This is mandatorily required as per SEBI. Applications without this information will be deemed to be incomplete & would be rejected. RTGS IFSC code & NEFT IFSC code would help us serve you better.

b) Please enclose a cancelled Cheque leaf of your Bank in case your investment cheque is not from the same account.

GUIDELINES FOR FILLING UP THE APPLICATION FORM

6. **E-MAIL COMMUNICATION**

If the investor has provided an email address, the same will be registered in our records and will be treated as your consent to receive, Allotment confirmations, consolidated account statement/account statement, annual report/abridged summary and any statutory / other information as permitted via electronic mode /email. These documents shall be sent physically in case the Unit holder opts/request for the same. The AMC / Trustee reserve the right to send any communication in physical mode.

7. **INVESTMENT DETAILS**

a) Cheques should be crossed "A/c Payee Only" and drawn in favour of the Scheme in which you propose to invest. In case of discrepancy between the scheme name mentioned in the investment application form and cheque, the units will be allotted as per scheme name mentioned on the investment application form.

b) If you are residing/ located in a city/ town where we do not have an Official Acceptance Point, please draw a Cheque payable at par and submit at your nearest city/ town where we have an Official Acceptance Point.

c) Payments by Cash, Stock invests, Outstation Cheques, Non-MICR Cheques will not be accepted. Post dated cheques will not be accepted except for investments made under Systematic Investment Plan.

d) NRI investors are requested to provide debit certificate from their bank for each investment.

e) If you are submitting a single cheque for investment in more than 1 schemes/ plan, then please ensure that your investment cheque is drawn in the name of 'Kotak Mahindra Mutual Fund'.

8. NOMINATION DETAILS

1. The nomination can be made only by individuals applying for/ holding units on their own behalf, singly or jointly.
2. You can make nomination or change nominee any number of times without any restriction.
3. Non-individuals including a Society, Trust, Body Corporate, Partnership Firm, Karta of Hindu Undivided Family, a Power of Attorney holder and/ or Guardian of Minor unitholder cannot nominate.
4. Nomination is not allowed in a folio of a Minor Unitholder.
5. If the units are held jointly (i.e., in case of multiple unitholders in the folio), the nomination form can be signed by any or all holders, as per the mode of operation of the folio.
6. Nomination can also be in favour of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust.
7. The Nominee shall not be a trust (other than a religious or charitable trust), Society, Body Corporate, Partnership Firm, Karta of Hindu Undivided Family or a Power of Attorney holder.
8. A Non-Resident Indian may be nominated subject to the applicable exchange control regulations.
9. Multiple Nominees: Nomination can be made in favour of multiple nominees, subject to a maximum of three nominees. In case of multiple nominees, the percentage of the allocation/share should be in whole numbers without any decimals, adding upto a total of 100%. If the percentage of allocation/share for each of the nominee is not mentioned, the allocation /claim settlement shall be made equally amongst all the nominees. Any odd lot after division shall be assigned / transferred to the first nominee mentioned in the form.
10. Every new nomination for a folio/ account shall overwrite the existing nomination, if any.
11. Nomination made by a unit holder shall be applicable for units held in all the schemes under the respective folio/ account.
12. Death of Nominee/s: In the event of the nominee(s) pre-deceasing the unitholder(s), the unitholder/s is/are advised to make a fresh nomination soon after the demise of the nominee. The nomination will automatically stand cancelled in the event of the nominee(s) pre-deceasing the unitholder(s). In case of multiple nominations, if any of the nominee is deceased at the time of death claim settlement, the said nominees share will be distributed on pro rata basis to surviving nominees.
13. Death of Unitholder(s): In the event of the unitholder's death, the surviving joint holder(s) shall have the right to continue, modify, or revoke the previously made nominations.
14. The Nomination will be registered only when this form is completed in all respects to the satisfaction of the AMC.
15. In respect of folios/ accounts where the Nomination has been registered, the AMC will not entertain any request for transmission/ claim settlement from any person other than the registered nominee(s), unless so directed by any competent court.

9. Employee Unique Identification Number (EUIN): SEBI has made it compulsory for every employee/ relationship manager/ sales person of the distributor of mutual fund products to quote the EUIN obtained by him/her from AMFI in the Application Form. EUIN would assist in addressing any instance of mis-selling even if the employee/ relationship manager/sales person later leaves the employment of the distributor. Hence, if your investments are routed through a distributor please ensure that the EUIN is correctly filled up in the Application Form.

However, if your distributor has not given you any advice pertaining to the investment, the EUIN box may be left blank. In this case you are required to provide the declaration to this effect as given in the form.

10. FATCA and CRS related details: Details under FATCA & CRS The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income tax Rules, 1962, which Rules require Indian financial institutions such as the Bank to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders. In relevant cases, information will have to be reported to tax authorities / appointed agencies. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto.

Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days.

Please note that you may receive more than one request for information if you have multiple relationships with (Insert FI's name) or its group entities. Therefore, it is important that you respond to our request, even if you believe you have already supplied any previously requested information.

11. DECLARATION AND SIGNATURES

a) Signatures can be in English or in any other Indian language. Thumb impressions must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate under his/her official seal.

b) Applications by minors must be signed on their behalf by their guardians.

c) If you are investing through your constituted attorney, please ensure that the POA document is signed by you and your Constituted Attorney. The signature in the Application Form, then, needs to clearly indicate that the signature is on your behalf by the Constituted Attorney.

(Application not complying with any of the above instructions/ guidelines would be\liable to be rejected.)

kotak® Mutual Fund

ASBA FORM

APPLICATION SUPPORTED BY BLOCKED AMOUNT

1. NEW APPLICANT'S PERSONAL INFORMATION

SOLE/FIRST APPLICANT

GUARDIAN (in case Sole / First Applicant is a minor)

CONTACT PERSON (in case of Non-individual applicants)

Designation

SECOND APPLICANT (Joint Holder 1)

THIRD APPLICANT (Joint Holder 2)

Category Please (Tick ✔)	
Retail Individual Investor(s)	☐
Non-Individual Investor(s)	☐

MODE OF OPERATION (where there is more than one applicant) ◯ First Holder only ◯ Anyone or Survivor ◯ Joint

	SOLE / FIRST APPLICANT	SECOND APPLICANT	THIRD APPLICANT
PAN No. (Irrespective of Size of the investment) (Application without this information are liable to be rejected)			

2. ISIN DETAILS

ISIN Number:

Scheme Name: **KOTAK FIXED MATURITY PLAN SERIES 336**

3. SCSB DETAILS

Bank Account Number:

Bank and Branch where account is held

Total Amount to be blocked (Rs. in figures) (Rs. in words)

(Amount to be blocked shall be the No. of Unit for x Unit Price).

MANDATORY 4. DEMAT ACCOUNT DETAILS

(Please ensure that unit holders given an option to hold the units in demat form in addition to account statement as per current practice and the sequence of names as mentioned in the application form matches with the Depository Participant.)

	NSDL	CDSL
DP Name		
DP ID*	I N	
Beneficiary Account No.		

***In case Unit holders do not provide their Demat Account details, an Account Statement shall be sent to them. Such investors will not be able to trade on the stock exchange.**

UNDERTAKING BY ASBA INVESTOR/ ACCOUNT HOLDER

1) I/We hereby undertake that I/We am/are an ASBA investor(s) as per the applicable provisions of the SEBI (Issue of Capital and Disclosure Requirements) Regulations, 2009. 2) In accordance with ASBA process provided in the SEBI (Issue of Capital and Disclosure Requirements) Regulations, 2009, I/We authorize (a) the SCSB to do all acts as are necessary to make an application for purchase of units in the NFO of the Company, blocking the amount to the extent mentioned above in the SCSB details or unblocking of funds in the bank account maintained with the SCSB specified in the ASBA form, transfer of funds to the Issuer's account designated for this purpose on receipt of instruction from the Registrar to the Issue after finalisation of the basis of allotment entitling me/us to receive Units on such transfer of funds, etc. (b) Registrar to the KMAMC to issue instructions to the SCSB to remove the block on the funds in the bank account specified in the ASBA Form, upon finalisation of the basis of allotment and to transfer the requisite money to the Issuer's account designed for this purpose. 3) In case the amount available in the bank account specified in the ASBA Form is insufficient for blocking the amount equivalent to the application money, the SCSB shall reject the application. 4) If the DP ID, Client ID or PAN furnished by me/us in the ASBA Form is incorrect or incomplete, the ASBA Application shall be rejected and the AMC, R&TA and SCSB shall not be liable for losses, if any. 5) I/We hereby authorise the SCSB to make relevant revisions as may be required to be done during the NFO, in the event of price revision.

SIGNATURE OF THE APPLICANT(S)			
SIGNATURE OF THE BANK ACCOUNT HOLDER(S)			

Attn. : NRI Investors : Payment should be made through their NRE/FCNR accounts.

TEAR HERE

ACKNOWLEDGEMENT SLIP FOR SCSB

TO BE RETAINED BY THE BANKER (To be filled by the Sole/First Applicant)

kotak® Mutual Fund

KOTAK MAHINDRA MUTUAL FUND
6th Floor, Kotak Infiniti, Building No. 21, Infinity Park,
Gen. A. K. Vaidya Marg, Malad (East), Mumbai - 400097.
Tel No.: 022-6605 6825
Web: www.kotakmf.com

Date : _____ 2024

Received from Mr./Ms.

Address

Tel/Fax | Mobile

E-mail

SCSB Account Details

Bank Name:

Bank Account Number:

Branch Address:

Total Amount to be blocked (Rs.)

No. of Units (In Figures)	

SIGNATURE(S)

TEAR HERE

ACKNOWLEDGEMENT SLIP FOR INVESTOR

TO BE GIVEN BY THE SCSB (To be filled by the Sole/First Applicant)

kotak® Mutual Fund

KOTAK MAHINDRA MUTUAL FUND
6th Floor, Kotak Infiniti, Building No. 21, Infinity Park,
Gen. A. K. Vaidya Marg, Malad (East), Mumbai - 400097.
Tel No.: 022-6605 6825
Web: www.kotakmf.com

Date : _____ 2024

INVESTMENT DETAILS

Scheme Name: KOTAK FIXED MATURITY PLAN SERIES 336	**Plan:**
Option:	**Frequency:**
Amount in figures:	**Amount in words:**
No of units:	**Unit price:**

Received From

Mr./Ms.

Address

Telephone Pin Code

Bank Account Number :

Bank Name & Branch Address :

Total Amount to be blocked (Rs.)

SCSB Stamp
Signature, Date & Time of Bid Form Submission

(Cheques are subject to realisation)

Note : Only purchases registered on the electronic system will be considered for allocation. Therefore, kindly ensure that you get a computerised TRS for every Investment from the SCSB. Please note that validity of the purchases or any allocation thereon, is subject to realisation of the correct amount. Please retain photocopy of this form for future reference.

kotak Mutual Fund

ASBA FORM

APPLICATION SUPPORTED BY BLOCKED AMOUNT

IMPORTANT INFORMATION INSTRUCTIONS FOR ASBA MUTUAL FUND INVESTORS

Background: In its continuing endeavour to make the existing public issue process more efficient SEBI introduced a supplementary process of applying in public issues, viz: the "Applications Supported by Blocked Amount (ASBA" process. Accordingly, Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2009, as amended have been amended for ASBA process. The salient features of circular no. SEBI/CFD/DIL/ASBA/1/2009/30/12 dated December 30, 2009 available on SEBI website for "Additional mode of payment through Applications Supported by Blocked Amount (hereinafter referred to as "ASBA") are mentioned below for understanding the ASBA process:

1. Meaning of ASBA: ASBA is an application for subscribing to a New Fund Offer (NFO), containing an authorisation to block the application money in a bank account.

2. Self Certified Syndicate Bank (SCSB): SCSB is a banker to an issue registered with the SEBI which offers the facility of applying through the ASBA process. The list of SCSBs will be displayed by SEBI on its website at www.sebi.gov.in from time to time. ASBAs can be accepted only by SCSBs, whose names appear in the list of SCSBs displayed on SEBI's website. As on April 15, 2010, 27 Banks have been recognised as SCSBs. Investors maintaining their accounts in of any of these Banks may approach one of the designated branches of these SCSBs for availing this facility. Further it may be noted that from time to time new banks register themselves as SCSBs who become eligible to provide these services and also the existing SCSBs designate additional branches that also provide this facility. An updated list of all the registered SCSBs, their controlling branches, contact details and details of their contact persons, a list of their designated branches which are providing such services is available on the website of SEBI at the address http://www.sebi.gov.in. Further these details are also available on the websites of the Stock Exchanges at http://www.bseindia.com and http://www.nseindia.com. Alternatively, investors may also contact the AMC, R&TA for information about the SCSBs or the ASBA process. These SCSBs are deemed to have entered into an agreement with the Issuer and shall be required to offer the ASBA facility to all its account holders for all issues to which ASBA process is applicable. A SCSB shall identify its Designated Branches (DBs) at which an ASBA bidder shall submit ASBA and shall also identify the Controlling Branch (CB), which shall act as a coordinating branch for the Registrar to the Issue, Stock Exchanges and Merchant Bankers. The SCSB, its DBs and CB shall continue to act as such, for all issues to which ASBA process is applicable. The SCSB may identify new DBs for the purpose of ASBA process and intimate details of the same to SEBI, after which SEBI will add the DB to the list of SCSBs maintained by it. The SCSB shall communicate the following details to Stock Exchanges for making it available on their respective websites. These details shall also be made available by the SCSB on its website: (i) Name and address of the SCSB (ii) Addresses of DBs and CB and other details such as telephone number, fax number and email ids. (iii) Name and contact details of a nodal officer at a senior level from the CB.

3. Eligibility of Investors: An Investor shall be eligible to apply through ASBA process, if he/she: (i) is a "Resident Retail Individual Investor, Non-Individual Investor, QIBs, Eligible NRIs applying on non-repatriation basis, Eligible NRIs applying on repatriation basis i.e. any investor, (ii) is applying through blocking of funds in a bank account with the SCSB; Such investors are hereinafter referred as "ASBA Investors".

4. ASBA Facility in Brief: Investor shall submit his Bid through an ASBA cum Application Form, either in physical or electronic mode, to the SCSB with whom the bank account of the ASBA Investor or bank account utilised by the ASBA Investor ("ASBA Account") is maintained. The SCSB shall block an amount equal to the NFO application Amount in the bank account specified in the ASBA cum Application Form, physical or electronic, on the basis of an authorisation to this effect given by the account holder at the time of submitting the Application. The Bid Amount shall remain blocked in the aforesaid ASBA Account until the Allotment in the New fund Offer and consequent transfer of the Application Amount against the allocated Units to the Issuer's account designated for this purpose, or until withdrawal/failure of the Offer or until withdrawal/rejection of the ASBA Application, as the case may be. The ASBA data shall thereafter be uploaded by the SCSB in the electronic IPO system of the Stock Exchanges. Once the Allotment is finalised, the R&TA to the NFO shall send an appropriate request to the Controlling Branch of the SCSB for unblocking the relevant bank accounts and for transferring the amount allocable to the successful ASBA Bidders to the AMC account designated for this purpose. In case of withdrawal/Rejection of the Offer, the R&TA to the Offer shall notify the SCSBs to unblock the blocked amount of the ASBA Bidders within one day from the day of receipt of such notification.

5. Obligations of the AMC: AMC shall ensure that adequate arrangements are made by the R&TA for the NFO to obtain information about all ASBAs and to treat these applications similar to non-ASBA applications while allotment of Units, as per the procedure specified in the Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2009.

Investors are requested to check with their respective banks about the availability of the ASBA facility.

Other Information for ASBA Investors:

1. SCSB shall not accept any ASBA after the closing time of acceptance on the last day of the NFO period.
2. SCSB shall give ASBA investors an acknowledgment for the receipt of ASBAs.
3. SCSB shall not upload any ASBA in the electronic system of the Stock Exchange(s) unless (i) it has received the ASBA in a physical or electronic form; and (ii) it has blocked the application money in the bank account specified in the ASBA or has systems to ensure that Electronic ASBAs are accepted in the system only after blocking of application money in the relevant bank account opened with it.
4. SCSB shall ensure that complaints of ASBA investors arising out of errors or delay in capturing of data, blocking or unblocking of bank accounts, etc. are satisfactorily redressed.
5. SCSB shall be liable for all its omissions and commissions in discharging responsibilities in the ASBA process.
6. R&TA to the NFO shall act as a nodal agency for redressing complaints of ASBA and non-ASBA investors, including providing guidance to ASBA investors regarding approaching the SCSB concerned.

Grounds for rejection of ASBA applications

ASBA application forms can be rejected by the AMC/Registrar/ SCSBs, on the following technical grounds:

1. Applications by persons not competent to contract under the Indian Contract Act, 1872, including but not limited to minors, insane persons etc.
2. Mode of ASBA i.e. either Physical ASBA or Electronic ASBA, not selected or ticked.
3. ASBA Application Form without the stamp of the SCSB.
4. Application by any person outside India if not in compliance with applicable foreign and Indian laws.
5. Bank account details not given/incorrect details given.
6. Duly certified Power of Attorney, if applicable, not submitted alongwith the ASBA application form.
7. No corresponding records available with the Depositories matching the parameters namely (a) Names of the ASBA applicants (including the order of names of joint holders) (b) DP ID (c) Beneficiary account number or any other relevant details pertaining to the Depository Account.
8. Insufficient funds in the investor's account
9. Application accepted by SCSB and not uploaded on/with the Exchange / Registrar