Infinity SIF
by kotak Mutual Fund

KEY INFORMATION MEMORANDUM (KIM)

INFINITY EQUITY LONG-SHORT FUND

(An open ended equity investment strategy investing in listed equity and equity related instruments including limited short exposure in equity through derivative instruments)

Offer for Units of ₹10 each for cash during the New Fund Offer and Continuous offer for Units at NAV based prices

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Building No. 21, Infinity Park,
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Gen.A.K. Vaidya Marg, Malad (E)
Mumbai - 400 097.
☎ 1800 309 1490 (Tollfree)
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New Fund Offer Opens on: November 17, 2026 **New Fund Offer Closes on:** December 01, 2026
Investment Strategy Re-opens for continuous sale and repurchase on: December 10, 2026

This product is suitable for investors who are seeking $:	Risk-band*	Benchmark Risk- band*
• To generate Long term capital appreciation • An open ended equity investment strategy investing in listed equity and equity related instruments including limited short exposure in equity through derivative instruments.	RISK BAND LOWER RISK HIGHER RISK 1 2 3 4 5 Risk Level 3	BENCHMARK RISK BAND LOWER RISK HIGHER RISK 1 2 3 4 5 Risk Level 3 Nifty 100 Total return index (TRI)

$Investors should consult their financial advisers if in doubt whether the product is suitable for them.
*The Risk Band is as per AMFI specification.
The above product labelling assigned during the New Fund Offer (NFO) is based on internal assessment of the characteristics of the investment strategy or model portfolio and the same may vary post NFO when the actual investments are made.

This Key Information Memorandum (KIM) sets forth the information, which a prospective investor ought to know before investing.

For further details of the Investment Strategy /SIF, due diligence certificate by the AMC, Key Personnel, investors' rights & services, risk factors, penalties & pending litigations etc. investors should, before investment, refer to the Investment Strategy Information Document and Statement of Additional Information available free of cost at any of the Investor Service Centers or distributors or from the website https://www.kotakmf.com/sif.

The Investment Strategy particulars have been prepared in accordance with Securities and Exchange Board of India (Mutual Funds) Regulations 2026, as amended till date, and filed with Securities and Exchange Board of India (SEBI). The units being offered for public subscription have not been approved or disapproved by SEBI, nor has SEBI certified the accuracy or adequacy of this KIM.

This Key Information Memorandum is dated October 27, 2026.

Investment strategy code

INFI/O/E/ELSF/26/06/0002/KOTM

Investment Objective

To generate long-term capital appreciation by investing in a diversified portfolio of equity and equity-related instruments that may employ limited short positions via derivatives to potentially improve return outcomes and manage portfolio risks more effectively.

There is no assurance that the investment objective of the Investment strategy will be achieved.

Asset Allocation Pattern of the scheme

Under normal circumstances, the indicative asset allocation under the SIF Investment Strategy will be as follows:

Instruments	Indicative allocations (% of total assets)	
	Minimum	Maximum
Investment in equity and equity related instruments* (including unhedged short exposure) ^	80	100
Investments in Debt & Money Market Instruments#	0	20
Investments in InvITs	0	20

*Equity related instruments may also include units of equity oriented mutual funds and Equity ETF (including overseas equity oriented mutual funds and Equity ETF)

^Short exposure through unhedged derivative positions in equity and equity related instruments will be upto 25% of net Asset

Pursuant to para 8.5, 13.15 and 21.6 of SEBI Master circular No. HO/24/13/11(1)2026-IMD-POD-1/I/7602/2026 dated March 20, 2026 the strategy may use derivatives (including fixed income derivatives instruments) as permitted by SEBI from time to time and subject to guidelines issued by SEBI from time to time.

In accordance with the clause 21.3, 21.6.(e) and clause and 13.18 of the SEBI Master circular No. HO/24/13/11(1)2026-IMD-POD-1/I/7602/2026 dated March 20, 2026, the cumulative gross exposure through equity, debt, derivative positions (including fixed income derivatives), repo transactions in corporate debt securities, and credit default swaps, Infrastructure Investment Trusts (InvITs), other permitted securities/assets and such other securities/assets as may be permitted by SEBI from time to time should not exceed 100% of the net assets of the SIF investment strategy.

#Money Market instruments include commercial papers, commercial bills, treasury bills, Government securities having an unexpired maturity up to one year, call or notice money, certificate of deposit, usance bills, tri-party repos, and any other like instruments as specified by the Reserve Bank of India from time to time.

Pursuant to para 13.18.6 of SEBI Master circular No. HO/24/13/11(1)2026-IMD-POD-1/I/7602/2026 dated March 20, 2026, Cash or cash equivalents with residual maturity of less than 91 days may be treated as not creating any exposure. Cash Equivalent shall consist of the following securities having residual maturity of less than 91 days:

a) Government Securities;

b) T-Bills; and

c) Repo on Government securities.

The strategy may invest in securitized debt up to 10% of the debt allocation.

Pursuant to para 13.6 of SEBI Master circular No. HO/24/13/11(1)2026-IMD-POD-1/I/7602/2026 dated March 20, 2026, the Trustee may permit the SIF Investment strategy to engage in securities lending and borrowing. At present, since only lending is permitted, the strategy may temporarily lend securities held with the Custodian to reputed counterparties or on the exchange, for a fee, subject to prudent limits and controls for enhancing returns. The strategy will lend securities subject to a maximum of 20%, in aggregate, of the net assets of the strategy and 5% of the net assets of the strategy in the case of a single intermediary.

The strategy will invest up to a maximum of 20 % of its net assets in foreign securities as specified in the Para 13.11 of SEBI Master circular No. HO/24/13/11(1)2026-IMD-POD-1/I/7602/2026 dated March 20, 2026.

In accordance with Clause 3 of Sixth Schedule of SEBI (Mutual Funds) Regulations 2026, read with Para 13.14.1 of SEBI Master Circular no. HO/24/13/11(1)2026-IMD-POD-1/I/7602/2026 dated March 2026,the strategy may invest in another scheme of the Kotak Mahindra Mutual Fund or any other Mutual Fund without charging any fees, provided that aggregate inter-scheme investment made by all schemes under the management of Kotak Mahindra Asset Management Company Limited or in schemes under the management of any other asset management company shall not exceed 5% of the net asset value of Kotak Mahindra Mutual Fund.

Pursuant to para 13.8 of SEBI Master Circular no. HO/24/13/11(1)2026-IMD-POD-1/I/7602/2026 dated March 20, 2026, the strategy shall participate in the corporate bond repo transactions and in accordance with extant SEBI/RBI guidelines and any subsequent amendments thereto specified by SEBI and/or RBI from time to time. The gross exposure of the strategy to repo transactions in corporate debt securities shall not be more than 10 % of the net assets of the strategy.

Indicative Table (Actual instrument/percentages may vary subject to applicable SEBI circulars)

Sr. No.	Type of Instrument	Percentage of exposure (Maximum)	Circular references
1.	Securities Lending	Up to 20% of the net assets with maximum single intermediary exposure restricted to 5% of the net assets.	Para 13.6 of Master Circular No. HO/24/13/11(1)2026-IMD-POD-1/I/7602/2026 dated March 20, 2026
2.	Securitized Debt	Upto 10% of the debt portion of the SIF investment strategy	Clause 13.1 of SEBI Master Circular No. HO/24/13/11(1)2026-IMD-POD-1/I/7602/2026 dated March 20, 2026

Sr. No.	Type of Instrument	Percentage of exposure (Maximum)	Circular references
3.	Overseas Securities	Upto 20 % of the net assets of the SIF Investment strategy	Clause 13.11 of SEBI Master circular No. HO/24/13/11(1)2026-IMD-POD-1/I/7602/2026 dated March 20, 2026
4.	Units of InVITS	Upto 20% of the net assets of the SIF Investment strategy and not more than 10 per cent of its NAV in the units of InvIT issued by a single issuer	Clause 21.5 read with Clause 13.13.5. of SEBI Master circular No.HO/24/13/11(1)2026-IMD-POD-1/I/7602/2026 dated March 20, 2026.
5. (a)	Debt Instruments having Structured Obligation (SO rating) and / or Credit Enhancements (CE rating)	Up to 10% of debt portfolio of the SIF Investment strategy	Clause 13.1 of SEBI Master Circular No. HO/24/13/11(1)2026-IMD-POD-1/I/7602/2026 dated March 20, 2026
5. (b)	Debt Instruments with special features i.e. Additional Tier I (AT1)/ Perpetual Bonds and Tier 2 Bonds	Up to 10% of debt portfolio of the SIF Investment strategy	Clause 13.1 of SEBI Master Circular No. HO/24/13/11(1)2026-IMD-POD-1/I/7602/2026 dated March 20, 2026
6	Triparty Repo (TREPS) on Government securities or treasury bills.	In accordance with SEBI Guidelines.	-
7.	Repo/ reverse repo transactions in corporate debt securities	Not exceeding 10% of the net assets of the SIF investment strategy	Clause 13.8 of SEBI Master Circular No. HO/24/13/11(1)2026-IMD-POD-1/I/7602/2026 dated March 20, 2026.
8.	Credit Default Swaps	Exposure to a single counterparty in CDS transactions shall not exceed 10% of the net assets of the SIF Investment strategy.	Clause 13.17 of SEBI Master Circular No. HO/24/13/11(1)2026-IMD-POD-1/I/7602/2026 dated March 20, 2026
9.	Units of Mutual Fund	Up to 50% The Investment strategy may invest in another mutual fund scheme under the same AMC or any other mutual fund without charging any fees, provided that aggregate inter-scheme investment made by all Strategy under the same AMC or in schemes under the management of any other asset management shall not exceed 5% of the net asset value of the Kotak Mahindra Mutual Fund.	Clause 3 of Sixth Schedule of SEBI (Mutual Funds) Regulations 2026, read with Para 13.14.1 of SEBI Master Circular no. HO/24/13/11(1)2026-IMD-POD-1/I/7602/2026 dated March 20, 2026
10.	Derivatives positions	Upto 100 % of the net assets of the SIF investment strategy	-
10. (a)	Equity / Debt Derivatives for hedging purpose	Equity Derivatives - Upto 100% of equity allocation Debt Derivatives - Upto 100% of debt allocation	-
10. (b)	Naked Derivatives (shorts) for non hedging purpose	Maximum short exposure through unhedged derivative positions in equity instruments: 25%.	As per Para 21.6.1.(a) of SEBI Master circular No. HO/24/13/11(1)2026-IMD-POD-1/I/7602/2026 dated March 20, 2026
10. (c)	Derivatives for non-hedging and other than for portfolio rebalancing Purposes	Unhedged Long exposure: Up to 50% of the net assets.	Clause 8.5 & 13.15 of SEBI Master circular No. HO/24/13/11(1)2026-IMD-POD-1/I/7602/2026 dated March 20, 2026
10. (d)	Exchange Traded Commodity Derivatives (ETCDs)	SIF Investment strategy shall not invest in ETCD	-

Portfolio Rebalancing:

As per para 3.11 of SEBI Master circular No. HO/24/13/11(1)2026-IMD-POD-1/I/7602/2026 dated March 20, 2026 in the event of any deviation from mandated asset allocation mentioned above, due to passive breaches, rebalancing period will be Thirty (30) business days. In case the portfolio is not rebalanced within Thirty (30) business days, justification in writing, including details of efforts taken to rebalance the portfolio shall be placed before the Investment Committee. The Investment Committee, if so desired, can extend the timelines up to sixty (60) business days from the date of completion of mandated rebalancing period. In case the portfolio of the SIF Investment Strategy is not rebalanced within the aforementioned mandated plus extended timelines, the AMC shall not launch any new SIF investment strategy till the time the portfolio is rebalanced and also not levy exit load, if any on the investors exiting the strategy. However, at all times the portfolio will adhere to the overall investment objective of the strategy.

As per para 7.24 of SEBI Master circular No. HO/24/13/11(1)2026-IMD-POD-1/I/7602/2026 dated March 20, 2026, the SIF Investment Strategy shall deploy the funds garnered in an NFO within 30 business days from the date of allotment of units. In an exceptional case, if the AMC is not able to deploy the funds in 30 business days, reasons in writing, including details of efforts taken to deploy the funds, shall be placed before the Investment Committee of the AMC. The Investment Committee, if so desired, can extend the timelines up to thirty (30) business days from the date of completion of mandated deployment period.

Short Term Defensive Consideration

As per Para 1.9.1(b) of SEBI Master circular No. HO/24/13/11(1)2026-IMD-POD-1/I/7602/2026 dated March 20, 2026, the asset allocation pattern indicated above may change for a short-term period on defensive considerations, keeping in view market conditions, market opportunities, applicable regulations and political and economic factors. These proportions may vary depending upon the perception of the Fund Manager, the intention being at all times to seek to protect the interests of the Unit holders. In case of any deviation, the portfolio shall be rebalanced within 30 calendar days.

Investment Approach

The Equity Long-Short Strategy is an investment framework crafted to potentially deliver capital appreciation across varying market environments. It attempts to integrate long equity holdings with strategic short positions, aiming to harness upward market movements while cushioning against potential declines. This balanced methodology draws on both fundamental insights and tactical market opportunities which endeavors to deliver superior returns for investors focused on growth with disciplined risk control. The portfolio aims to maintain an optimal mix of growth, liquidity, and risk, guided by the following allocation principles:

- Equity Positions (80%–100%): At least 80% of net assets are invested in listed equity and equity related instruments, such as common stocks, preferred shares, and equity-linked securities (e.g., convertible bonds). This also includes unhedged short exposure. Within equity allocation the portfolio construction will be based on bottom up stock picking using the Business, Management and valuation (BMV) model. The Fund Manager will evaluate the business environment that a company operates in, the capability of the management to execute and scale up the business and valuation of the company based on fundamentals like discounted cash flows and PE ratios, etc. targeting companies showing GARP (Growth at reasonable prices). The portfolio emphasizes diversification across sectors and geographies to reduce concentration risk while maximizing return potential.
- Short Derivative Positions (up to 25%): The strategy may employ unhedged derivative instruments, including stock futures, index futures, and options, to establish short exposures of up to 25% of net assets. These positions are intended to benefit from price declines in overvalued securities or to act as a hedge against broader market downturns. This tactical shorting capability enables the portfolio to perform in bearish or volatile conditions, adding resilience to overall performance.
- Debt and Money Market Instruments (up to 20%): Up to 20% of the portfolio may be invested in debt instruments or liquid money market securities like treasury bills and short-duration government bonds. This segment provides a stable income stream and serves as a liquidity reserve, enabling the fund to meet redemption requests & seize new investment opportunities, or manage margin requirements for derivative positions.
- Hedging Flexibility (up to 100% Additional Hedging): Beyond the 25% unhedged short exposure, the strategy allows for up to 100% hedging of the remaining long equity & debt positions using derivative instruments, such as stock/ index futures, options, stock option, warrants, convertible securities, Corporate Default Swaps, forward rate agreements, covered call or any other derivative instruments that are permissible or may be permissible in future under applicable regulations. . This capability enables the portfolio to achieve fully hedged exposure when market conditions warrant a defensive stance, such as during periods of heightened volatility or anticipated downturns.

The scheme may look to invest overseas for the purpose of diversification in terms of markets and currency. This may potentially help the scheme in achieving higher returns, especially in markets that are experiencing strong economic growth or have undervalued assets. However, such exposure will be limited to a maximum of 20% of Net assets.

The scheme may take an exposure in units of InvITs at an opportune time to generate income from real estate or infrastructure assets. Investing in units of InvITs has the potential to generate capital appreciation and regular income streams.

Scheme may invest in the units of Mutual Fund schemes of Kotak Mahindra Mutual Fund or any other Mutual Funds in terms of the prevailing SEBI (MF) Regulations, for liquidity management, operational efficiency & cash flow management including derivative margin management etc.

The scheme may invest in Securities Lending & Borrowing as permitted by SEBI from time to time. The scheme may also invest in Repo & reverse repo of corporate debt securities. These may help in enhancing liquidity and portfolio flexibility etc.

Indicative List of Derivative Strategies:

Indicative list of derivative strategies to be deployed for short exposure.

Additional and more complex strategies may be introduced, based on evolving market conditions, fund objectives, and subject to SEBI guidelines and risk controls

1. Covered Call – Hold a long position in the underlying asset and sell a call option on the same asset. Generates income but limits upside if the asset surges.
2. Protective Put – Buy stock and simultaneously buy a put option as insurance.
3. Protective Collar – Hold a long stock, buy a protective put, and sell a call.
4. Cash-Secured Put – Sell a put option while holding enough cash to buy the stock if assigned.
5. Long Call – Buy a call option to gain leveraged exposure to upside moves.
6. Long Put – Buy a put option for leveraged exposure to downside, or for hedging.
7. Bull Call Spread – Buy a lower-strike call and sell a higher-strike call (same expiry); bets on moderate upside.
8. Bear Put Spread – Buy a higher-strike put and sell a lower-strike put; profits from moderate declines.
9. Bull Put Spread – Sell a higher-strike put and buy a lower-strike put; collects premium, profits if underlying doesn't fall.
10. Bear Call Spread – Sell a lower-strike call, buy a higher-strike call; profits if underlying doesn't rise past lower strike price.
11. Calendar Spread – Sell a near-term option and buy a longer-term option at same strike; profits from time decay differential and volatility.
12. Straddle – Buy a call and a put at same strike and expiry; bets on big move in either direction.
13. Short Straddle- Sell a call and a put at the same strike and expiry to earn premium, betting that the underlying stays range bound with low volatility.
14. Strangle – Buy OTM call and OTM put; needs big directional move, but at lower premium than straddle.
15. Short Strangle- Sell a call and a put at different (farther) prices to earn premium, expecting the price to stay within a range and not move sharply.
16. Butterfly Spread – Buy lower-strike, sell two middle-strikes, buy higher-strike options (all same expiry); limited risk/reward, bets on low volatility.
17. Iron Condor – Sell OTM call/put, buy further OTM call/put (four legs, same expiry); profits in narrow ranges.
18. Iron Butterfly – Sell ATM straddle, buy OTM call and put; higher premium than condor, profits if underlying stays flat.
19. Synthetic Long/Short – Create exposure equivalent to underlying with options (e.g., long call/short put = synthetic long stock).
20. Pair Trades – Take opposite positions in two stocks of the same sector based on bullish/bearish view.
21. Hedging – Taking short position in underlying stock holding to prevent downside risk.
22. Interest Rate Swaps (IRS): An Interest Rate Swap is an agreement whereby two parties agree to exchange periodic interest payments. The amount of interest payments exchanged is based on some predetermined principal, called notional principal amount. The amount each counterparty pays to the other upon periodic interest rate multiplied by the notional principal amount. The only amount that is exchanged between the parties is the interest payment, not the notional principal amount.
23. Forward Rate Agreements (FRA): This is an agreement between two counterparties to pay or to receive the difference between an agreed fixed rate (the FRA rate) and the interest rate prevailing on a stipulated future date based on the notional amount, for an agreed period. In short, in an FRA, interest rate is fixed now for a future period.
24. Interest Rate Futures (IRF): An interest rate future is a futures contract with an interest-bearing instrument as the underlying asset. Interest rate futures are used when there is an expectation of interest rate movement adversely in a particular direction. IRFs offer flexibility across market views, investors can use them to go long when expecting yield to fall, and go short when expecting yields to rise.
25. Credit Default Swaps (CDS): A credit default swap is a financial derivative that allows an investor to swap or offset their credit risk with that of another investor. A protection buyer buys a CDS from a protection seller, who agrees to reimburse them if the borrower defaults.

Offsetting of transactions: SEBI circular on SIF framework permits the investment strategy to offset certain derivative transactions. Below are some of the illustrative scenarios for offsetting of positions on the same underlying security:

Sr. No.	Position 1	Position 2	Offsetting allowed/not?	Net exposure to be considered
1	Equity Long	Futures Short	Yes	Equity Long only
2	Equity /Futures Long	Call option Short	Yes	Equity /Futures Long only
3	Equity /Futures Long	Put option Long	Yes	Equity /Futures Long only
4	Futures Short	Call option Long	Yes	Futures Short only
5	Futures Short	Put option Short	Yes	Futures Short only
6	Call option Long	Call option Short	Yes	Call option Short only
7	Put option Long	Put option Short	Yes	Put option short only
8	Equity Long	Futures Long	No	Equity Long + Futures Long
9	Equity /Futures Long	Call option Long	No	Equity /Futures Long + Call option Long
10	Equity /Futures Long	Put option Short	No	Equity /Futures Long + Put option Short
11	Futures Short	Call option Short	No	Futures short + Call option short
12	Futures Short	Put option Long	No	Futures short + Put option Long
13	Call option Long	Put option Short	No	Call option Long + Put option Short
14	Call option Short	Put option Long	No	Call option Short + Put option Long

For offsetting of positions, the futures and options contracts shall be on the same underlying security and having same expiry date.

The total exposure at any point of time shall be the sum of exposure through instruments in both the cash market and derivatives market.

Offsetting of exposure at the portfolio level shall be allowed for:

a. Cash and derivative positions on the same underlying security

b. Between derivative positions on the same underlying security

Risk Profile of the Scheme

SIF Units involve investment risks including the possible loss of principal. Please read the ISID carefully for details on risk factors before investment.

Investment Strategy specific Risk Factors are summarized below:

Investment Strategy Specific Risk Factors:

Infinity Equity Long Short Fund will invest in equity and equity related instruments, derivatives for hedging (including index futures, stock futures, index options, & stock options, etc. as part of hedged / arbitrage exposure, derivative strategies like Covered calls, protective Puts etc.), unhedged derivatives (Short derivatives and portfolio rebalancing etc.), Debt and Money Market Instruments, including Units of Debt oriented mutual fund schemes, debt derivatives, units issued by InvITs etc. and such other asset classes permitted by SEBI time to time. Different asset class carry different types of risk as mentioned in the ISID. Accordingly, the SIF Investment Strategy's risk may increase or decrease depending upon the investment pattern. Trading volumes and settlement periods inherently restrict the liquidity of the SIF Investment Strategy's investments. In the event of a restructuring of the SIF Investment Strategy's investment portfolio, these periods may become significant.

Investments in the Investment Strategy are subject to various risk factors including but not limited to risks associated with:

1. Risks associated with Capital Markets or Equity Markets.
2. Risks associated with Debt / Money Markets.
3. Risk factors associated with Repo in Corporate Debt Securities.
4. Risk factors associated with investing in Non- Convertible Preference Shares.
5. Risks associated with investment in unlisted securities.

	6. Risk Associated with Investment in Derivatives Market. 7. Risks associated with Covered Call Strategy. 8. Risks Factors associated with investing in Unhedged short Derivatives. 9. Risks Factors associated with investing in Credit Default Swap (CDS). 10. Risks associated with Securitised Debt. 11. Risk factors associated with investments in Perpetual Debt Instrument (PDI). 12. Risk factors associated with Imperfect Hedging. 13. Risk associated with investment in Government securities and Tri-Party Repo on Government securities or treasury bills. 14. Risk envisaged and mitigation measures for repo transactions. 15. Risks associated with Investing in Structured Obligation (SO) & Credit Enhancement (CE) rated securities. 16. Risk factors associated with REITS/InvITs. 17. Risk Factors Associated with investing in Foreign Securities. 18. Risk associated with Securities Lending. 19. Risks associated with Short Selling. 20. Risks associated with segregated portfolio. 21. Risk associated with investing in Units of Mutual Fund Schemes
Plans/Options	**Plans - Direct Plan/Regular Plan** **Direct Plan:** This Plan is only for investors who purchase /subscribe Units in a SIF Investment Strategy directly with the Fund and is not available for investors who route their investments through a Distributor. **Regular Plan:** This Plan is for investors who wish to route their investment through any distributor. **Options under each Plan(s)** • Growth • Income Distribution cum Capital Withdrawal (IDCW) (i) Payout of Income Distribution cum capital withdrawal (IDCW) (ii) Reinvestment of Income Distribution cum capital withdrawal (IDCW) The NAVs of the above Options will be different and separately declared; the portfolio of investments remaining the same. The AMC/Trustee's reserve the right to introduce Options(s) as may be deemed appropriate at a later date subject to SEBI (MF) Regulations and circulars issued thereunder from time to time. **Default Option /Sub-Options** • If applicant does not indicate the choice of option between growth and Income Distribution cum capital withdrawal (IDCW) option in the application form, then the fund will accept it as an application for growth option under respective plan. • If applicant does not indicate the choice of Income Distribution cum capital withdrawal (IDCW) sub-option between payout of Income Distribution cum capital withdrawal (IDCW) and reinvestment of Income Distribution cum capital withdrawal (IDCW) then the fund will accept it as an application for reinvestment of Income Distribution cum capital withdrawal (IDCW). For detailed disclosure on default plans and options, kindly refer SAI.
Applicable NAV (after the Investment Strategy opens for Redemption/repurchase and sale)	**Applicable NAV for Purchases/Switch-ins** 1. In respect of valid applications received up to 3.00 p.m. on a business day and entire amount is available in the mutual fund's account for utilization before the cut off time of the same day – closing NAV of the day of receipt of application; 2. In respect of valid applications received after 3.00 p.m. on a business day and the entire amount is available in the mutual fund's account for utilization before cut off time of the next business day – the closing NAV of the next business day; 3. Irrespective of the time of receipt of the application where the entire amount is available in Mutual fund's account for utilization before cut off time on any subsequent business day – the closing NAV of such subsequent business day. The above cut-off timings and applicability of NAV shall be applicable in respect of valid applications received at the Official Point(s) of Acceptance on a Business Day: 1. It is clarified that switches will be considered as redemption in the switch-out scheme and purchase / subscription in the switch-in scheme 2. Cheques received on a business day may be deposited with the primary bankers of the respective location on the next business day. NAV shall be as per the applicable NAV mentioned above. To enable early sighting of funds by the schemes, investors are requested to avail of electronic facilities like RTGS / NEFT in respect of subscriptions and submit the proof of transfer of funds along with their applications. AMC shall not be responsible for any delay on account of banking clearance or circumstances which are beyond the control of AMC. 3. The revised provisions for applicability of NAV based on realization of funds will be applicable to all types of investment including various systematic investments routes (viz, SIP, STP, Transfer of IDCW Plan etc.) as may be offered by the strategy from time to time. **Applicable NAV for Redemption/ Switch outs** a) where the application received upto 3.00 pm – closing NAV of the day of receipt of application; and b) an application received after 3.00 pm – closing NAV of the next business day. Further, where the AMC or the Registrar has provided a facility to the investors to redeem /switch-out of the strategy through the medium of Internet by logging onto specific web-sites or any other facilities offered by the AMC and where investors have signed up for using these facilities, the Applicable NAVs will be as provided above. For Details, kindly refer SAI.
Subscription frequency	Daily (only Business Days) The AMC reserves the right to change the Subscription frequency in future, subject to SEBI Regulations and any other law, as applicable.
Subscription frequency	Daily (only Business Days) The AMC reserves the right to change the Redemption frequency in future, subject to SEBI Regulations and any other law, as applicable.
Minimum Investment Threshold	The SIF shall not accept from an investor, an investment amount less than ten lakh rupees across all investment strategies offered by Infinity SIF. Minimum investment threshold limits (₹10,00,000) shall not be applicable to: a) Accredited investor b) Mandatory investments made by AMCs for designated employees under paragraph 7.14 and 21.4.1 of the SEBI Master circular No. HO/24/13/11(1)2026-IMD-POD-1/I/7602/2026 dated March 20, 2026. c) Existing investor of SIF whose aggregate investment value at the Permanent Account Number ('PAN') level, across all investment strategies offered by Infinity SIF, is more than Rs.10,00,000 i.e. minimum investment threshold as on the investment date. This shall not include investments made by the investor in other Mutual Fund schemes of the AMC 1. The AMC shall ensure that an aggregate investment by an investor across all investment strategies offered by the SIF, at the Permanent Account Number ('PAN') level, is not less than INR 10 lakh. 2. The Minimum Investment Threshold of INR 10 lakh shall apply exclusively to investments under SIF and shall not include investments made by the investor in MF schemes of the same AMC. 3. The AMC may offer systematic investment options such as Systematic Investment Plan ('SIP'), Systematic Withdrawal Plan ('SWP') and Systematic Transfer Plan ('STP') for investment strategies launched under the SIF, while ensuring compliance with the Minimum Investment Threshold. **Breach of Minimum Investment Threshold** 1. The AMC shall ensure that the investor's total investment value does not fall below the Minimum Investment Threshold due to redemption transactions initiated by the investor. 2. Passive breaches (occurrence of instances not arising out of omission and commission by AMC), such as those caused by a decline in Net Asset Value (NAV), shall not be treated as a violation of the Minimum Investment Threshold. However, if the total investment value falls below the threshold due to a passive breach, the investor shall only be permitted to redeem the entire remaining investment amount from the SIF. 3. Monitoring of Minimum Investment Threshold will be carried out as per para 21.4 of SEBI Master circular No. HO/24/13/11(1)2026-IMD-POD-1/I/7602/2026 dated March 20, 2026.

Minimum Application Amount/ Number of Units	**Minimum application amount for purchases** • During NFO: INR10,00,000/- and in multiples of Re. 1/- thereafter (across all investment strategies offered by the Infinity SIF at the PAN level) • On continuous basis: INR10,00,000/- and in multiples of Re. 1/- thereafter (across all investment strategies offered by the Infinity SIF at the PAN level) • Minimum amount for accredited investor during NFO and Continuous basis: INR 1,00,000/- and in multiples of ₹ 1/- thereafter. Systematic Investment Plan (SIP) / Systematic Withdrawal Plan (SWP) /Systematic Transfer Plan (STP) shall only commence upon re-opening of the SIF Investment Strategy, subject to initial investment of at least ₹10,00,000 (across all investment strategies offered by the Infinity SIF at the PAN level). Minimum investment threshold limits shall not be applicable to a) Mandatory investments made by AMCs for designated employees under paragraph 7.14 and 21.4.1 of the Master Circular for Mutual Funds dated March 20, 2026. b) Existing investor of SIF whose aggregate investment value at the Permanent Account Number ('PAN') level, across all investment strategies offered by Infinity SIF, is more than ₹10,00,000 i.e. minimum investment threshold as on the investment date. This shall not include investments made by the investor in other Mutual Fund schemes of the AMC **On continuous basis:** SIP: INR 10,000/- and in multiples of ₹ 1 thereafter [Minimum 6 installments] SWP: INR 10,000/- and in multiples of ₹ 1 thereafter [Minimum 6 installments] STP: INR 10,000/- and in multiples of ₹ 1 thereafter [Minimum 6 installments] **Minimum amount for redemption:** INR 10,000/- and in multiples of ₹ 1 thereafter /- or all units, whichever is lower. **Minimum Investment Threshold:** • Aggregate investment by an investor across all investment strategies offered by Infinity SIF, at the Permanent Account Number ('PAN') level, shall not be less than ₹10,00,000/-. • In case of any request(s) for partial redemption/switch out by the investor/(s), the AMC reserves the right to process the redemptions and make payouts only to the extent that the residual amount post payout of redemptions does not fall below the Minimum Investment Threshold. • The redemption/switch will be subject to compliance with provisions mentioned under "Minimum Investment threshold" of para 21.4 of SEBI Master circular No. HO/24/13/11(1)2026-IMD-POD-1/I/7602/2026 dated March 20, 2026. • Monitoring of Minimum Investment Threshold will be carried out as per paragraph 21.4 of SEBI Master circular No. HO/24/13/11(1)2026-IMD-POD-1/I/7602/2026 dated March 20, 2026.
Notice period (Maximum duration of notice period shall not exceed 15 working days.)	Not Applicable.
Dispatch of Repurchase (Redemption) Request	**Dispatch of redemption proceeds:** The SIF shall initiate payment of redemption or repurchase proceeds to the unitholders within three working days from the date of redemption or repurchase. In case of exceptional situations listed in AMFI Circular No. AMFI/35P/MEM-COR/74/2022-23 dated January 16, 2023, the strategy shall allow additional timelines for transfer of redemption or repurchase proceeds to the unitholders. As per SEBI (Mutual Funds) Regulations, 2026, the redemption proceeds shall be dispatched within three (3) business days from the date of redemption subject to exceptional situations and additional timelines for redemption payments in accordance with clause 15.3.3 of SEBI Master Circular. A penal interest of 15% p.a. or such other rate as may be prescribed by SEBI from time to time, will be paid in case the payment of redemption proceeds is not made within the stipulated timelines. • **Dispatch of IDCW:** The Income Distribution cum capital withdrawal (IDCW) payments shall be dispatched to the unitholders within seven working days from the record date
Benchmark Index	**Nifty 100 Total return index (TRI)** NIFTY 100 TRI is an ideal benchmark for an equity long-short fund because it offers broad market representation as it covers majority of India's listed equity market capitalization. Its total return framework, accounting for both capital gains and dividends, provides a suitable comparison with the fund's potential performance The AMC/Trustees reserves right to change benchmark in future for measuring performance of the SIF Investment strategy and as per the guidelines and directives issued by SEBI from time to time.
Dividend /IDCW Policy	**IDCW Frequency** IDCW is declared subject to availability and adequacy of distributable surplus. **IDCW Record Dates:** At the discretion of the Trustees (If the record date is not a Business Day, the immediately following Business Day will be the record date) Under the Income Distribution cum capital withdrawal (IDCW) option, trustees may at any time decide to distribute by way of IDCW, the surplus by way of realized profit and interest, net of losses, expenses and taxes, if any, to Unitholders if, in the opinion of the Trustees such surplus is available and adequate for distribution. The Trustee's decision with regard to such availability and adequacy of surplus, rate, timing and frequency of distribution shall be final. Trustees may or may not distribute surplus, even if available, by way of Income Distribution cum capital withdrawal (IDCW). The IDCW will be paid to only those Unitholders whose names appear on the register of Unitholders of the Strategy / Option at the close of the business hours on the record date, which will be announced in advance. In case of dynamic lien, the Income Distribution cum capital withdrawal (IDCW) may be credited to the financier. The Income Distribution cum capital withdrawal (IDCW) Option will be available under two sub-options – the Payout Option and the Reinvestment Option. Payout of Income Distribution cum capital withdrawal option (IDCW): Unitholders will have the option to receive payout of their IDCW by way of Pay order / DD any other means which can be enchased or by way of direct credit / electronic payout into their account. Reinvestment of Income Distribution cum capital withdrawal option (IDCW): Under the reinvestment option, the amounts will be reinvested in the Reinvestment IDCW Option at the Applicable NAV announced immediately following the record date. The requirement of giving notice shall not be applicable for IDCW Option having frequency upto one month. However, the Trustees reserve the right to introduce new options and / or alter the IDCW payout intervals, frequency, including the day of payout.
Name of the Fund Manager	Mr. Kalpesh Jain and Mr. Rohit Tandon will be the fund manager for Equity and Overseas investment of the Investment Strategy. Mr. Abhishek Bisen will be the Fund Manager for debt and money market investment of the Investment Strategy.
Name of the Trustee Company	Kotak Mahindra Trustee Company Ltd
Performance of the Investment Strategy	This Investment Strategy is a new Investment Strategy and does not have any performance track record.

Additional Investment Strategy Related Disclosures

This is a new Investment Strategy and therefore, the requirement of following additional disclosures shall not be applicable for the Investment Strategy.

a. **Investment Strategy's portfolio holdings (top 10 holdings by issuer and fund allocation towards various sectors.)** – Since this is a new SIF Investment Strategy, the portfolio holdings are not available

b. **Functional website link for Portfolio Disclosure** - Since this is a new SIF Investment Strategy, the portfolio holdings are not available

c. **Portfolio Turnover Rate** – Since this is a new SIF Investment Strategy, the portfolio holdings are not available

d. **Functional website link to the respective addendums to the SID after the last update of SID:** Not Applicable.

e. **Aggregate investment in the Investment Strategy by:** Since this is a new SIF Investment Strategy, the portfolio holdings are not available For any other disclosure w.r.t investments by key personnel and AMC directors including regulatory provisions in this regard kindly refer SAI.

f. **Investments of AMC in the Investment Strategy**

Pursuant to Regulation 22(3)(a) of the SEBI (MF) Regulations, 2026, AMC shall invest in the strategy based on the risk associated with the strategy as specified in para 7.13 of SEBI Master circular No. HO/24/13/11(1)2026-IMD-POD-1/I/7602/2026 dated March 20, 2026 read with AMFI Best Practice Guidelines Circular 135/BP/100/2022-23 dated 26th April 2022 and any other circulars issued there under, from time to time. In addition to investments as mandated above, the AMC may invest in the strategy subject to the SEBI (MF) Regulations. Under the Regulations, the AMC is not permitted to charge any investment management and advisory services fee on its own investment in the SIF Investment Strategy.

Details of Investments of AMC in the strategy will be available in the given link. - https://www.kotakmf.com/sif

Expenses of the Scheme:

Load Structure

Exit Load is an amount which is paid by the investor to redeem the units from the SIF Investment Strategy. Load amounts are variable and are subject to change from time to time. For the current applicable structure, please refer to the website of https://www.kotakmf.com/sif or may call at 18003091490 or your distributor.

Type of Load	Load chargeable (as % age of NAV)
For redemption / switch out on or before completion of 30 days from the date of allotment of units:	0.5%
For redemption / switch out after completion of 30 days from the date of allotment of units:	Nil

Units issued on reinvestment of IDCW shall not be subject to entry and exit load.

No exit load will be chargeable in case of switches made between different plan/options of the strategy

*In terms of Para 11.7 of SEBI Master Circular no. HO/24/13/11(1)2026-IMD-POD-1/I/7602/2026 dated March 20, 2026, no entry load will be charged on purchase / additional purchase / switch-in. The commission as specified in aforesaid circular, if any, on investment made by the investor shall be paid by the investor directly to the Distributor, based on his assessment of various factors including the service rendered by the Distributor.

**Any exit load charged (net of Goods and Services tax, if any) shall be credited back to the SIF Investment Strategy.

Any imposition or enhancement of Load in future shall be applicable on prospective investments only. For any change in load structure AMC will issue an addendum and display it on the website/Investor Service Centres. In case of changes in load structure the addendum carrying the latest applicable load structure shall be attached to all KIM and ISID already in stock till it is updated.

Investors may obtain information on loads on any Business Day by calling the office of the AMC or any of the Investor Service Centers. Information on applicability of loads will also be provided in the Account Statement.

As required under the Regulations, the asset management company shall ensure that exit load of an open-ended mutual fund scheme shall not exceed three per cent of the Net Asset Value of the scheme

The investor is requested to check the prevailing load structure of the strategy before investing.

Annual Recurring expenses

These are the fees and expenses for operating the SIF Investment Strategy. These expenses include Investment Management and Advisory Fee charged by the AMC, Registrar and Transfer Agents' fee, marketing and selling costs etc.:

The AMC has estimated that the following percentage daily net assets of the Investment Strategy will be charged to the SIF Investment Strategy as expenses. For the actual current expenses being charged, the investor should refer to the website of the Infinity SIF viz. https://www.kotakmf.com/sif.

As per Regulation 67 of SEBI (MF) Regulations,2026, the total of all expenses charged to the investors of the SIF investment strategy, shall be total of expense charged within the base limit specified under sub-regulation 7 of regulation 66, brokerage cost permitted under sub regulation 9 of regulation 66, transaction cost incurred for the purpose of execution of trade as referred under sub-regulation 10 of regulation 66, and statutory levies.

Base Expense Ratio (Regulation 66(7): Base limit specified under sub-regulation 7 of regulation 66 shall be subject to following limits

Assets under management Slab (In Rs. crore)	Total expense ratio limits
on the first ₹ 500 crores of the daily net assets	2.10 %
on the next ₹ 250 crores of the daily net assets	1.90 %
on the next ₹ 1,250 crores of the daily net assets	1.60 %
on the next ₹ 3,000 crores of the daily net assets	1.50 %
on the next ₹ 5,000 crores of the daily net assets	1.40 %
on the next ₹ 40,000 crores of the daily net assets	Expense ratio reduction of 0.05% for every increase of Rs.5,000 crores of daily net assets or part thereof.
on balance of the assets	0.95 %

Total Expense Ratio for the Investment Strategy

Expense Head	% p.a. of daily Net Assets* (Estimated p.a.)
Investment Management and Advisory Fees	Upto 2.10%
Audit fees/fees and expenses of trustees	
Custodial Fees	
Registrar & Transfer Agent Fees including cost of providing account statements / IDCW / redemption cheques/ warrants	
Marketing & Selling Expenses including fees, commission and charges towards distribution of mutual fund schemes	
Costs related to investor communications	
Costs of fund transfer from location to location	
Cost towards investor education, awareness and financial inclusion (at least 2 bps) $	
Brokerage & transaction cost pertaining execution of trade	
Cost of statutory advertisements	
Other Expenses (to be specified as per Reg 66 of SEBI MF Regulations)	
Maximum Base expenses ratio (BER) permissible under Regulation 66	Upto 2.10%
Brokerage cost as referred under sub-regulation 9 of regulation 66	Upto 6 bps and 2 bps for cash market transactions and derivatives transactions respectively.
Transaction cost incurred for the purpose of execution of trade as referred under sub-regulation 10 of regulation 66	As Applicable

Expense Head	% p.a. of daily Net Assets* (Estimated p.a.)
Statutory levies @ (including GST) on all expenses excluding brokerage and transaction cost	As Applicable
Statutory levies @ (including GST) on brokerage and transaction cost	As Applicable

@ As per Regulation 2(yy), statutory levy means levy imposed by state government and central government such as GST, STT, Stamp duty etc.

$ As per para 11.9 of SEBI Master circular no HO/24/13/11(1)2026-IMD-POD-1/I/7602/2026 dated March 20, 2026, the AMC/Mutual Fund shall annually set apart at least 2 basis point (i.e. 0.02%) of daily net asset of the Scheme within the maximum limit of Total Expense Ratio as per Regulation 66(7) of SEBI (MF) Regulations for investor education, awareness and financial inclusion.

Brokerage cost as referred under sub-regulation 9 of regulation 66

The following brokerage cost may be charged to the SIF Investment Strategy under Regulation 66(9),

- Brokerage cost incurred for the purpose of execution of trade shall be charged to the SIF Investment Strategy over and above base expenses ratio (a) upto 6 bps and 2 bps for cash market transactions and derivatives transactions respectively. Any payment towards brokerage costs, over and above the said 6 bps and 2 bps for cash market transactions and derivatives transactions respectively shall be part of the base expense ratio limit specified under Regulation 66(7).

Transaction cost incurred for the purpose of execution of trade as referred under sub-regulation 10 of regulation 66:

Transaction cost incurred for the purpose of execution of trade namely regulatory levies and any other expenses charged by the stock exchanges, clearing corporation, and clearing house, as applicable shall be charged to SIF investment strategy over and above base total expenses ratio prescribed in Regulation 66(7)

Statutory Levies charge to Investors

All Statutory levies imposed by state government and central government shall be charged to the SIF Investment Strategy in addition to the maximum limit of BER as prescribed in Regulation 66(7)

No charges other than the base expense ratio, brokerage cost, transaction cost, statutory levy and exit load including levies as may be specified by the Board, shall be charged to the investors. Any expenses other than those specified in sub-regulation (4), sub-regulations (5), sub regulation(6), sub-regulation (9) and sub-regulation (10), shall be borne by the asset management company or trustee or sponsors.

Expense Structure for Direct Plan – The annual recurring expenses will be within the limits specified under the SEBI (Mutual Funds) Regulations, 1996.

Commission/ Distribution expenses will not be charged in case of Direct Plan. The TER of Direct Plan will be lower than Regular Plan.

As per Para 11.3.5. of SEBI Master Circular no. SEBI Master circular no HO/24/13/11(1)2026-IMDPOD-1/I/7602/2026 dated March 20, 2026, all fees and expenses charged in a direct plan (in percentage terms) under various heads including the investment and advisory fee shall not exceed the fees and expenses charged under such heads in a regular plan. The expenses would exclude distribution expenses, commission, etc and no commission for distribution of Units will be paid / charged under Direct Plan.

Clause 3 of Sixth Schedule of SEBI (Mutual Funds) Regulations 2026, read with Para 13.14.1 of SEBI Master Circular no. HO/24/13/11(1)2026-IMD-POD-1/I/7602/2026 dated March 20, 2026 which restricts investments in mutual fund units upto 5% of net assets and prohibits charging of fees, shall not be applicable to investments in mutual funds in foreign countries made in accordance with guidelines para 13.11 of SEBI Master Circular no. HO/24/13/11(1)2026-IMD-POD-1/I/7602/2026 dated March 20, 2026. However, the management fees and other expenses charged by the mutual fund(s) in foreign countries along with the management fee and recurring expenses charged to the domestic mutual fund scheme shall not exceed the total limits on expenses as prescribed under Regulation 67(1). Where the SIF investment strategy is investing only a part of the net assets in the overseas mutual fund(s), the same principle shall be applicable for that part of investment.

The aforesaid estimates are made in good faith by the Investment Manager and are subject to change inter se among the various heads of expenses and between the Plans. It may also be noted that the total expenses of the Plans will also be subject to change within the overall limits of expenses under Regulation 66(7)7. Actual expenses under any head and / or the total expenses may be more or less than the estimates. The Investment Manager retains the right to charge the actual expenses to the SIF Investment Strategy, however the expenses charged will not exceed the statutory limit prescribed by the Regulations. There will be no sub limit on management fee, and it shall be within the overall BTER specified above.

Daily Net Asset Value (NAV) Publication

The NAVs of the SIF Investment Strategy will be calculated and disclosed on every Business day on the website of the Infinity SIF viz https://www.kotakmf.com/sif and AMFI's website www.amfiindia.com by 11.00 p.m. The First NAV of the strategy shall be declared within 5 working days from the date of allotment.

In case the SIF Investment Strategy has exposure in overseas securities/Mutual Fund units, the NAV will be published post receipt of the Price/ NAV of the overseas investments. Based on the current strategy exposure, the NAV will be published on the website of AMFI (www.amfiindia.com) and Infinity SIF (https://www.kotakmf.com/sif) by 10.00 a.m. of the following business day in accordance with paragraph 9.3 of SEBI Master circular No. HO/24/13/11(1)2026-IMD-POD-1/I/7602/2026 dated March 20, 2026.

Unitholders may avail the facility to receive the latest available NAVs through SMS by submitting a specific request in this regard to the AMC/SIF. Also, information regarding NAVs can be obtained by the Unit holders / Investors by visiting the nearest ISC.

Delay in uploading of NAV beyond the cut-off time mentioned above shall be explained in writing to AMFI. In case the NAVs are not available before the commencement of business hours on the following business day due to any reason, a press release for revised NAV shall be issued.

In terms of SEBI regulations, a complete statement of the strategy portfolio will be sent to all unitholders whose e-mail addresses are registered, with AMC, within ten days from the close of each alternate month whose email addresses are registered with the Mutual Fund.

The portfolio of the strategy (along with ISIN) shall also be disclosed on the website of SIF (https://www.kotakmf.com/sif) and on the website of AMFI (www.amfiindia.com) as on the last day of every alternate month on the respective AMC website and on the website of AMFI within 10 days from the close of such month in a user-friendly and downloadable spreadsheet format.

Tax treatment for the Investors (Unitholders)

Investor will be advised to refer to the details in the Statement of Additional Information and also independently refer to his tax advisor.

For Investor Grievances please contact

- Contact details for general service requests:
  18003091490 / 044-40229101 (Monday to Friday between 9.30am to 6.00 pm & Saturday between 9.30am to 12.30pm)
  kmf.infinitysif@kotak.com
- Contact details for complaint resolution:
  Ms. Sushma Mata, Investor Relations Officer
  Kotak Mahindra Asset Management Company Limited,
  6th Floor, Kotak Towers, Building No.21,
  Infinity Park, Off: Western Express Highway
  Goregaon - Mulund Link Road, Malad (East), Mumbai 400097
  Phone Number: 18003091490 / 044-40229101
  Fax: 6708 2213
  E-mail: https://info.kotakmf.com/write-to-us or WhatsApp us by sending us "Hi" at 9321884488. For portfolio valuation, give a missed call to 7039055555

Name and Address of Registrar	Computer Age Management Services Ltd. (CAMS) (Registrar) AVA Tower, Old No. 788 & 789, Electricity Avenue, New No. 152 & 150, Anna Salai, Beside Rayala Towers, Chennai - 600002. Contact details - 044 6110 4034 Email Id – enq_k@camsonline.com Website - www.camsonline.com

Unitholders' Information

Monthly and Half yearly Disclosures: Portfolio / Financial Results

The SIF shall disclose portfolio (along with ISIN), including derivative instruments, as on the last day of every alternate month (i.e. as on the end of May, July, September, November, January and March) for all its investment strategies (including debt based investment strategies) on the website of SIF (https://www.kotakmf.com/sif) and on the website of AMFI (www.amfiindia.com) within 10 days from the close of such month in a user friendly and downloadable spreadsheet format.

In accordance with Para 6.1 of SEBI Master circular No. HO/24/13/11(1)2026-IMD-POD-1/I/7602/2026 dated March 20, 2026, unitholders whose e-mail addresses are registered, AMC shall send the details of the strategy portfolio including the SIF Investment strategy risk-band, name of benchmark and risk- band of benchmark while communicating the, monthly statement of strategy portfolio via email within 10 days from the close of each alternate month.

AMCs shall provide a link to investors to their registered email to enable the investor to directly view/download only the portfolio of strategy subscribed by the said investor.

The SIF / AMC shall provide a physical copy of statement of its strategy portfolio, without charging any cost, on specific request received from a unit holder. AMCs shall declare on their website the hosting of the monthly statement of its strategy portfolio on their respective websites and on the website of AMFI and the modes such as SMS, telephone, email or written request (letter) through which a unit holder can submit a request for a physical or electronic copy of the statement of strategy portfolio.

Half Yearly Results

The soft copy of unaudited financial results shall within one month from the close of each half year i.e. 31st of March and the 30th of September, be hosted on the website https://www.kotakmf.com/sif and will be sent to AMFI for hosting on its website www.amfiindia.com.The link for the mentioned disclosures - https://www.kotakmf.com/sif

Pursuant to Regulation 70(2) of SEBI (Mutual Funds) Regulations, 2026, Written communication (including digital modes such as email/SMS etc.) shall be sent to unitholders by the asset management company about the availability of financial results.

Annual Report

Pursuant to Regulation 70(1) of SEBI (Mutual Funds) Regulations, 2026 read with Para 6.4 of SEBI Master circular No. HO/24/13/11(1)2026-IMD-POD-1/I/7602/2026 dated March 20, 2026, the strategy wise annual report or abridged summary thereof in machine readable format will be hosted on the website in machine readable format of Infinity SIF viz. www.kotakmf.com/sif and on the website of AMFI, immediately after approval in Annual General Meetings within a period of four months, from the date of closing of the financial year (31st March). The AMCs shall display the link prominently on the website of the Infinity SIF viz. www.kotakmf.com/sif and make the physical copies available to the unitholders, at their registered

offices at all times. The unit holders may request for a physical copy of strategy annual reports at a nominal price and the text of the relevant strategy by writing to the Kotak Mahindra Asset Management Company Ltd. / Investor Service Centre / Registrar & Transfer Agents. AMC shall provide a physical copy of abridged report of the annual report, without charging any cost, on specific request received from a unit holder. AMCs shall e-mail the scheme annual reports or abridged summary thereof, in machine readable formats, to all such unit holders, whose email addresses are registered with the Mutual Fund. The said communication shall also include details of modes such as SMS, telephone, email or written request (letter), etc. through which unit holders can submit a request for a physical copy of the scheme wise annual report or abridged summary thereof. AMCs shall send an email/SMS to all unitholders regarding the hosting of scheme wise annual report on their website and on the website of AMF. The link for the mentioned disclosures - https://www.kotakmf.com/sif.

Accounts Statements

The AMC shall send an allotment confirmation specifying the units allotted by way of email and/or SMS within 5 working days of receipt of valid application/transaction to the Unit holders registered e-mail address and/ or mobile number (whether units are held in demat mode or in account statement form).

A Consolidated Account Statement (CAS) detailing all the transactions across all SIFs (including transaction charges paid to the distributor) and holding at the end of the month shall be sent to the Unit holders in whose folio(s) transaction(s) have taken place during the month by mail or email on or before 15th of the succeeding month.

Half-yearly CAS shall be issued at the end of every six months (i.e. September/ March) on or before 21st day of succeeding month, to all investors providing the prescribed details across all investment strategies of SIFs and securities held in dematerialized form across demat accounts, if applicable.

For further details, refer SAI.

Infinity SIF
by kotak Mutual Fund

KEY INFORMATION MEMORANDUM (KIM)

INFINITY EQUITY LONG-SHORT FUND

(An open ended equity investment strategy investing in listed equity and equity related instruments including limited short exposure in equity through derivative instruments)

Offer for Units of ₹10 each for cash during the New Fund Offer and Continuous offer for Units at NAV based prices

6th Floor, Kotak Infinity,
Building No. 21, Infinity Park,
Off. Western Express Highway,
Gen.A.K. Vaidya Marg, Malad (E)
Mumbai - 400 097.
☎ 1800 309 1490 (Tollfree)
www.kotakmf.com

New Fund Offer Opens on: November 17, 2026 **New Fund Offer Closes on:** December 01, 2026
Investment Strategy Re-opens for continuous sale and repurchase on: December 10, 2026

This product is suitable for investors who are seeking $:	Risk-band*	Benchmark Risk- band*
• To generate Long term capital appreciation • An open ended equity investment strategy investing in listed equity and equity related instruments including limited short exposure in equity through derivative instruments.	RISK BAND LOWER RISK — HIGHER RISK 1 2 **3** 4 5 Risk Level 3	BENCHMARK RISK BAND LOWER RISK — HIGHER RISK 1 2 **3** 4 5 Risk Level 3 Nifty 100 Total return index (TRI)

$ Investors should consult their financial advisers if in doubt whether the product is suitable for them. * The Risk Band is as per AMFI specification.

The above product labelling assigned during the New Fund Offer (NFO) is based on internal assessment of the characteristics of the investment strategy or model portfolio and the same may vary post NFO when the actual investments are made.

APPL. INFINITY EQUITY LONG-SHORT FUND
Date : DD /MM/ YYYY

DISTRIBUTOR'S ARN/ RIA CODE#	SUB-BROKER'S ARN	SUB-BROKER'S CODE	EUIN

☐ #By mentioning ARN/RIA/PMS code, I/ We authorize you to share with the Distributor/ Investment Adviser/ Portfolio Manager the details of my/our transactions in the scheme(s) of Kotak Mahindra Mutual Fund.

☐ Declaration for "Execution-only" transactions (only where EUIN box is left blank): "I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of inappropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker."

SIGNATURE OF SOLE/ FIRST APPLICANT	SIGNATURE OF SECOND APPLICANT	SIGNATURE OF THIRD APPLICANT

Upfront commission shall be paid directly by the investor to the AMFI registered distributors based on the investor's assessment of various factors including the service rendered by the distributor.

Existing Folio Number: _____ **PAN:** _____ **LEI Number:** _____
(Legal Entity Identifier) For Non-individuals only

SECTION I - NEW APPLICANT'S PERSONAL INFORMATION (MANDATORY)

Investor Details	1st Applicant/ Minor	2nd Applicant	3rd Applicant
Investor Name (As per Income Tax)			
PAN (As per Income Tax)			
Date of Birth	DD/MM/YYYY	DD/MM/YYYY	DD/MM/YYYY
Guardian Details (In case of Minor) (Please fill details as per Income Tax)	Guardian Name Guardian PAN	Relationship with Minor ☐ Father ☐ Mother ☐ Legal Guardian Guardian Date of Birth: DD/MM/YYYY	Relationship Proof attached ☐ Birth Certificate ☐ Passport ☐ Aadhaar Card (masked) ☐ Court Order
Mode of Holding	☐ Single ☐ Joint	☐ Anyone or Survivor(s)	**(Joint applicants not allowed in case of Minor investment)**
CKYC Number (KIN)			

Contact Details	1st Applicant/ Minor			2nd Applicant			3rd Applicant		
Mobile Number									
Given Mobile Number Belongs to	☐ Self	☐ Dependent Child		☐ Self	☐ Dependent Child		☐ Self	☐ Dependent Child	
	☐ Spouse	☐ Dependent Parent		☐ Spouse	☐ Dependent Parent		☐ Spouse	☐ Dependent Parent	
	☐ Guardian (for minor)	☐ Dependent Sibling		☐ Guardian (for minor)	☐ Dependent Sibling		☐ Guardian (for minor)	☐ Dependent Sibling	
	☐ Custodian	☐ POA	☐ PMS	☐ Custodian	☐ POA	☐ PMS	☐ Custodian	☐ POA	☐ PMS
Email ID									
Given Email ID Number Belongs to	☐ Self	☐ Dependent Child		☐ Self	☐ Dependent Child		☐ Self	☐ Dependent Child	
	☐ Spouse	☐ Dependent Parent		☐ Spouse	☐ Dependent Parent		☐ Spouse	☐ Dependent Parent	
	☐ Guardian (for minor)	☐ Dependent Sibling		☐ Guardian (for minor)	☐ Dependent Sibling		☐ Guardian (for minor)	☐ Dependent Sibling	
	☐ Custodian	☐ POA	☐ PMS	☐ Custodian	☐ POA	☐ PMS	☐ Custodian	☐ POA	☐ PMS

Tax Status [Please Tick (✓)]

☐ Resident Individual
☐ NRI on Repatriation Basis (NRE)
☐ NRI on Non-Repatriation Basis (NRO)
☐ HUF
☐ Proprietorship
☐ Partnership Firm
☐ Private Limited Company
☐ Public Limited Company
☐ Mutual Fund
☐ Mutual Fund FOF Scheme
☐ Body Corporate
☐ Registered Society
☐ PF/ Gratuity/ Pension/
☐ Superannuation Fund
☐ Trust
☐ AOP/ BOI
☐ Foreign Institutional Investor
☐ On behalf of Minor
☐ Other _____ (Please Specify)

kotak® Mutual Fund

Acknowledgement of: INFINITY EQUITY LONG-SHORT FUND
(To be filled in by the Applicant)

Appl. INFINITY EQUITY LONG-SHORT FUND

Received from Mr/ Ms/ M/s _____

along with cheque No.* _____ dated _____

Drawn on (Bank) _____ **for Rs. (in figures)/ (Amount)** _____

*Cheques and drafts are subject to realisation.

Stamp of Kotak AMC Office/ Authorised Collection Centre

SECTION II - POWER OF ATTORNEY (POA) HOLDER

Name	PAN	Country of Birth	Nationality	Tax Reference Number (for NRI)

Gross Annual Income Details in INR (please tick): ☐ < 1 lac ☐ 1 - 5 lac ☐ 5 - 10 lac ☐ 10 - 25 lac ☐ 25 lac - 1 cr ☐ 1 cr - 5 cr ☐ 5 cr - 10 cr ☐ > 10 cr
or Net-worth as on (date) DD / MM / YYYY Rs. _____ (should not be older than 1 year)

Please tick, if applicable, ☐ **Politically Exposed Person (PEP)** ☐ **Not Politically Exposed Person**

*I declare that the information is to the best of my knowledge and belief, accurate and complete. I agree to notify Kotak Mahindra Mutual Fund/ Kotak Mahindra Asset Management Co. Ltd. immediately in case there is any change in the above information.

SECTION III - CORRESPONDENCE DETAILS OF SOLE/ FIRST APPLICANT

	Correspondence Address (Address as per KRA records)		Overseas Address (Mandatory for NRI/ PIO/ FII applicant)	
Address for Communication	House/ Flat No		House/ Flat No	
	Street Address		Street Address	
	City/ Town	State	City/ Town	State
	Country	Pin Code	Country	Pin Code

SECTION IV - BANK DETAILS (PLEASE ATTACH BANK ACCOUNT PROOF)

Bank Name	Bank Account. No.	
Branch Name	IFSC	MICR (9 Digit)
Branch Address	City	Pin Code

A/C Type ☐ Savings ☐ Current ☐ NRO ☐ NRE ☐ FCNR ☐ Others _____

SECTION V - INVESTMENT & PAYMENT DETAILS

Purpose of Investment Goal: ☐ Child s Education ☐ Child s Marriage ☐ Car Purchase ☐ House Purchase ☐ Retirement Benefit ☐ Other _____

Scheme Name	Plan	Option/ Sub-option	Frequency*	Amount Invested (Rs.)	Payment Details		
					Cheque No./ OTM/ RTGS/ NEFT/ Fund Transfer	Bank & Branch	Source Account No.
INFINITY EQUITY LONG-SHORT FUND	☐ Regular ☐ Direct	☐ Growth ☐ IDCW Payout ☐ IDCW Reinvestment	☐ D ☐ B ☐ W ☐ Q ☐ F ☐ H ☐ M ☐ A				

***Frequency:** D = Daily, W = Weekly, F = Fortnightly, M = Monthly, B = Bi-monthly, Q = Quarterly, H = Half Yearly, A = Annually

If you are an NRI Investor, please indicate source of funds for your investment (Please ✓) ☐ **NRE** ☐ **NRO** ☐ **FCNR** ☐ **Others** (Please specify)

SECTION VI - FATCA & CRS INFORMATION MANDATORY FOR INDIVIDUAL/ SOLE PROPRIETOR
NON-INDIVIDUAL INVESTORS SHOULD MANDATORILY FILL SEPARATE FATCA/ CRS & UBO FORM ALONG WITH THIS FORM

FATCA & CRS	1st Applicant	2nd Applicant	3rd Applicant	Guardian
Country of Birth				
Place/City of Birth				
Nationality				
Is the applicant(s) Country of Birth/ Nationality/ Tax Residency other than india	☐ Yes ☐ No	☐ Yes ☐ No	☐ Yes ☐ No	☐ Yes ☐ No
If Yes, Please provide following information:				
Country of Tax Residency 1				
Identification Type				
Tax Payer Ref ID No				
Country of Residency 2				
Identification Type				
Tax Payer Ref ID No.				
Country of Residency 3				
Identification Type				
Tax Payer Ref ID No.				

Note: In case Tax Identification Number is not available, kindly provide its functional equivalent. If no TIN is available or has not yet been issued, please provide an Explanation and attach this to the form. (Please attach additional sheet if necessary and mention all countries in which applicant is a tax resident and provide relevant details

KOTAK MAHINDRA MUTUAL FUND
6th Floor, Kotak Infinity, Building No. 21,Infinity Park,
Off. Western Express Highway, Gen.A.K. Vaidya Marg,
Malad (E), Mumbai - 400 097.
☎ 1800 309 1490 (Toll-free), 044-4022 9101
www.kotakmf.com

Computer Age Management Services Ltd.
No 178/10, Kodambakkam High Road,
Ground Floor, Opp. Hotel Palmgrove,
Nungambakkam, Chennai - 600034.
☎ 044 6110 4034
enq_k@camsonline.com www.camsonline.com

SECTION VII - DEMAT ACCOUNT DETAILS

In case you wish to hold units in demat, please fill this section. Please note that you can hold units in demat for all open ended schemes (except ETFs and IDCW options having IDCW frequency of less than a month).

NSDL		**CDSL**	
DP Name _____		DP Name _____	
DP ID	Beneficiary Account No.	DP ID	Beneficiary Account No.

Please ensure that your demat account details mentioned above are along with supporting documents evidencing the accuracy of the demat account. Bank details & Nomination of DP will overwrite the existing details.

SECTION VIII - NOMINATION DETAILS
TO BE FILLED BY INDIVIDUAL(S) (MANDATORY FOR UNITS HELD SINGLY AND OPTIONAL FOR UNITS HELD JOINTLY) SIGNATURE/S AS PER MODE OF HOLDING

I/ We _____ and _____ do hereby nominate the undermentioned Nominee to receive the Units to my/our credit in Folio No./ Application No. _____ in the event of my/ our death. I/we also understand that all payments and settlements made to such Nominee and signature of the Nominee acknowledging receipt thereof, shall be a valid discharge by the AMC/ Mutual Fund / Trustee.

NOMINEE DETAILS	**NOMINEE 1**	**NOMINEE 2**	**NOMINEE 3**
Name of the Nominee			
(%) of Allocation** (equal share if % is not specified)*			
Relationship with Sole/ First Unit-holder (mandatory)			
Postal Address Same as Primary holder/ Joint holder			
Mobile No.**			
Email ID (Mandatory)**			
DOB of Nominee (to be provided in case Nominee is Minor)			
Guardian Name (applicable if the Nominee is Minor***)			
Specify Personal Identifier Document Aadhaar (last four digits)/ PAN Driving Licence/ Passport			

* Any odd lot after division shall be transferred to the first nominee mentioned in the form.
** Please note that the MF/ RTA will be able to reach out to your Nominee if you provide the contact details.
*** The aforesaid details shall be optionally provided for the Guardian, in case of nominee is minor

NOMINEE DETAILS TO BE PRINTED IN STATEMENT OF HOLDING (Mandatory - tick any one below):

I/ We want the details of me/ our nominee to be printed in the statement of holding or statement of account, provided to me/ us by the AMC as follows:

☐ Nomination: Yes/ No ☐ Name of Nominee(s) with Percentage

If no option is selected, the account statement will by default display the nomination status as 'Nomination: Yes/ No' without revealing nominee name(s).

☐ **NO NOMINATION**

I hereby confirm that i do not wish to appoint any nominee(s) to my Kotak Mutual Fund folio at this point of time.

I understand that:

(i) the nomination helps to uickly identify the person for transfer of securities and helps in faster and smoother transmission of my securities to my legal heir(s) after my demise.

(ii) in the absence of a nomination, my legal heir(s) may require the submission of certain additional legal or court-issued documents which may delay the process of transmission of securities to my legal heir(s)

(iii) if no claim is made on the account / folio for a prolonged period after my demise, the holdings may be treated as unclaimed assets, and they may be transferred to Investor Education and protection Fund Authority (IEPF) in accordance with the applicable regulatory framework.

I confirm that I have understood the above implicatoions and that my decision to opt out of nomination is voluntary.

POA holder cannot nominate. Hence, sole/ all joint holder applicants must sign as per mode of holding.	First/ Sole Unitholder: Signature	Unitholder 2: Signature	Unitholder 3: Signature

SECTION IX - NON-PROFIT ORGANISATION [NPO] DECLARATION

We are falling under "Non-Profit Organisation" [NPO] which has been constituted for religious or charitable purposes referred to in clause (15) of section 2 of the Income-tax Act, 1961 (43 of 1961), and is registered as a trust or a society under the Societies Registration Act, 1860 (21 of 1860) or any similar State legislation or a Company registered under the section 8 of the Companies Act, 2013 (18 of 2013).	☐ **Yes** ☐ **No**

If yes, please quote the NPO Registration Number provided by DARPAN portal: _____

If not, please register immediately and confirm with the above information. Failure to get above confirmation or registration with the portal as mandated, wherever applicable will force MF/ AMC to register your entity name in the above portal and may report to the relevant authorities as applicable. We am/ are aware that we may be liable for it for any fines or consequences as required under the respective statutory requirements and authorize you to deduct such fines/charges under intimation to me/ us or collect such fines/ charges in any other manner as might be applicable.

SECTION X - OTHER PERSONAL INFORMATION

Other Information	1st Applicant/ Minor	2nd Applicant	3rd Applicant	Guardian/ Contact Person (If Non-Individual Applicant)
Gender	☐ Male ☐ Female ☐ Other	☐ Male ☐ Female ☐ Other	☐ Male ☐ Female ☐ Other	☐ Male ☐ Female ☐ Other
Father's Name				
Spouse Name				
Occupation	☐ Private Sector ☐ Public Sector	☐ Private Sector ☐ Public Sector	☐ Private Sector ☐ Public Sector	☐ Private Sector ☐ Public Sector
	☐ Government Service ☐ Doctor	☐ Government Service ☐ Doctor	☐ Government Service ☐ Doctor	☐ Government Service ☐ Doctor
	☐ Business ☐ Professional	☐ Business ☐ Professional	☐ Business ☐ Professional	☐ Business ☐ Professional
	☐ Agriculturist ☐ Retired	☐ Agriculturist ☐ Retired	☐ Agriculturist ☐ Retired	☐ Agriculturist ☐ Retired
	☐ Student ☐ House Wife	☐ Student ☐ House Wife	☐ Student ☐ House Wife	☐ Student ☐ House Wife
	☐ Forex Dealer	☐ Forex Dealer	☐ Forex Dealer	☐ Forex Dealer
	☐ Others (Please Specify) _____	☐ Others (Please Specify) _____	☐ Others (Please Specify) _____	☐ Others (Please Specify) _____
Gross Income Range (in Rs.)	☐ Below 1 Lac ☐ 1-5 Lacs	☐ Below 1 Lac ☐ 1-5 Lacs	☐ Below 1 Lac ☐ 1-5 Lacs	☐ Below 1 Lac ☐ 1-5 Lacs
	☐ 5-10 Lacs ☐ 10-25 Lacs	☐ 5-10 Lacs ☐ 10-25 Lacs	☐ 5-10 Lacs ☐ 10-25 Lacs	☐ 5-10 Lacs ☐ 10-25 Lacs
OR	☐ 25 lacs-1 Cr ☐ 1-5 Cr	☐ 25 lacs-1 Cr ☐ 1-5 Cr	☐ 25 lacs-1 Cr ☐ 1-5 Cr	☐ 25 lacs-1 Cr ☐ 1-5 Cr
	☐ 5-10 Cr ☐ > 10cr	☐ 5-10 Cr ☐ > 10cr	☐ 5-10 Cr ☐ > 10cr	☐ 5-10 Cr ☐ > 10cr
Networth in Rs. (Not older than 1 year)	Rs.	Rs.	Rs.	Rs.
	As on: DD/MM/YYYY	As on: DD/MM/YYYY	As on: DD/MM/YYYY	As on: DD/MM/YYYY
Politically Exposed Person (PEP)	☐ Yes ☐ No ☐ Related to PEP	☐ Yes ☐ No ☐ Related to PEP	☐ Yes ☐ No ☐ Related to PEP	☐ Yes ☐ No ☐ Related to PEP
Type of Address given at KRA	☐ Residential ☐ Business ☐ Registered Office	☐ Residential ☐ Business ☐ Registered Office	☐ Residential ☐ Business ☐ Registered Office	☐ Residential ☐ Business ☐ Registered Office

SECTION XI - DECLARATION AND SIGNATURES

I/We have read and understood the contents of the Statement of Additional Information/ Scheme Information Document/ Key Information Memorandum of the respective scheme(s) of Kotak Mahindra Mutual Fund. I /We hereby apply for allotment / purchase of Units in the Scheme(s) indicated in Section V above and agree to abide by the terms and conditions applicable thereto. I /We hereby declare that I /We are authorised to make this investment in the abovementioned Scheme(s) and that the amount invested in the Scheme(s) is through legitimate sources only and does not involve and is not designed for the purpose of any contravention or evasion of any Act, Rules, Regulations, Notifications or Directions of the provisions of Income Tax Act, Anti Money Laundering Act, Anti Corruption Act or any other applicable laws enacted by the Government of India from time to time. I / We hereby authorise Kotak Mahindra Mutual Fund, its Investment Manager and its agents to disclose details of my investment to my/our Investment Advisor and / or my bank(s) / Kotak Mahindra Mutual Fund's bank(s). I /We have neither received nor been induced by any rebate or gifts, directly or indirectly, in making this investment.

I/ We confirm that the distributor has disclosed all commission (in the form of trail commission or any other mode) payable to the distributor for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/ us.

I have examined the information provided by me in this form and to the best of my knowledge and belief it is true, correct, and complete.

Applicable to NRIs seeking repatriation of redemption proceeds: I/We confirm that I am/ we are Non-Resident(s) of Indian Nationality / Origin and that I/We have remitted funds from abroad through approved banking channels or from funds in my/our NRE/ FCNR Account.

FATCA & CRS Declaration: I/We have understood the information requirements of this Form (read along with FATCA & CRS Instructions) and hereby confirm that the information provided by me/ us on this Form is true, correct, and complete. I/ We also confirm that I/ We have read and understood the FATCA & CRS Terms and Conditions and hereby accept the same. (Refer guideline No. 10).

Nomination: I/ We have read and understood the instructions on nomination and I/We hereby undertake to abide by the same.

KYC Declaration:

I/ We hereby consent to receiving information from Central KYC Registry through SMS/ E-mail on the above registered number/ email address. I also providing consent to MF/ AMC/ KRA to share this KYC data with CKYCR, download the information from CKYCR and other participating intermediaries as mandated by PMLA Act/ Rules/ SEBI guidelines.

I/ We hereby consent to receiving information from central KYC Registry through SMS/ E-mail on the above registered number/email address and to download the information from CKYCR.

I/ We am/ are providing the consent to MF/ RTA/ SEBI registered intermediary to share this KYC data/ applicable Aadhaar XML data with KRA and share the data to other participating intermediaries as mandate by PMLA Act/ Rules/ SEBI guidelines.

I/ We hereby declare that the details furnished above are true & correct to the best of my knowledge and undertake to inform KMAMC of any changes therein immediately, and I/we approve the usage of these contact details for any communication with KMAMC. Please note all kinds of investor communication, Transaction Information, Statement of Account, Annual Report and other kind of communication will be sent through email only instead of physical, for investors who provide their email address.

☐ I/ We wish to opt in to receive Statement of Account, Annual Report and any other regulatory communication in physical mode.

SIGNATURE(S) (To be signed by All Applicants)			
	Sole / First Applicant	Second Applicant	Third Applicant

Please tick if the investment is operated as POA / Guardian ☐ POA ☐ Guardian

Note: If the application is incomplete and any other requirements is not fulfilled, the application is liable to be rejected.

Checklist

Please ensure that:

☞ Your Application Form is complete in all respects & signed by all applicants:
- Name, Address and Contact Details are mentioned in full.
- Bank Account Details are entered completely and correctly. 11-digit IFSC Code of your Bank is correctly updated in the Application Form.
- **Permanent Account Number (PAN)** Mandatory for all Investors (Indian & NRI) Irrespective of the Investment amount.
- **Know Your Client (KYC)** Mandatory for irrespective of the amount of investment (please refer the guideline 2(d) for more information)
- Please ensure that Relationship is correctly provided, in case of Mobile Number & Email Address. For investment under HUF capacity, if mobile number and e-mail address is provided of the Karta, please select relationship as 'Custodian'.

☞ Your Investment Cheque is drawn in favour of **< Scheme Name >** dated and signed.
☞ Application Number is mentioned on the face of the cheque.
☞ A cancelled Cheque leaf of your Bank is enclosed in case your investment cheque is not from the bank account that you have furnished in the Application Form.
☞ Documents as listed below are submitted along with the Application form (as applicable to your specific case)

Document	Companies	Trusts	Societies	Partnership Firms	NRIs/ PIOs	FIIs	Investments through Constituted Attorney
1. Resolution / Authorisation to invest	✓	✓	✓	✓		✓	
2. List of Authorised Signatories with Specimen Signature(s)	✓	✓	✓	✓		✓	✓
3. Memorandum & Articles of Association	✓						
4. Trust Deed		✓					
5. Bye-Laws			✓				
6. Partnership Deed				✓			
7. Notarised Power of Attorney							✓
8. Account Debit/ Foreign inward Remittance Certificate fromremitting Bank					✓	✓	

All documents in 1 to 8 above should be originals / true copies certified by the Director / Trustee / Company Secretary / Authorised Signatory / Notary Public

GUIDELINES FOR FILLING UP THE APPLICATION FORM

1. GENERAL INFORMATION

a) Please fill up the Application Form legibly in English in CAPITAL LETTERS.

b) Please read this Memorandum and the respective SAI/ SID carefully before investing. Your application for allotment of units in the Scheme(s) is construed to have been made with a full understanding of the terms and conditions applicable to it and the same is binding on you in respect of your investment in the Scheme(s).

c) Application Forms incomplete in any respect or not accompanied by a Cheque are liable to be rejected. In case your investment application gets rejected on account of the same being incomplete in any respect, your investment amount would be refunded without interest within 5 days.

d) Any correction / over writing in the application form must be signed by the investor.

e) If the Name given in the application is not matching PAN card, application may be liable to get rejected or further transactions may be liable get rejected.

f) AMC shall not be responsible for direct credit rejects or / payout delays due to incorrect/ incomplete information provided by investor.

g) In terms of SEBI Circular No. SEBI/IMD/CIR No. 4/168230/09 dated June 30, 2009, no entry load will be charged on purchase / additional purchase / switch-in. The commission as specified in the aforesaid circular, if any, on investment made by the investor shall be paid by the investor directly to the Distributor, based on his assessment of various factors including the service rendered by the Distributor.

h) The distributor shall disclose all commissions (in the form of trail commission or any other mode) payable to them for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to the investor.

i) In case of investments in the name of a minor, purchase has to be from minor account or from joint account with guardian (Parent/ Court Appointed) only. The registered guardian in the bank account of the minor should be the same guardian as mentioned in the folio/application. This will ensure seamless payment of redemption/ IDCW amount to the minor's account. Please furnish valid proof of Date of Birth of minor.

j) If the name is not mentioned as per the PAN card, the name will be captured as per the PAN Card if attached.

k) If the balance in the scheme/ plan is less than the request amount/ units of redemption request, then the redemption transaction shall be processed for all available units in the scheme/ plan.

l) If you have opted to redeem/ switch-out 'All Units Free from Exit Load', then the shame shall be processed only on FIFO basis.

2. APPLICANT'S INFORMATION

a) If you are already a Unitholder in any scheme of the Fund and wish to make your present investment in the same Account, please fill in the Name of Sole/ First Holder, PAN & Folio No. in Section I, of the Application Form and then proceed to Section XI.

Your personal information and bank account details updated in your existing account would also apply to this investment.

b) If you are applying for units in Kotak Mahindra Mutual Fund for the first time, please furnish your complete postal address with Pin Code (P.O. Box No. not enough) and your Contact Nos. This would help us reach you faster.

c) Permanent Account Number (PAN) Information (Mandatory) With effect from January 1, 2009, it is mandatory for all existing and new investors (including joint holders, guardians of minors and NRIs) to enclose a copy of PAN card to the application for investing in mutual fund Schemes.

d) Know Your Client (KYC)

With reference to SEBI Circular MIRSD/Cir-26/2011 dated December 23, 2011, investors may kindly note w.e.f. January 1, 2012, it is mandatory for all individual/ non individual investors to be KYC Compliant. Investors can approach any SEBI registered KRA for doing KYC.

In the event of KYC Form being subsequently rejected for lack of information/ deficiency/ insufficiency of mandatory documentation, the investment transaction will be cancelled and the amount may be redeemed at applicable NAV, subject to payment of exit load, wherever applicable.

e) If you are KYC Complaint, your Change of Address, Change in Name, etc. should be given at KRA for updation.

3. THIRD PARTY PAYMENT

Reference to AMFI Best Practice Guidelines Circular No. 16/2010 -11 on Risk Mitigation process agains Third Party Cheques in Mutual Fund Subscriptions will not be accepted by the Scheme.

Definition of Third Party Cheques

- Where payment is made through instruments issued from an account other than that of the beneficiary investor, the same is referred to as Third-Party payment.
- In case of a payment from a joint bank account, the first holder of the mutual fund folio has to be one of the joint holders of the bank account from which payment is made. If this criterion is not fulfilled, then this is also construed to be a third party payment.

However, afore-mentioned clause of investment with Third-Party Payment shall not be applicable for the below mentioned exceptional case.

- Payment for investment by any mode shall be accepted from the bank account of the minor, parent or legal guardian of the minor or from a joint account of the minor with parent or legal guardian.
- Custodian on behalf of an FII or a client.

Kotak Mahindra Asset Management Co. Ltd./ Trustee retains the sole and absolute discretion to reject/ not process application and refund subscription money if the subscription does not comply with the specified provisions of Payment Instruments

4. TERMS & CONDITIONS FOR INVESTORS WHO WISH TO HOLD THEIR UNITS IN DEMAT MODE

a. The Demat Account Details section on the investment application form needs to completely filled

b. Please ensure that you submit supporting documents evidencing the accuracy of the demat account details. Applications received without supporting documents could be processed under the physical mode.

c. The units will be credited to the Demat Account only post realisation of payment.

d. The nomination details as registered with the Depository Participant shall be applicable to unitholders who have opted to hold units in Demat mode.

e. For units held in demat mode, the bank details mentioned on investment application form shall be replaced with the bank details as registered with the Depository Participant.

f. For units held in demat form, the KYC performed by the Depository Participant of the applicants will be considered as KYC verification done by the Trustee / AMC. However, if the transfer of unit to demat account is rejected for any reason whatsoever, the transaction will be liable to be rejected if KYC performed by KRA is not attached with the investment application form.

g. In case of Unit Holders holding units in the demat mode, the Fund will not send the account statement to the Unit holders. The statement provided by the Depository Participant will be equivalent to the account statement.

h. If the investor names and their sequence in the investment application form does not match with the Demat Account details provided therein, the units will not be transferred to the Demat Account & units will be held in physical form.

i. The option of holding units in demat form is not being currently offered for investment in IDCW option of schemes/ plans having IDCW frequency of less than a month (ie: Investments in all Daily, Weekly and Fortnightly IDCW Schemes cannot be held in Demat mode)

j. In case the application is rejected post banking your payment instrument, the refund instrument will be sent with the bank details furnished in the investment application form & not as available in the Demat Account, post reconciliation of accounts.

5. BANK ACCOUNT DETAILS

a) Please furnish the Name of your Bank, Branch and City (i.e clearing circle in which the branch participates), Account Type and Account Number. This is mandatorily required as per SEBI. Applications without this information will be deemed to be incomplete & would be rejected. RTGS IFSC code & NEFT IFSC code would help us serve you better.

b) Please enclose a cancelled Cheque leaf of your Bank in case your investment cheque is not from the same account.

GUIDELINES FOR FILLING UP THE APPLICATION FORM

6. **E-MAIL COMMUNICATION**

If the investor has provided an email address, the same will be registered in our records and will be treated as your consent to receive, Allotment confirmations, consolidated account statement/account statement, annual report/abridged summary and any statutory / other information as permitted via electronic mode /email. These documents shall be sent physically in case the Unit holder opts/request for the same. The AMC / Trustee reserve the right to send any communication in physical mode.

7. **INVESTMENT DETAILS**

a) Cheques should be crossed "A/c Payee Only" and drawn in favour of the Scheme in which you propose to invest. In case of discrepancy between the scheme name mentioned in the investment application form and cheque, the units will be allotted as per scheme name mentioned on the investment application form.

b) If you are residing/ located in a city/ town where we do not have an Official Acceptance Point, please draw a Cheque payable at par and submit at your nearest city/ town where we have an Official Acceptance Point.

c) Payments by Cash, Stock invests, Outstation Cheques, Non-MICR Cheques will not be accepted. Post dated cheques will not be accepted except for investments made under Systematic Investment Plan.

d) NRI investors are requested to provide debit certificate from their bank for each investment.

e) If you are submitting a single cheque for investment in more than 1 schemes/ plan, then please ensure that your investment cheque is drawn in the name of 'Kotak Mahindra Mutual Fund'.

8. NOMINATION DETAILS

1. The nomination can be made only by individuals applying for/ holding units on their own behalf, singly or jointly.
2. You can make nomination or change nominee any number of times without any restriction.
3. Non-individuals including a Society, Trust, Body Corporate, Partnership Firm, Karta of Hindu Undivided Family, a Power of Attorney holder and/ or Guardian of Minor unitholder cannot nominate.
4. Nomination is not allowed in a folio of a Minor Unitholder.
5. If the units are held jointly (i.e., in case of multiple unitholders in the folio), the nomination form can be signed by any or all holders, as per the mode of operation of the folio.
6. Nomination can also be in favour of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust.
7. The Nominee shall not be a trust (other than a religious or charitable trust), Society, Body Corporate, Partnership Firm, Karta of Hindu Undivided Family or a Power of Attorney holder.
8. A Non-Resident Indian may be nominated subject to the applicable exchange control regulations.
9. Multiple Nominees: Nomination can be made in favour of multiple nominees, subject to a maximum of three nominees. In case of multiple nominees, the percentage of the allocation/share should be in whole numbers without any decimals, adding upto a total of 100%. If the percentage of allocation/share for each of the nominee is not mentioned, the allocation /claim settlement shall be made equally amongst all the nominees. Any odd lot after division shall be assigned / transferred to the first nominee mentioned in the form.
10. Every new nomination for a folio/ account shall overwrite the existing nomination, if any.
11. Nomination made by a unit holder shall be applicable for units held in all the schemes under the respective folio/ account.
12. Death of Nominee/s: In the event of the nominee(s) pre-deceasing the unitholder(s), the unitholder/s is/are advised to make a fresh nomination soon after the demise of the nominee. The nomination will automatically stand cancelled in the event of the nominee(s) pre-deceasing the unitholder(s). In case of multiple nominations, if any of the nominee is deceased at the time of death claim settlement, the said nominees share will be distributed on pro rata basis to surviving nominees.
13. Death of Unitholder(s): In the event of the unitholder's death, the surviving joint holder(s) shall have the right to continue, modify, or revoke the previously made nominations.
14. The Nomination will be registered only when this form is completed in all respects to the satisfaction of the AMC.
15. In respect of folios/ accounts where the Nomination has been registered, the AMC will not entertain any request for transmission/ claim settlement from any person other than the registered nominee(s), unless so directed by any competent court.

9. Employee Unique Identification Number (EUIN): SEBI has made it compulsory for every employee/ relationship manager/ sales person of the distributor of mutual fund products to quote the EUIN obtained by him/her from AMFI in the Application Form. EUIN would assist in addressing any instance of mis-selling even if the employee/ relationship manager/sales person later leaves the employment of the distributor. Hence, if your investments are routed through a distributor please ensure that the EUIN is correctly filled up in the Application Form.

However, if your distributor has not given you any advice pertaining to the investment, the EUIN box may be left blank. In this case you are required to provide the declaration to this effect as given in the form.

10. FATCA and CRS related details: Details under FATCA & CRS The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income tax Rules, 1962, which Rules require Indian financial institutions such as the Bank to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders. In relevant cases, information will have to be reported to tax authorities / appointed agencies. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto.

Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days.

Please note that you may receive more than one request for information if you have multiple relationships with (Insert FI's name) or its group entities. Therefore, it is important that you respond to our request, even if you believe you have already supplied any previously requested information.

11. DECLARATION AND SIGNATURES

a) Signatures can be in English or in any other Indian language. Thumb impressions must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate under his/her official seal.

b) Applications by minors must be signed on their behalf by their guardians.

c) If you are investing through your constituted attorney, please ensure that the POA document is signed by you and your Constituted Attorney. The signature in the Application Form, then, needs to clearly indicate that the signature is on your behalf by the Constituted Attorney.

(Application not complying with any of the above instructions/ guidelines would be\liable to be rejected.)

kotak® Mutual Fund

ASBA FORM

APPLICATION SUPPORTED BY BLOCKED AMOUNT

1. NEW APPLICANT'S PERSONAL INFORMATION

SOLE/FIRST APPLICANT

GUARDIAN (in case Sole / First Applicant is a minor)

CONTACT PERSON (in case of Non-individual applicants)

Designation

SECOND APPLICANT (Joint Holder 1)

THIRD APPLICANT (Joint Holder 2)

Category Please (Tick ✔)	
Retail Individual Investor(s)	☐
Non-Individual Investor(s)	☐

MODE OF OPERATION (where there is more than one applicant) ◯ First Holder only ◯ Anyone or Survivor ◯ Joint

	SOLE / FIRST APPLICANT	SECOND APPLICANT	THIRD APPLICANT
PAN No. (Irrespective of Size of the investment) (Application without this information are liable to be rejected)			

2. ISIN DETAILS

ISIN Number:

Scheme Name: **INFINITY EQUITY LONG-SHORT FUND**

3. SCSB DETAILS

Bank Account Number:

Bank and Branch where account is held

Total Amount to be blocked (Rs. in figures) (Rs. in words)

(Amount to be blocked shall be the No. of Unit for x Unit Price).

MANDATORY

4. DEMAT ACCOUNT DETAILS (Please ensure that unit holders given an option to hold the units in demat form in addition to account statement as per current practice and the sequence of names as mentioned in the application form matches with the Depository Participant.)

	NSDL	CDSL
DP Name		
DP ID*	I N	
Beneficiary Account No.		

***In case Unit holders do not provide their Demat Account details, an Account Statement shall be sent to them. Such investors will not be able to trade on the stock exchange.**

UNDERTAKING BY ASBA INVESTOR/ ACCOUNT HOLDER

1) I/We hereby undertake that I/We am/are an ASBA investor(s) as per the applicable provisions of the SEBI (Issue of Capital and Disclosure Requirements) Regulations, 2009. 2) In accordance with ASBA process provided in the SEBI (Issue of Capital and Disclosure Requirements) Regulations, 2009, I/We authorize (a) the SCSB to do all acts as are necessary to make an application for purchase of units in the NFO of the Company, blocking the amount to the extent mentioned above in the SCSB details or unblocking of funds in the bank account maintained with the SCSB specified in the ASBA form, transfer of funds to the Issuer's account designated for this purpose on receipt of instruction from the Registrar to the Issue after finalisation of the basis of allotment entitling me/us to receive Units on such transfer of funds, etc. (b) Registrar to the KMAMC to issue instructions to the SCSB to remove the block on the funds in the bank account specified in the ASBA Form, upon finalisation of the basis of allotment and to transfer the requisite money to the Issuer's account designed for this purpose. 3) In case the amount available in the bank account specified in the ASBA Form is insufficient for blocking the amount equivalent to the application money, the SCSB shall reject the application. 4) If the DP ID, Client ID or PAN furnished by me/us in the ASBA Form is incorrect or incomplete, the ASBA Application shall be rejected and the AMC, R&TA and SCSB shall not be liable for losses, if any. 5) I/We hereby authorise the SCSB to make relevant revisions as may be required to be done during the NFO, in the event of price revision.

SIGNATURE OF THE APPLICANT(S)			
SIGNATURE OF THE BANK ACCOUNT HOLDER(S)			

Attn. : NRI Investors : Payment should be made through their NRE/FCNR accounts.

TEAR HERE

ACKNOWLEDGEMENT SLIP FOR SCSB

TO BE RETAINED BY THE BANKER (To be filled by the Sole/First Applicant)

kotak® Mutual Fund

KOTAK MAHINDRA MUTUAL FUND
6th Floor, Kotak Infiniti, Building No. 21, Infinity Park,
Gen. A. K. Vaidya Marg, Malad (East), Mumbai - 400097.
Tel No.: 022-6605 6825
Web: www.kotakmf.com

Date : _____ 2024

Received from Mr./Ms.

Address

Tel/Fax | Mobile

E-mail

SCSB Account Details

Bank Name:

Bank Account Number:

Branch Address:

Total Amount to be blocked (Rs.)

No. of Units (In Figures)	

SIGNATURE(S)

TEAR HERE

ACKNOWLEDGEMENT SLIP FOR INVESTOR

TO BE GIVEN BY THE SCSB (To be filled by the Sole/First Applicant)

kotak® Mutual Fund

KOTAK MAHINDRA MUTUAL FUND
6th Floor, Kotak Infiniti, Building No. 21, Infinity Park,
Gen. A. K. Vaidya Marg, Malad (East), Mumbai - 400097.
Tel No.: 022-6605 6825
Web: www.kotakmf.com

Date : _____ 2024

INVESTMENT DETAILS

Scheme Name: INFINITY EQUITY LONG-SHORT FUND	**Plan:**
Option:	**Frequency:**
Amount in figures:	**Amount in words:**
No of units:	**Unit price:**

Received From

Mr./Ms.

Address

Telephone Pin Code

Bank Account Number :

Bank Name & Branch Address :

Total Amount to be blocked (Rs.)

SCSB Stamp
Signature, Date & Time of Bid Form Submission

(Cheques are subject to realisation)

Note : Only purchases registered on the electronic system will be considered for allocation. Therefore, kindly ensure that you get a computerised TRS for every Investment from the SCSB. Please note that validity of the purchases or any allocation thereon, is subject to realisation of the correct amount. Please retain photocopy of this form for future reference.

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ASBA FORM

APPLICATION SUPPORTED BY BLOCKED AMOUNT

IMPORTANT INFORMATION INSTRUCTIONS FOR ASBA MUTUAL FUND INVESTORS

Background: In its continuing endeavour to make the existing public issue process more efficient SEBI introduced a supplementary process of applying in public issues, viz: the "Applications Supported by Blocked Amount (ASBA" process. Accordingly, Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2009, as amended have been amended for ASBA process. The salient features of circular no. SEBI/CFD/DIL/ASBA/1/2009/30/12 dated December 30, 2009 available on SEBI website for "Additional mode of payment through Applications Supported by Blocked Amount (hereinafter referred to as "ASBA") are mentioned below for understanding the ASBA process:

1. Meaning of ASBA: ASBA is an application for subscribing to a New Fund Offer (NFO), containing an authorisation to block the application money in a bank account.

2. Self Certified Syndicate Bank (SCSB): SCSB is a banker to an issue registered with the SEBI which offers the facility of applying through the ASBA process. The list of SCSBs will be displayed by SEBI on its website at www.sebi.gov.in from time to time. ASBAs can be accepted only by SCSBs, whose names appear in the list of SCSBs displayed on SEBI's website. As on April 15, 2010, 27 Banks have been recognised as SCSBs. Investors maintaining their accounts in of any of these Banks may approach one of the designated branches of these SCSBs for availing this facility. Further it may be noted that from time to time new banks register themselves as SCSBs who become eligible to provide these services and also the existing SCSBs designate additional branches that also provide this facility. An updated list of all the registered SCSBs, their controlling branches, contact details and details of their contact persons, a list of their designated branches which are providing such services is available on the website of SEBI at the address http://www.sebi.gov.in. Further these details are also available on the websites of the Stock Exchanges at http://www.bseindia.com and http://www.nseindia.com. Alternatively, investors may also contact the AMC, R&TA for information about the SCSBs or the ASBA process. These SCSBs are deemed to have entered into an agreement with the Issuer and shall be required to offer the ASBA facility to all its account holders for all issues to which ASBA process is applicable. A SCSB shall identify its Designated Branches (DBs) at which an ASBA bidder shall submit ASBA and shall also identify the Controlling Branch (CB), which shall act as a coordinating branch for the Registrar to the Issue, Stock Exchanges and Merchant Bankers. The SCSB, its DBs and CB shall continue to act as such, for all issues to which ASBA process is applicable. The SCSB may identify new DBs for the purpose of ASBA process and intimate details of the same to SEBI, after which SEBI will add the DB to the list of SCSBs maintained by it. The SCSB shall communicate the following details to Stock Exchanges for making it available on their respective websites. These details shall also be made available by the SCSB on its website: (i) Name and address of the SCSB (ii) Addresses of DBs and CB and other details such as telephone number, fax number and email ids. (iii) Name and contact details of a nodal officer at a senior level from the CB.

3. Eligibility of Investors: An Investor shall be eligible to apply through ASBA process, if he/she: (i) is a "Resident Retail Individual Investor, Non-Individual Investor, QIBs, Eligible NRIs applying on non-repatriation basis, Eligible NRIs applying on repatriation basis i.e. any investor, (ii) is applying through blocking of funds in a bank account with the SCSB; Such investors are hereinafter referred as "ASBA Investors".

4. ASBA Facility in Brief: Investor shall submit his Bid through an ASBA cum Application Form, either in physical or electronic mode, to the SCSB with whom the bank account of the ASBA Investor or bank account utilised by the ASBA Investor ("ASBA Account") is maintained. The SCSB shall block an amount equal to the NFO application Amount in the bank account specified in the ASBA cum Application Form, physical or electronic, on the basis of an authorisation to this effect given by the account holder at the time of submitting the Application. The Bid Amount shall remain blocked in the aforesaid ASBA Account until the Allotment in the New fund Offer and consequent transfer of the Application Amount against the allocated Units to the Issuer's account designated for this purpose, or until withdrawal/failure of the Offer or until withdrawal/rejection of the ASBA Application, as the case may be. The ASBA data shall thereafter be uploaded by the SCSB in the electronic IPO system of the Stock Exchanges. Once the Allotment is finalised, the R&TA to the NFO shall send an appropriate request to the Controlling Branch of the SCSB for unblocking the relevant bank accounts and for transferring the amount allocable to the successful ASBA Bidders to the AMC account designated for this purpose. In case of withdrawal/Rejection of the Offer, the R&TA to the Offer shall notify the SCSBs to unblock the blocked amount of the ASBA Bidders within one day from the day of receipt of such notification.

5. Obligations of the AMC: AMC shall ensure that adequate arrangements are made by the R&TA for the NFO to obtain information about all ASBAs and to treat these applications similar to non-ASBA applications while allotment of Units, as per the procedure specified in the Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2009.

Investors are requested to check with their respective banks about the availability of the ASBA facility.

Other Information for ASBA Investors:

1. SCSB shall not accept any ASBA after the closing time of acceptance on the last day of the NFO period.
2. SCSB shall give ASBA investors an acknowledgment for the receipt of ASBAs.
3. SCSB shall not upload any ASBA in the electronic system of the Stock Exchange(s) unless (i) it has received the ASBA in a physical or electronic form; and (ii) it has blocked the application money in the bank account specified in the ASBA or has systems to ensure that Electronic ASBAs are accepted in the system only after blocking of application money in the relevant bank account opened with it.
4. SCSB shall ensure that complaints of ASBA investors arising out of errors or delay in capturing of data, blocking or unblocking of bank accounts, etc. are satisfactorily redressed.
5. SCSB shall be liable for all its omissions and commissions in discharging responsibilities in the ASBA process.
6. R&TA to the NFO shall act as a nodal agency for redressing complaints of ASBA and non-ASBA investors, including providing guidance to ASBA investors regarding approaching the SCSB concerned.

Grounds for rejection of ASBA applications

ASBA application forms can be rejected by the AMC/Registrar/ SCSBs, on the following technical grounds:

1. Applications by persons not competent to contract under the Indian Contract Act, 1872, including but not limited to minors, insane persons etc.
2. Mode of ASBA i.e. either Physical ASBA or Electronic ASBA, not selected or ticked.
3. ASBA Application Form without the stamp of the SCSB.
4. Application by any person outside India if not in compliance with applicable foreign and Indian laws.
5. Bank account details not given/incorrect details given.
6. Duly certified Power of Attorney, if applicable, not submitted alongwith the ASBA application form.
7. No corresponding records available with the Depositories matching the parameters namely (a) Names of the ASBA applicants (including the order of names of joint holders) (b) DP ID (c) Beneficiary account number or any other relevant details pertaining to the Depository Account.
8. Insufficient funds in the investor's account
9. Application accepted by SCSB and not uploaded on/with the Exchange / Registrar

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kotak Mutual Fund

Declaration Form of Ultimate Beneficial Ownership [UBO] / Controlling Persons

Please fill in the information below legibly in English and in CAPITALS.

DATE D D M M Y Y Y Y

I. INVESTOR DETAILS

Investor Name

PAN

* If PAN is not available, specify Folio No.(s)

II. CATEGORY

☐ Our company is a Listed Company on a recognized stock exchange in India/ Subsidiary of a or Controlled by a Listed Company [If this category is selected, no need to provide UBO details].

Name of the Stock Exchange where it is listed# _____

Security ISIN# _____

Name of the Listed Company (applicable if the investor is subsidiary/ associate) _____

Mandatory in case of Listed company or subsidiary of the Listed Company

☐ Unlisted Company ☐ Partnership Firm / LLP ☐ Unincorporated association / body of individuals

☐ Public Charitable Trust ☐ Private Trust ☐ Religious Trust ☐ Trust created by a Will

☐ Others (please specify) _____

UBO/ CONTROLLING PERSON(S) DETAILS

Does your company/ entity have any individual person(s) who holds direct/ indirect controlling ownership above the prescribed threshold limit? ☐ Yes ☐ No

If'YES'- We hereby declare that the following individual person holds directly/ indirectly controlling ownership in our entity above the prescribed threshold limit. Details of such individual(s) are given below.

If 'NO'- declare that no individual person (directly/ indirectly) holds controlling ownership in our entity above the prescribed threshold limit. Details of the individual who holds the position of Senior Managing Official (SMO) are provided below.

	UBO-1/ Senior Managing Official (SMO)	UBO-2	UBO-3
Name of the UBO/ SMO#			
UBO/ SMO PAN# For Foreign Nationals, TIN to be provided			
UBO/ SMO Country of Tax Residency#			
UBO/ SMO Taxpayer Identification Number/ Equivalent ID Number#			
UBO/ SMO Identity Type			
UBO/ SMO Place & Country of Birth#	Place of Birth _____ Country of Birth _____	Place of Birth _____ Country of Birth _____	Place of Birth _____ Country of Birth _____
UBO/ SMO Nationality			
UBO/ SMO Date of Birth#	Date D D M M Y Y Y Y	Date D D M M Y Y Y Y	Date D D M M Y Y Y Y
UBO / SMO PEP#	Yes - PEP ☐ Yes - Related to PEP ☐ N - Not a PEP ☐	Yes - PEP ☐ Yes - Related to PEP ☐ N - Not a PEP ☐	Yes - PEP ☐ Yes - Related to PEP ☐ N - Not a PEP ☐
UBO/ SMO Address Type	☐ Residence ☐ Business ☐ Registered Office	☐ Residence ☐ Business ☐ Registered Office	☐ Residence ☐ Business ☐ Registered Office

UBO/ SMO s Occupation	☐ Public Service ☐ Private Service ☐ Business ☐ Others	☐ Public Service ☐ Private Service ☐ Business ☐ Others	☐ Public Service ☐ Private Service ☐ Business ☐ Others
SMO Designation#			
UBO/ SMO KYC Complied?	☐ YES ☐ NO If 'Yes,' please attach the KYC acknowledgement If 'No,' complete the KYC &confirm the status	☐ YES ☐ NO If 'Yes,' please attach the KYC acknowledgement If 'No,' complete the KYC &confirm the status	☐ YES ☐ NO If Yes, please attach the KYC acknowledgement If No, complete the KYC &confirm the status

Mandatory column.

** In case of Foreign Nationals, who are not KYC complied, they need to attach the ID proof in English along with the Nationality proof, Address proof again in English. If the documentary proof is in Foreign Language, it should be translated in English and should be attested by Indian Embassy of that country.

Note: If the given columns are not sufficient, required information in the given format can be enclosed as additional sheet(s) duly signed by Authorized Signatory.

Participating Mutual Fund(s) / RTA may call for additional information/documentation wherever required or if the given information is not clear / incomplete / correct and valid declaration should be submitted again with all the required information.

L. UNITHOLDER(S) SIGNATURE(S)

I/ We acknowledge and confirm that the information provided above is true and correct to the best of my/our knowledge and belief. In case any of the above specified information is found to be false, untrue, misleading, or misrepresenting, I/We am/ are aware that I/ We may be liable for it including any penalty levied by the statutory/ legal/ regulatory authority. I/ We hereby confirm the above beneficial interest after perusing all applicable shareholding pattern and MF/ RTA/ other registered intermediaries can make reliance on the same. I/ We hereby authorize you [RTA/ Fund/ AMC/ Other participating entities] to disclose, share, rely, remit in any form, mode or manner, all/ any of the information provided by me, including all changes, updates to such information as and when provided by me to any of the Mutual Fund, its Sponsor, Asset Management Company, trustees, their employees/ RTAs ('the Authorised Parties') or any Indian or foreign governmental or statutory or judicial authorities/ agencies including but not limited to the Financial Intelligence Unit-India (FIU-IND), the tax/ revenue authorities in India or outside India wherever it is legally required and other investigation agencies without any obligation of advising me/ us of the same. Further, I/ We authorise to share the given information to other SEBI Registered Intermediaries/ or any regulated intermediaries registered with SEBI/ RBI/ IRDA/ PFRDA to facilitate single submission/ update & for other relevant purposes. I/ We also undertake to keep you informed in writing about any changes/ modification to the above information in future within 30 days of such changes and undertake to provide any other additional information as may be required at your/ Fund s end or by domestic or overseas regulators/ tax authorities.

SIGNATURE(S) with relevant Seal

SIGNATURE(S)			
	_____ Authorised Signatory Name: _____ Designation: _____	_____ Authorised Signatory Name: _____ Designation: _____	_____ Authorised Signatory Name: _____ Designation: _____

INSTRUCTIONS ON CONTROLLING PERSONS/ ULTIMATE BENEFICIAL OWNER

As per PMLA guidelines and relevant SEBI circulars issued from time to time, non-individuals and trusts are required to provide details of controlling persons [CP] / ultimate beneficiary owner [UBO] and submit appropriate proof of identity of such CPs/ UBOs. The beneficial owner has been defined in the circular as the natural person or persons, who ultimately own, control or influence a client and/or persons on whose behalf a transaction is being conducted and includes a person who exercises ultimate effective control over a legal person or arrangement.

A. For Investors other than individuals or trusts:

(i) The identity of the natural person, who, whether acting alone or together, or through one or more juridical person, exercises control through ownership or who ultimately has a controlling ownership interest. Controlling ownership interest means ownership of/entitlement to:
- more than 10% of shares or capital or profits of the juridical person, where the juridical person is a company.
- more than 10% of the capital or profits of the juridical person, where the juridical person is a partnership or or who exercises control through other means.

For the purpose of this clause, Control shall include the right to control the management or policy decision.
- more than 15% of the property or capital or profits of the juridical person, where the juridical person is an unincorporated association or body of individuals.

(ii) In cases where there exists doubt under clause (i) above as to whether the person with the controlling ownership interest is the beneficial owner or where no natural person exerts control through ownership interests, the identity of the natural person exercising control over the juridical person through other means like through voting rights, agreement, arrangements or in any other manner.

(iii) Where no natural person is identified under clauses (i) or (ii) above, the identity of the relevant natural person who holds the position of senior managing official.

B. For Investors which is a trust:

The identity of the settler of the trust, the trustee, the protector, the beneficiaries with 10% or more interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership.
Provided that in case of a trust, the reporting entity shall ensure that trustees disclose their status at the time of commencement of an account-based relationship or when carrying out transactions as specified in clause (b) of sub-rule (1) rule 9.

C. Exemption in case of listed companies / foreign investors

The client or the owner of the controlling interest is a company listed on a stock exchange or is a majority-owned subsidiary of such a company, there is no need for identification and verification of the identity of any shareholder or beneficial owner of such companies and hence exempted from UBO declaration provided other requisite information is provided. Intermediaries dealing with foreign investors viz., Foreign Institutional Investors, Sub Accounts and Qualified Foreign Investors, may be guided by the clarifications issued vide SEBI circular CIR/MIRSD/11/2012 dated September 5, 2012 and other circulars issued from time to time, for the purpose of identification of beneficial ownership of the client.

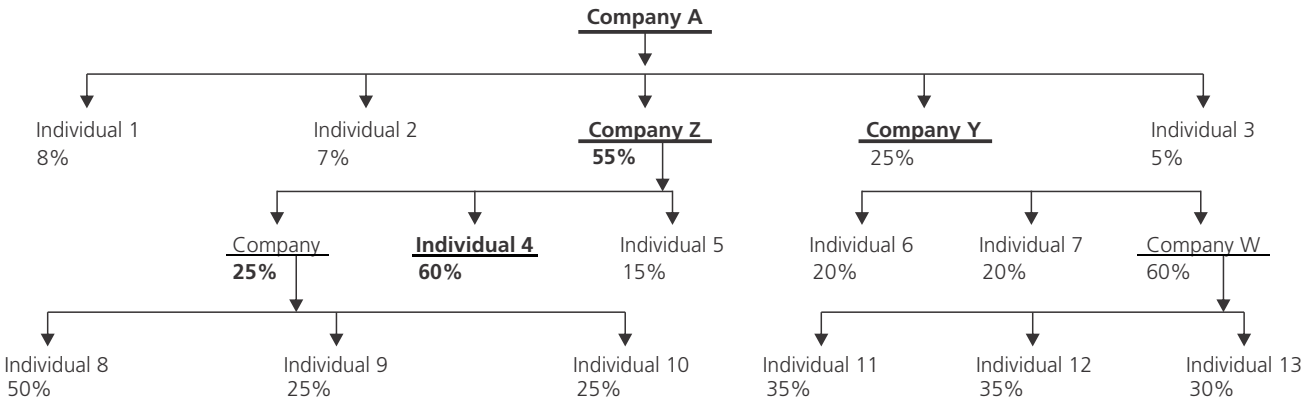
D. KYC requirements

Beneficial Owner(s)/ Senior Managing Official (SMO) is/are required to comply with the prescribed KYC process as stipulated by SEBI from time to time with any one of the KRA & submit the same to AMC. KYC acknowledgement proof is to be submitted for all the UBO(s) / SMO(s).

In case of Foreign Nationals, who are not KYC complied, they need to attach the ID proof in English along with the Nationality proof, Address proof again in English. If the documentary proof is in Foreign Language, it should be translated in English and should be attested by Indian Embassy of that country.

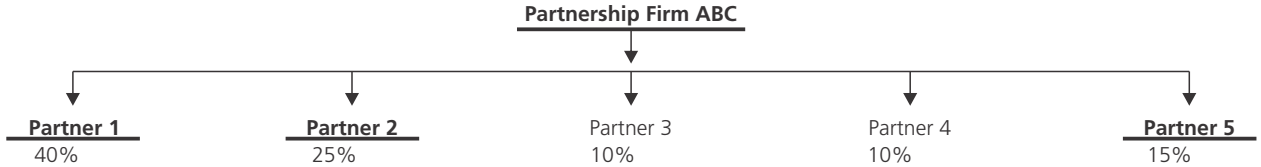
Sample Illustrations for ascertaining beneficial ownership:

Illustration No. 1 Company A



For Applicant A, Individual 4 is considered as UBO as it holds effective ownership of 33% in Company A. Hence details of Individual 4 must be provided with KYC proof, Shareholding pattern of Company A, Z & Y to be provided along with details of persons of Company Y who are senior managing officials and those exercising control.

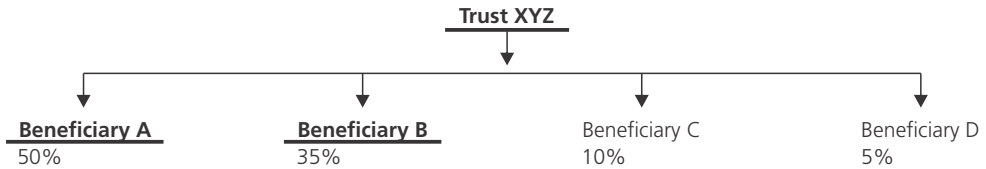
Illustration No. 2 Partner ABC



For Partnership Firm ABC, Partners 1, 2, 3 and 4 are considered as UBO as each of them holds >=10% of capital. KYC proof of these partners needs to be submitted including shareholding

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Illustration No. 3 Trustee ZYX



For Trust ZYX, Beneficiaries A, B and C are considered as UBO as they are entitled to get benefitted for >10% of funds used. KYC proof for these beneficiaries needs to be submitted. Additionally, if they have nominated any person or group of persons as Settlor of Trust/ Protector of Trust, relevant information to be provided along with the proof indicated.

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kotak Mutual Fund

OFFICIAL COLLECTION CENTRES (FOR FRESH PURCHASES & SWITCH-INS)

KMAMC AUTHORISED COLLECTION CENTRES

Agra: Shop No. G-4, Ground Floor, U-Pee Tower, Block No.53/4, Sanjay Place, Agra - 282002. **Ahmedabad:** 305,3rd Floor, Siddhi Vinayak Complex, Near Shivranjani Cross Road, Satellite, Ahmedabad 380015 (Gujarat). **Ahmedabad:** Shop/ Unit No. 3, Ground Floor, SPG Empressa, Beside Passport Office, Mithakali Six Road, Navrangpura, Ahmedabad - 380009 (Gujarat). **Ahmednagar:** Shop No. 207, 2nd Floor, Vedant Icon, Premdan Chowk, Professor Colony Road, Savedi, Ahmednagar - 414003 (Maharashtra). **Ajmer:** 1st Floor, India Heights, Kutchary Road, India Motor Circle, Ajmer - 305001 (Rajasthan). **Akola:** Office No. 21, 1st Floor, IT Square, Gorakshan Road, Opp. Power House, Akola - 444 001 (Maharashtra). **Aligarh:** 1st Floor, Omeshwar Plaza, C1 Omeshwar Plaza, Plot No.3/243, Laxmi Bai Marg, Marris Road, Aligarh - 202001 (Uttar Pradesh). **Alwar:** 2nd Floor, Ram Arcade, 30A, Scheme No.2, Lajpat Nagar, Bhagat Singh Circle, Alwar: 301001 (Rajasthan). **Amravati:** Vishwakarma Heights, Ground Floor, Shop/ Unit No. 2 & 3, Plot No. 10/70, Jafarjin Plot, Beside Canara Bank, Near Adarsh Hotel, Amravati: 444601 (Maharashtra). **Amritsar:** Mezzanine FL (Upper Gr FL), SCO 96, Block-B, Ranjit Avenue, District Shopping Complex, Amritsar – 143001 (Punjab). **Anand:** Shop No. 8, Ground Floor, Ashwamegh Complex, Opp. Vyayam Shala, Sardar Ganj Road, Anand – 388001 (Gujarat). **Asansol:** 1st Floor, Block B, P. C. Chatterjee Market, Rambandhu Tala, Asansol - 713303 (West Bengal). **Aurangabad:** 3rd Floor, Block No. D 28/29, Motiwala Trade Centre, Opp HDFC Bank, Nirla Bazar, Aurangabad – 431001. **Ambala:** Bldg No.5396, First Floor, Punjabi Mohalla, Nicholson Road, Above Haryana Beauty Parlour, Ambala Cantt, Ambala - 133001. **Bangalore:** 5th FL, 506, North Block, Manipal Centre, Dickenson Road, Bangalore - 560042. **Bangalore:** GPNS Towers No. 60 (Old No. 568), 2nd Floor, 11th Main Road, 4th Block, Jayanagar, Bangalore - 560011. **Belgaum:** 2nd Floor, Amar Empire, Office No.10, Near Basaveshwar Circle, Goavess Khanapur Road, BELGAUM - 590001 (Karnataka). **Bareily:** 1st Floor, Singh Complex, 167-A, Civil Lines, Station Road, Bareily - 243001 (Uttar Pradesh). **Bathinda:** Ground Floor, Plot/ Shop No. Z-4-00559, Ganesh Nagar, Ganesh Nagar Bus Stop, Bathinda – 151001 (Punjab). **Berhampur:** 1st Floor, Plot No-194/ 969, Dharmanagar, Main Road, Beside Hyderabadi Bawarchi Restaurant, Berhampur - 760002 (Odisha). **Bhagalpur:** Shop No. 101, Ground Floor, Kumar's Enclave, Dr. R. P. Road, Near Marwari Pathshala, Near Canara Bank, Bhagalpur - 812001 (Bihar). **Bharuch:** Shop/ Unit No. 109 , 1st Floor, Nexus Business Hub, Maktampur Road, Near Hotel Gangotri, Bharuch - 392001 (Gujarat)**. Bhavnagar:** Office No. S/1, 2nd Floor, Gangotri Plaza, Opp. Daxinamurti School, Waghadi Road, Bhavnagar - 364002 (Gujarat). **Bhilai:** Shop No.22, Commercial Complex, Nehru Nagar [E], Bhilai - 490006. **Bhopal:** 1st Floor, Alankar Complex, Plot No. 11, Zone – II, M.P. Nagar, Bhopal - 462011 (Madhya Pradesh). **Bilaspur:** 2nd Floor, Shreeji Plaza, Near Tagore Chowk, Tarbahar Road, Bilaspur - 495001 (Chhattisgarh). **Bhubaneshwar:** 2nd Floor, Building No.24, SCR Janpath, Bapujinagar, Bhubaneshwar - 751009. **Bhuj:** Office No.18, Ground Floor, A D Mehta Complex, opp Anchor Circle, Bhuj-Madhapar Road, RTO Relocation Site, Bhuj – Kutch - 370001 (Gujarat). **Bokaro:** Ground Floor, Plot No. GA-7 (Left), City Centre, Sector 4, Bokaro Steel City, Bokaro – 827004 (Jharkhand). **Calicut:** Door No 62/2732/C, 1st Floor, Pearl Bay Arcade, YMCA Cross Road, Calicut - 673001 (Kerala). **Chandigarh:** SCO No. 323 - 324, First Floor, Near Hotel J W Marriot/ Maya, Sector – 35B, Chandigarh - 160022. **Chennai:** Unit G-01 & G-02, Ground Floor, Building No:52-53, Prince Towers, College Road, Nungambakkam, Chennai – 600 006. Tamil Nadu. **Coimbatore:** Shop No.1, 2nd Floor, A.M.I. Midtowm, 25A/2, D.B. Road, R.S. Puram, Coimbatore - 641002 Tamil Nadu). **Cuttack:** 1st Floor, Gopal Bhawan, Plot No-553, Machua Bazar, Opposite Bsnl Office, Buxi Bazar, Mangalabag, Cuttack – 753001 (Orissa). **Dehradun:** Office No. 247/2, 1st Floor, Swaraj Plaza, Rajpur Road, Dehradun – 248001 (Uttarakhand). **Delhi:** Office No. 314, 3rd Floor, H. B. Twin Tower – 1, Plot No. A-2,3,4, Netaji Subhash Place, Pitampura, Delhi - 110034. **Dhanbad:** 2nd Floor, Shop No. 215, Shriram Plaza, Bank More, Dhanbad - 826001 (jharkhand). **Durgapur:** 2nd Floor, Akshara Building, Plot No. C-100, Beside Junction Mall, City Centre, Durgapur - 713216 (West Bengal). **Faridabad:** SCO No. 02, First Floor, Sector-16, Faridabad - 121002 (Haryana). **Goa:** Office No. F-02 , 1st Fl, Sukerkar Mansion, Opp. Govt Printing Press, M. G Road, Panaji – 403001 (Goa). **Gorakhpur:** 1st Floor, Shop no.6, Cross Road The Mall, Agrasen Chowk, Bank Road, Gorakhpur - 273001 (Uttar Pradesh). **Gurgaon:** Unit no. 214 , 2nd floor, Vipul Agora Building, Sector no.28, M G Road, Gurgaon - 122001. **Guwahati:** Shop/ Unit No 02, 3rd Floor, Dwarka Center, H/No. 1, Bora Service Complex, G S Road, South Sarania, Lachit Nagar, Guwahati – 781007 (Assam). **Gwalior:** 2nd Floor, 'Sai Pawar Building', 300 Tulsi Vihar Colony, City Centre, Gwalior - 474011 (Madhya Pradesh). **Hisar:** Shop No 1, Ground Floor, RD City Center, Near Elite Cinema, Railway Road, Hisar - 125001 (Haryana). **Hubli:** Office No. 201, 2nd Floor, Challamarad Building, Behind Vivekananda Hospital, Above IDFC First Bank, Deshpande Nagar, Hubli - 580029 (Karnataka). **Hyderabad:** 201, 2nd Floor Legend Esta, Rajbhavan Road, Somajiguda, Hyderabad - 500 082 (Telangana). **Hyderabad:** Premises No.6-3-885/7/C/2/S1, 2nd Floor, Amit Plaza, Rajbhavan Road, Near Somajiguda Circle, Somajiguda, Hyderabad - 500082 (Telangana). **Indore:** Office No. 203, 2nd Floor, N M Tower, Near 56 Shop, New Palasia, Indore - 452001 (Madhya Pradesh). **Jabalpur:** Shop/ Unit No. 04, 1st Floor, Gupta Bhawan, 1502, Opp. Hp Petrol Pump, Near Madan Mahal Police Station, Home Science College Road, Napier Town, Jabalpur - 482001 (Madhya Pradesh). **Jaipur:** Shop/ Unit No. 008, Ground Floor, Trimurti Vijay City Point, D-52 Ashok Marg, Ahinsa Circle, C-scheme, Jaipur - 302001 (Rajasthan). **Jammu:** Shop/ Unit No. 208, 2nd Floor, Bahu Plaza, South Block, A-2, Jammu - 180012 (Jammu & Kashmir). **Jamnagar:** Shop/ Unit No. 101-B, 1st Floor, Platinum Complex, Park Colony, Near Joggers Park, Jamnagar - 361008 (Gujarat). **Jalandhar:** Office No. 18 , 3rd Floor, City Square Building, Eh-197, Civil Lines, GT Road, Jalandhar -144001 (Punjab). **Jalgaon:** Shiv Priya Chambers, 2nd Floor, 1st Part, Main Chitra Square, Plot N0.72, Jilha Peth, Jalgaon – 425 001 (Maharashtra). **Jamshedpur:** 2nd Floor, Tee Kay Corporate Towers, Opp. Chamber Of Commerce, Main Road, Bistupur, Jamshedpur - 831001 (Jharkhand). **Jhansi:** Plot No. 91, Ground Floor, Civil Lines Ansik, Behind Hotel Sita, Jhansi - 284002 (Uttar Pradesh). **Jodhpur:** 106, 1st Floor, Modi Arcade, Near Bombay Motor Circle, Chopasani Road, Jodhpur - 342001 (Rajasthan). **Junagadh:** Shop/ Unit No. 105 , 1st Floor, Fortune Plaza, Above HDFC Bank, M.G. Road, Junagadh - 362001 (Gujarat). **Kanpur:** 1st Floor, Tower A, Virendra Smriti Complex, 15/54- B, Civil Lines, Kanpur- 208001 (Uttar Pradesh). **Karnal:** SCO/ Plot No. 408, Ground Floor, Scheme No.34, Extn. Old Mughal Canal, Opp. Guru Harkrishan Public School, Karnal - 132001 (Haryana). **Kharagpur:** M S Tower 1, 1st Floor, O T Road, Inda, Opp. Kharagpur College, Paschim Medinipur District, Kharagpur - 721305 (West Bengal). **Kochi:** Shop/ Door No. 454/D, Al-Hissan, 2nd Floor, Kaloor Kadavanthra Road, Opp. Skyline Topaz, Gandhinagar, Kochi - 682017 (Kerala). **Kolhapur:** Shop No.2, Upper Ground Floor, Abhishek Plaza, 1152-A, E Ward, Sykes Extention, Kolhapur - 416001 (Maharashtra). **Kolkata:** 3rd Floor, The Millenium, 235/2A, AJC Bose Road, Kolkata - 700020 (West Bengal). **Kolkata - Dalhousie:** Room No-302B, 2, Church Lane, Kolkata - 700001. **Kolkata:** 3rd Floor, The Millenium, 235/2A, AJC Bose Road, Kolkata – 700020 (Bengal). **Kota:** Shop No-1, Ground Floor, Anand Villa, 157, Sindhi Colony, Near Shiv Jyoti School, Gumanpura, Kota - 324007 (Rajasthan).. **Kottayam:** Pulimoottil Arcade, Ground Floor, Door/shop No. 18/ 284,285, Kanjikuzhy, Near Plantation Corporation, Muttambalam, Kottayam: 686004 (Kerala). **Lucknow:** 2nd Floor,Aryan Business Park, 90, M.G.Road [Exchange Cottage], Off:Park Road, Hajratganj, Lucknow - 226001. **Ludhiana:** Lower Ground Floor, SCO 13, Shanghai Tower, Feroze Gandhi Market, Ludhiana - 141001 (Punjab). **Madurai:** 272/273, Suriya Towers, 2nd Floor, Goodshed Street, Madurai – 625001 (Tamil Nadu). **Mangalore:** Crystal Arc, Shop/ Unit No. G-9, Ground Floor, Milagres Road, Near Roopa Hotel, Hampankatta, Mangalore - 575001 (Karnataka). **Margao:** Shop No 1, Ground Floor, Mangalya, Opp. Colaco Building, Comba, Margao - 403601 (Goa). **Meerut:** Office No-106, Om Plaza, 1st Floor, Begum Bridge Road, Sothiganj, Meerut - 250001 (Uttar Pradesh). **Mehsana:** Shop NO. F/F 57, 1ST Floor, Someshwar Mall, Near IOC Petrol Pump, Modhera Cross Road, Mehsana -384002 (Gujarat). **Moradabad:** Krishna Complex, 1st Floor, Near Raj Mahal Hotel, Civil Lines, Moradabad – 244001 (Uttar Pradesh). **Mumbai (Andheri W):** Shop/ Unit No. 11-A, 1st Floor, Alpine Primo, J P Road, Opp. Navrang Cinema, Andheri West, Mumbai – 400058 (Maharashtra). **Mumbai (BKC):** 2nd Floor, 12 BKC, Plot No. C-12, G Block, Bandra Kurla Complex, Bandra (East), Mumbai - 400051 (Maharashtra). **Mumbai (Borivali-W):** Shop No. 16, 17 & 18, 1st Floor, Harismruti CHSL, Next to Kotak Bank, Near Chamunda Circle, SVP Road, Borivali West, Mumbai - 400092 (Maharashtra). **Mumbai (Fort):** Shop No.6, Ground Floor, Rajabahadur Mansion (Bansilal Building), 9-15 Homi Modi Street, Fort, Mumbai – 400023. **Mumbai (Goregaon):** 6th Floor, Zone IV, Kotak Infinity, Bldg No.21, Infinity Park, Off Western Express Highway, General A K Vaidya Marg, Malad (E), Mumbai - 400097. **Mumbai (Ghatkopar East)**: Shop/ Unit No. 202, 2nd Floor, RNJ Corporate, Jawahar Road, Opp. Ghatkopar Station East, Adjacent To Parakh Hospital, Ghatkopar East, Mumbai - 400077 (Maharashtra). **Mumbai (Registered Office):** 27 BKC, C-27, G Block, Bandra Kurla Complex, Bandra (East), Mumbai - 400051 (Maharashtra). Email: kmamc.emailstamping@kotakmutual.in. **Muzaffarpur:** 1st Floor, Laxmi Bhavan, Pani Tanki Chowk, Club Road, Mithanpura, Opp. Ramna Post Office, Ramna, Muzaffarpur - 842002 (Bihar). **Mysore:** 1st Floor, Office No.23, 24 & 25, Prashanth Plaza, CH 16, 5th Cross, 4th Main Road, Chamaraja Mohalla, Saraswathipuram, Mysore - 570009 (Karnataka). **Nagpur:** 302,3rd FL Shalwak Manor, East High Court Road, Opp. Dr.Jay Deshmukh's Hospital, Ramdaspeth, Nagpur - 440011. **Nadia:** B-8/25(CA), 1st Floor, Royal Banquet Building, Near Kalyani Ghoshpara Station, Kalyani, Nadia - 741235 (West Bengal). **Nasik:** Office No.1, Mezzanine Floor, Sharada Niketan, GCK Avenue, Tilakwadi, Opp. Hotel City Pride, Sharanpur Road, Nashik - 422002 (Maharashtra). **Navsari:** Office No. 206, 2nd Floor, Union Heights, Asha Nagar, Opp. Avdhoot Eye Hospital, Navsari – 396445 (Gujarat). **New Delhi:** Unit Number 1101, 1103 & 1104, 11TH Floor, Kailash Building. 26, Kasturba Gandhi Marg, New Delhi - 110001. **Noida:** Unit no. 206, 2nd floor, Ocean Plaza, Plot No. P-5, Sector 18, Maharaja Agrasen Marg, Noida - 201301 (Uttar Pradesh). **Panipat:** Lower Ground Floor, Jawa Complex, Opp. Railway Station, G.T.Road, Panipat - 132103. **Patiala:** SCO-130, 1ST Floor, New Leela Bhawan, Near Punjab National Bank, Patiala - 147001. **Patna:** 3rd Floor, Office No. 306, Grand Plaza, Frazer Road, Patna - 800001 (Bihar). **Prayagraj:** Shop No. SF-8, 2nd Floor, Vinayak Vrindawan Tower, Taskent Marg, Civil Lines, Prayagraj: 211001 (Uttar Pradesh). **Puducherry:** Jayalakshmi Complex, 1st Floor, 114-116, Thiruvalluvar Salai, Pillaithottam, Puducherry - 605013. **Pune:** Shop No. 8, Ground Floor, Rama Equator, Near City International School, Morewadi, Pimpri, Pune - 411018 (Maharashtra). **Pune:** Office No 10 / 11, 3rd Floor, Aditya Centeegra, F C Road, Near Dyneshwar Paduka Chowk, Next to Kotak Mahindra Bank, Shivajinagar, Pune – 411005. **Raipur:** Shop No. F1, 1st Floor, Raheja Tower, Fafadih Chowk, Jail Road, Raipur - 492001 (Chhattisgarh). **Rajkot:** Office No. 204, 2nd Floor, Orbit Enclave, Near Ramkrishna Ashram, Dr. Yagnik Road, Rajkot - 360001 (Gujarat). **Ranchi:** 3rd Floor, Satya Ganga Arcade, Lalji Hirji Road, Near Sarjana Chowk, Main Road, Ranchi-834001, Jharkhand. **Rohtak:** Lower Gr Floor, Office No.3, "Bank Square" Building, Opp: Myna Tourist Complex, 120-121 Civil Lines, Rohtak - 124001. **Rourkela:** 2nd Floor, Plot No.304, Holding No. 72, Above Axis Bank & Union Bank, Udit Nagar Main Road, Rourkela - 769012 (Orissa). **Saharanpur:** 1st Floor, Krishna Complex, Opp. Hathi Gate, Court Road, Saharanpur – 247001 (Uttar Pradesh). **Salem:** Shop No. 213, 2nd Floor, Kandaswarna Shopping Mall, Saradha College Road, Salem - 636016 (Tamil Nadu). **Sambalpur:** Josiah House, 1st Floor, Opp. Oriya Baptist Church, Beside Samson Mansion, Post Office Modipara, Sambalpur - 768002 (Odisha). **Satara:** Shop No. 2, Ground Floor, Ok Pride, Opp Taluka Police Station, Radhika Road, Satara - 415002 (Maharashtra). **Serampore:** Unit No.20, Ground Floor, Hinterland Complex, 6A, Roy Ghat Lane, Serampore, Hooghly - 712203 (West Bengal). **Shimla:** 1st, Floor,Bhagra Niwas,Near Lift Road,The Mall, Shimla - 171001. **Siliguri:** Nanak Complex, Lower Ground Floor, Sevoke Road, Siliguri- 2522012 (Bengal). **Solapur:** Shop No. 2 & 3, Ground Floor, Advait Apartment, Railway Lines, Near Naval Petrol Pump, Solapur - 413001 (Maharashtra). **Surat:** Office nob-129, 1st Floor, International Trade, Centre [ITC] Building, Majura Gate Crossing, Ring Road, Surat - 395002. **Thane** (Mumbai): 203, 2nd Floor, Platinum Square, Ghantali Devi Road, Near Teen Petrol Pump, Naupada, Thane (West) – 400602 (Maharashtra). **Tinsukia:** Shop No. 21, Ground Floor, Prithvi Tower, Ward No.10, Devi Pukhuri Road, Opp. IDBI Bank, Tinsukia: 786125 (Assam). **Thrissur:** Shop/ Unit No. 36/1693, 1st Floor, Trichur Trade Center, Kuruppam Road, Thrissur - 680001 (Kerala). **Tiruchirapalli:** 1st Floor, Vignesh Aradhana, No.16GF4, Shop No. 4, Shastri Road, Tiruchirapalli - 620017 (Tamil Nadu). **Trichy:** Shop/ Unit No. A, Ground Floor, Lukpria Mark, D-26, 7th Cross East, Thillai Nagar, Trichy - 620018 (Tamil Nadu). **Trivandrum:** Ground Floor, 'Blossom' Building, TC No. 9/1020-3 (New TC No.22/901), Opp. NSS Karayogam, Sasthamangalam Village P.O, Trivandrum - 695010 (Kerala). **Udaipur:** 1st Floor, Hotel Sita, Plot No. 135, Opposite NCC Bhawan, Saheli Marg, Udaipur - 313001 (Rajasthan). **Ujjain:** Plot No. 81/3, Ground Floor, Vikram Marg, Near Shahid Park, Main Market, Freeganj, Ujjain - 456010 (Madhya Pradesh). **Vadodara:** Neptune Trion, Shop/ Unit No. 113 & 114, 1st Floor, Vikram Sarabhai Road, Subhanpura, Gorwa Road, Genda Circle, Vadodara: 390023 (Gujarat). **Vapi:** 3rd Floor, Shop No. TA9, Solitaire Business Centre, Opp. DCB Bank, Vapi Silvassa Road, Vapi - 396191 (Gujarat). **Varanasi:** Shop No. 54, 1st Floor , 'Kuber Complex', D-58/2, Rathyatra Crossings, Varanasi - 221010 (Uttar Pradesh). **Vijayawada:** DN: 39-14-1, 1st Floor, Uttam Towers, Above Vodafone Store, Opp. The Gateway hotel, M.G.Road, Labbipet, Vijayawada-520010 (Andhra Pradesh). **Visakhapatnam:** Door no: 47-15-13/31, 3rd floor, Shop no 3, Navaratna Jewel Square, Near RTC complex, Station road, Dwarakanagar, Visakhapatnam - 530016 (Andhra Pradesh).

kotak Mutual Fund

OFFICIAL COLLECTION CENTRES (FOR FRESH PURCHASES & SWITCH-INS)

I. COMPUTER AGE MANAGEMENT SERVICES LIMITED (CAMS) - INVESTOR SERVICE CENTRES

Ahmedabad: 111-113, 1st Floor, Devpath Building, Off C G Road, Behind Lal Bungalow, Ellis Bridge, Ahmedabad - 380006. **Arambagh:** Mukherjee Building First Floor, Beside MP Jewellers, Next to Mannapuram, Ward no 5 Link Road, Arambagh, Hooghly - 712 601 (West Bengal). **Bangalore:** Trade Centre, 1st Floor, 45, Dikensen Road, (Next to Manipal Centre), Bangalore - 560042. **Bhubaneswar:** Plot No. 501/ 1741/ 1846, Premises No. 203, 2nd Floor, Kharvel Nagar, Unit-3, Bhubaneswar - 751001. Odisha. **Bolpur:** Bhubandanga, Opposite. Shiv Shambhu Rice Mill, First Floor, Bolpur - 731 204 (West Bengal). **Chandigarh:** Deepak Tower, SCO 154-155, 1st Floor, Sector 17-C, Chandigarh - 160017. **Chandrapur:** Opp Mustafa décor, Behind, Bangalore,Bakery Kasturba, Road, Chandrapur - 442402 (Maharashtra). **Chennai:** No 178/10, M G R Salai, Nungambakkam, Chennai - 600034. **Coimbatore:** No 1334; Thadagam Road, Thirumoorthy Layout, R.S. Puram, Behind Venkteswara Bakery, Coimbatore – 641002. **Dibrugarh:** Amba Complex, Ground Floor, H S Road, Dibrugarh - 786001. Assam. **Durgapur:** Plot No.3601, Nazrul Sarani, City Centre, Durgapur - 713216. **Faizabad:** 9/1/51, Rishi Tola, Fatehganj, Faizabad, Ayodhya – 224 001, Uttar Pradesh. **Goa:** Office No. 103, 1st Floor, Unitech City Centre, M.G. Road, Panaji Goa, Goa - 403 001. **Guntur:** D No 31-13-1158, 1st Floor, 13/1 Arundelpet, Ward No.6, Guntur - 522002 (AP). **Hyderabad:** 208, 2nd Floor, Jade Arcade, Paradise Circle, Secunderabad - 500003. **Indore:** 101, Shalimar Corporate Centre, 8-B, South tukogunj, Opp.Greenpark, Indore - 452001. **Jaipur:** R-7, Yudhisthir Marg ,C-Scheme, Behind Ashok Nagar Police Station, 63/ 2, The Mall, Jaipur - 302001. **Jorhat:** Dewal Road, Second Floor, Left Side Second Building, Near Budhi Gukhani Mandir, Gar Ali, Jorhat - 785 001 (Assam). **Kalyan:** Office No 413, 414, 415, 4th Floor, Seasons Business Centre, Opp. KDMC (Kalyan Dombivli Municipal Corporation), Shivaji Chowk, Kalyan (West) – 421301 (Maharashtra). **Kanpur:** 1st Floor, 106 to 108, City Centre, Phase II, 63/2, The Mall, Kanpur – 208001. **Kochi:** Modayil, Door No. 39/2638 DJ, 2nd Floor, 2A, M.G. Road, Kochi - 682016. **Korba:** Shop No 6, Shriram Commercial Complex, Infront of Hotel Blue Diamond Ground Floor, T.P. Nagar, Korba - 495677, Chhattisgarh. **Kolkata:** Kankaria Centre, 2/1,Russell Street (2nd Floor), Kolkata - 700071. **Korba:** Shop No 6, Shriram Commercial Complex, Infront of Hotel Blue Diamond Ground Floor, T.P. Nagar, Korba - 495677 (West Bengal). **Lucknow:** Office No.107, 1st Floor, Vaishali Arcade Building, Plot No. 11, 6 Park Road, Lucknow - 226001. UP. **Ludhiana:** U/ GF, Prince Market, Green Field, Near Traffic Lights, Sarabha Nagar Pulli, Pakhowal Road, Ludhiana - 141002. **Madurai:** Shop No 3, 2nd Floor, Suriya Towers, 272/ 273 – Goodshed Street, Madurai -625001, Tamil Nadu. **Mandi Gobindgarh:** Opp. Bank of Bikaner & Jaipur, Harchand Mill Road, Motia Khan, Mandi Gobindgarh - 147301 (Punjab). **Mangalore:** 14-6-674/15(1), Shop No. UG11-2, Maximus Complex, Light House Hill Road, Mangalore- 575 001 (Karnataka). **Mumbai:** Rajabahdur Compound, Ground Floor, Opp Allahabad Bank, Behind ICICI Bank, 30, Mumbai Samachar Marg, Fort, Mumbai - 400023. **Murshidabad:** No.107/1, A C Road, Ground Floor, Berhampore, Murshidabad - 742103. West Bengal. **Nadia:** R. N. Tagore Road, In front of Kotawali P.S. Krishnanagar, Nadia - 741101. West Bengal. **Nagpur:** 145 Lendra, New Ramdaspeth, Nagpur - 440010. **New Delhi:** 401 to 404, 4th Floor, Kanchan Junga Building, Barakhamba Road, New Delhi 110001. **Patna:** 301B, Third Floor, Patna One Plaza, Near Dak bungalow Chowk, Patna - 800001 (Bihar). **Pune:** Vartak Pride , 1st floor, Survay No 46, City Survay No 1477, Hingne Budruk, D.P Road, Behind Dinanath Mangeshkar Hospital, Karvenagar, Pune - 411 052. **Seerampur:** 47/5/1, Raja Rammohan Roy Sarani PO, Mallickpara, Dist. Hoogly, Seerampur-712203. West Bengal. **Surat:** Shop No-G-5, International Commerce Center, Nr.Kadiwala School, Majura Gate, Ring Road, Surat - 395002. **Suri:** Police Line, Ramakrishnapally, Near Suri Bus Stand, Suri - 731101 (West Bengal). **Tamluk:** Holding No. 58, First Floor, Padumbasan Ward No. 10, Tamluk Maniktala More, Beside HDFC Bank, Purba Medinipur, Tamluk - 721636 (West Bengal). **Vadodara:** 103 Aries Complex, BPC Road, Off R.C. Dutt Road, Alkapuri, Vadodara - 390007. **Vijayawada:** 40-1-68, Rao & Ratnam Complex, Near Chennupati Petrol Pump, M.G Road, Labbipet, Vijayawada - 520010. **Visakhapatnam:** Door No: 47-3-2/2, Flat No: GF2, Vigneswara Plaza, 5th Lane, Dwarakanagar, Visakhapatnam - 530016. Andhra Pradesh. **Wardha:** Opp. Raman Cycle Industries, Krishna Nagar, Wardha - 442001 (Maharashtra).

II. COMPUTER AGE MANAGEMENT SERVICES LIMITED (CAMS) - TRANSACTION POINT

Agartala: Nibedita, 1st floor, JB Road, Palace Compound, Near Babuana Tea and Snacks, Agartala – 799001 (Tripura West). **Agra :** No.8, 2nd Floor, Maruti Tower, Sanjay Place, Agra - 282002. **Ahmednagar :** Office No. 3, 1st Floor, Shree Parvati, Plot No. 1/175, Opp. Mauli Sabhagruh, Zopadi Canteen, Savedi, Ahmednagar - 414 003. **Ajmer :** AMC No. 423/30, New Church Brahampuri, Opp T B Hospital, Jaipur Road, Ajmer - 305001. **Akola :** Opp. RLT Science College, Civil Lines, Akola - 444001. **Aligarh :** City Enclave, Opp. Kumar Nursing Home, Ramghat Road, Aligarh - 202001. **Allahabad :** 30/2, A&B, Civil Lines Station, Besides Vishal Mega Mart, Strachey Road, Allahabad - 211001. **Alleppey :** Doctor's Tower Building, Door No. 14/2562, 1st floor, North of Iorn Bridge, Near Hotel Arcadia Regency, Allppey - 688 001. **Alwar :** 256A, Scheme No 1, Arya Nagar, Alwar - 301001. **Amaravati :** 81, Gulsham Tower, 2nd Floor, Near Panchsheel Talkies, Amaravati - 444601. **Ambala:** Shop No.4250, Near B D Senior Secondary School, Ambala Cantt, Ambala - 133001 (Haryana). **Amritsar :** SCO - 18J, 'C' BLOCK RANJIT AVENUE, Amritsar - 140001. **Anand :** 101, A P Tower, Behind Sardhar Gunj, Next to Nathwani Chambers, Anand - 388001. **Anantapur :** 15-570-33, I Floor Pallavi Towers, Subash Road, Opp:Canara Bank Anantapur - 515 001 Andhra Pradesh. **Ankleshwar :** G-34, Ravi Complex, Valia Char Rasta, G I D C, Bharuch, Ankleshwar - 393002. **Arrah:** Old N.C.C Office, Ground Floor, Block Road, Arrah - 802301 (Bihar). **Asansol :** Block - G, 1st Floor, P C Chatterjee Market Complex, Rambandhu Talab, P O Ushagram, Asansol - 713303. **Aurangabad:** 2nd Floor, Block No. D-21-D-22 Motiwala Trade Center, Nirala Bazar New Samarth Nagar, Opp. HDFC Bank, Aurangabad – 431001. **Balasore:** B C Sen Road, Balasore - 756001. **Bankura:** 1st Floor, Central Bank Building, Machantala, Bankura - 722101. West Bengal. **Bareilly:** F-62-63, Second Floor, Butler Plaza, Civil Lines, Bareilly - 243001, UP. **Basti:** Office No. 3, 1st Floor, Jamia Shopping Complex, (Opposite Pandey School), Station Road, (Uttar Pradesh), Basti - 272002. **Belgaum :** Classic Complex, Block no 104, 1st Floor, Saraf Colony, Khanapur Road, Tilakwadi, Belgaum - 590 006. **Bellary:** 18/47/A, Govind Nilaya, Ward 20, Sangankal Moka Road, Gandhinagar, Bellary I - 583102. **Bengaluru:** First Floor, 17/1, - (272) 12th Cross Road, Wilson Garden, Bengaluru 5600027. **Berhampur:** Kalika Temple Street, Ground Floor, Beside SBI Bazar Branch, Berhampur - 760 002 (Odisha). **Bhagalpur :** Krishna, 1st Floor, Near Mahadev Cinema, Dr R P Road, Bhagalpur - 812002. **Bharuch** (Parent: Ankleshwar TP) **:** A-111, First Floor, R K Casta, Behind Patel Super Market, Station Road, Bharuch - 392001. **Bhatinda :** 2907 GH, GT Road, Near Zila Parishad, Bhatinda - 151001. **Bhavnagar:** 501-503, Bhayani Skyline, Behind Joggers Park, Atabhai Road, Bhavnagar – 364 001. Gujarat. **Bhilai :** First Floor, Plot No. 3, Block No. 1, Priyadarshini Parisar West, Behind IDBI Bank, Nehru Nagar, Bhilai - 490020. **Bhilwara :** Indraprastha Tower, 2nd Floor, Shyam Ki Sabji Mandi Near Mukulji Garden, Bhilwara - 311001. **Bhopal :** Plot no 10, 2nd Floor, Alankar Complex, Near ICICI Bank, MP Nagar, Zone II, Bhopal - 462 011. **Bhuj:** Tirthkala, First Floor, Opposite BMCB Bank, New Station Road, Bhuj, Kutch – 370 001 (Gujarat). **Bhusawal** (Parent: Jalgaon TP) **:** 3, Adelade Apartment, Christain Mohala, Behind Gulshan-E-Iran Hotel, Amardeep Talkies Road, Bhusawal - 425201. **Bihar Sharif:** R & C Palace, Amber Station Road, Opposite Mamta Complex, (Nalanda) Bihar Sharif - 803101 (Bihar). **Bikaner :** F 4/5, Bothra Complex, Modern Market, Bikaner - 334001. **Bilaspur :** Shop No. B - 104, First Floor, Narayan Plaza, Link Road, Bilaspur - 495001. **Bokaro :** Mazzanine Floor, F-4, City Centre, Sector-4, Bokaro Steel City Bokaro - 827004. **Burdwan :** 399, G T Road, Basement of Talk of the Town, Burdwan - 713101. **C.R.Avenue** (Parent: Kolkata ISC) **:** 33,C R Avenue, 2nd Floor, Room No.13, Kolkata - 700012. **Calicut :** 29/97G, 2nd Floor, Gulf Air Building, Mavoor Road, Arayidathupalam, Calicut - 673016. **Chandrapur:** Opp Mustafa Decor, Near Bangalore Bakery, Kasturba Road, Chandrapur - 442 402 Maharashtra. **Chennai:** 3rd Floor, B R Complex, No. 66, Door No. 11A, Ramakrishna Iyer Street, Opp. National Cinema Theatre, West Tambaram, Chennai 600045. **Chennai:** 158, Rayala Towers, Ground Floor, Chennai - 600002. **Chinchwad:** Harshal Heights, Shop no 29, Basement, Opp. Gawade Petrol Pump, Link Road, Chinchwad - 411033. **Chhindwara :** 2nd Floor, Parasia Road, Near Surya Lodge, Sood Complex, Above Nagpur CT Scan, Chhindwara – 480001 (Madhya Pradesh). **Chittorgarh:** 3 Ashok Nagar, Near Heera Vatika, Chittorgarh - 312001. **Coochbehar:** S. N. Road Bye Lane, Badur Bagan, Near Gouri Shankar, P.O. & District Coochbehar – 736101 **Cuttack :** Near Indian Overseas Bank, Cantonment Road, Mata Math, Cuttack - 753001. **Darbhanga :** Shahi Complex, 1st Floor, Near R B Memorial Hospital, V I P Road, Benta, Laheriasarai, Darbhanga 846001. **Davenegere :** 13, 1st Floor, Akkamahadevi Samaj Complex, Church Road, P J Extension, Devengere - 577002. **Dehradun :** 204/121, Nari Shilp Mandir Marg, Old Connaught Place, Dehradun - 248001. **Deoghar :** S S M Jalan Road, Ground Floor, Opp Hotel Ashoke, Caster Town, Deoghar - 814112. **Dewas:** 11 Ram Nagar, 1st Floor, A. B. Road, Near Indian - Allahabad Bank, Dewas – 455001, MP. **Dhanbad :** Urmila Towers, Room No. 111, 1st Floor, Bank More, Dhanbad - 826001. **Dharmapuri :** 16A/63A, Pidamaneri Road, Near Indoor Stadium, Dharmapuri - 636701. **Dhule :** H No. 1793 / A, J B Road, Near Tower Garden, Dhule - 424001. **Erode :** 197, Seshaiyer Complex, Agraharam Street, Erode - 638001. **Faizabad :** Amar Deep Building, 3/20/14, 2nd Floor, Niyawan, Faizabad-224001 **Faridabad :** B-49, 1st Floor, Nehru Ground, Behind Anupam Sweet House, NIT, Faridabad - 121001. **Firozabad:** 53, 1st Floor, Shastri Market, Sadar Bazar, Firozabad - 283 203. **Gandhidham :** Shyam Sadan, 1st Floor, Plot No. 120, Sector 1/A, Gandhidham - 370201, Gujarat. **Gandhinagar:** 507, 5th Floor, Shree Ugati Corporate Park, Opposite Pratik Mall, Near HDFC Bank, Kudasan, Gandhinagar – 382421. **Gaya:** North Bisar Tank, Upper Ground Floor, Near I.M.A. Hall, Gaya 823001, Bihar. **Ghaziabad :** FF - 26, Konark Building, 1st Floor, RDC - Rajnagar, Ghaziabad - 201002. **Goa:** No DU 8, Upper Ground Floor, Behind Techoclean Clinic, Suvidha Complex Near ICICI Bank, Vasco, Goa – 403802. **Gondal :** A/177 Kailash Complex Opp. Khedut Decor GONDAL - 360311. **Gorakhpur :** Shop No. 5 & 6, 3rd Floor, Cross Road The Mall, A D Tiraha, Bank Road, Gorakhpur – 273001. **Gulbarga :** Pal Complex, 1st Floor, Opp City Bus Stop, Super Market, Gulbarga - 585101. **Guntur**: Door No 5-38-44, 5/1 BRODIPET, Near Ravi Sankar Hotel, Guntur - 522002. **Gurgaon :** SCO - 17, 3rd Floor, Sector-14, Gurgoan - 122001. **Guwahati:** Piyali Phukan Road K. C. Path House No - 1 Rehabari Guwahati – 781008. **Gwalior :** G-6, Global Apartment Phase - II, Opposite Income Tax Office, Kailash Vihar City Centre, Gwalior - 474011. **Haldia :** J. L. No. 126, Mouza-Basudevpur, Haldia Municipality Ward No. 10, Durgachak, Purba Medinipur, Haldia - 721602. West Bengal. **Haldwani :** Durga City Centre, Nainital Road, Haldwani - 263139. **Haridwar:** F - 3, Hotel Shaurya, New Model Colony, Haridwar - 249408. **Hassan:** Vidya Bhavan Building, 1st Floor, Old Bus Stand Road, Hassan – 573201 (Karnataka). **Hazaribagh :** Muncipal Market, Annada Chowk, Hazaribagh - 825301. **Himmatnagar:** Unit No. 326, Third Floor One World - 1, Block - A Himmatnagar - 383001 (Gujarat). **Hisar :** 12, Opp HDFC Bank, Red Square Market, Hisar - 125001. **Hoshiarpur :** Near Archies Gallery, Shimla Pahari Chowk, Hoshiarpur - 146001. **Hosur :** Survey No.25/204,Attibele Road, HCF Post, Mathigiri, Above Time Kids School, Opposite to Kuttys Frozen Foods, Hosur - 635 110 (Tamil Nadu). **Hubli :** 206 & 207, 1st Floor, A-Block, Kundagol Complex, Opp Court, Club road, Hubli - 580029. **Jabalpur:** 8, Ground Floor, Datt Towers, Behind Commercial Automobiles, Napier Town, Jabalpur - 482001. **Jalandhar :** 144, Vijay Nagar, Near Capital Small Finance Bank, Football Chowk, Jalandhar City – 144001, Punjab. **Jalgoan :** Rustomji Infotech Services, 70, Navipeth, Opp old Bus Stand, Jalgoan - 425001. **Jalna:** (Parent ISC – Aurangabad) : Shop No. 11, 1st Floor, Ashoka Plaza, Opp Magistic Talkies, Subhash Road, Jalna - 431203. **Jalpaiguri:** Babu Para, Beside Meenaar Apartment, Ward No VIII, Kotwali Police Station, PO & Dist. Jalpaiguri – 735101. **Jamnagar :** 207, Manek Centre, P N Marg, Jamnagar - 361001. **Jamshedpur:** Tee Kay Corporate Towers, 3rd Floor, SB Shop Area, Main Road, Bistupur, Jamshedpur – 831 001. **Jaunpur:** Gopal Katra, 1st Floor, Fort Road, Jaunpur - 222001. **Jhansi :** Babu Lal Karkhana Compound, Opp SBI Credit Branch, Gwalior Road, Jhansi - 284001. **Jammu:** JRDS Heights, Lane Opp. S&S Computers,Near RBI Building, Sector 14, Nanak Nagar Jammu - 180004. **Junagadh :** Circle Chowk, Near Choksi Bazar Kaman, Gujarat Junagadh - 362001. **Kadapa:** D No: 3/2151/2152, Shop No 4, Near Food Nation, Raja Reddy Street, Kadapa -516001, (Andhra Pradesh). **Kakinada :** No.33-1, 44 Sri Sathya Complex, Main Road, Kakinada - 533 001. **Kalyani :** A - 1/50, Block - A, Dist Nadia Kalyani - 741235. **Kangra:** College Road Kangra, Dist. Kangra – 176001 (Himachal Pradesh). **Kannur :** Room No.14/435, Casa Marina Shopping Centre, Talap, Kannur - 670004. **Karimnagar :** H No. 7-1-257, Upstairs S B H, Mangammthota, Karimnagar - 505001. **Karnal** 29 Avtar Colony, Behind Vishal Mega Mart, Karnal – 132001. **Karur :** No. A5 75/1 Vaiyapuri Nagar 2nd Cross, Karur – 639002 (Tamil Nadu). **Katni:** NH 7, Near LIC, Jabalpur Road, Bargawan, Katni - 483501. **Kestopur :** S. D. Tower, Sreeparna Apartment, AA-101, Prafulla Kannan (West) Shop No - 1M, Block – C (Ground Floor), Kestopur, - 700101. **Khammam:** Khammam: Door No. 15-11-432, 1st Floor, Krushi Bhavan Road, Beside Minerva Grand Hotel, VDO's Colony, Khammam – 507002 (Telangana). **Khandwa:** Plot No 49, Ward No 31, Swami Vivekanand Ward, Gully No 1, Padawa, Khandwa - 485001 (Madhya Pradesh). **Kharagpur:** "Silver Palace" OT Road, Inda- Kharagpur G.P- Barakola P.S- Kharagpur Local -721305. **Kolhapur :** AMD Sofex Office No.7, 3rd Floor, Ayodhya Towers, Station Road, Kolhapur - 416001. **Kolkata:** 2A, Ganesh Chandra Avenue Room No.3A, Commerce House"(4th Floor), Kolkata – 700013. **Kollam :** Uthram Chambers (Ground Floor), Thamarakulam, Kollam - 691 006. **Kota :** B-33, Kalyan Bhawan, Triangle Part, Vallabh Nagar, Kota - 324007. **Kukatpally:** No. 15-31-2M-1/4 1st Floor, 14-A, MIG KPHB Colony, Kukatpally - 500072. **Kumbakonam :** No.28/8, 1st Floor, Balakrishna Colony, Pachaiappa Street, Near VPV Lodge, Kumbakonam - 612001. Tamil Nadu. **Kurnool:** Shop Nos. 26 and 27, Door No. 39/265A and 39/265B, Second Floor, Skanda Shopping Mall, Old Chad Talkies, Vaddageri, 39th Ward, Kurnool – 518001. **Malda :** Daxhinapan Abasan, Opp Lane of Hotel Kalinga, S M Pally, Malda - 732101. **Mandi:** 328/12 Ram Nagar, 1st Floor, Above Ram Traders, Mandi -175001 (Punjab). **Manipal:** Shop No A2, Basement Floor, Academy Tower, Opp. Corporation Bank, Manipal – 576104. **Mapusa** (Parent ISC : Goa) **:** Office No.CF-8, 1st Floor, Business Point, Above Bicholim Urban Co-op Bank, Angod, Mapusa - 403507. **Margao:** F4- Classic Heritage, Near Axis Bank, Opp. BPS Club Pajifond, Margao - 403601. **Mathura :** 159/160, Vikas Bazar, Mathura - 281001. **Meerut :** 108, 1st Floor, Shivam Plaza, Opp Eves Cinema, Hapur Road, Meerut - 250002. **Mehsana :** 1st Floor,

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Subhadra Complex, Urban Bank Road, Mehsana - 384002. **Mirzapur:** Ground Floor, Canara Bank Building, Dhundhi Katra, Mirzapur. Uttar Pradesh - 231001. **Moga :** Gandhi Road, Opp Union Bank of India, Moga - 142001. **Moradabad**: H 21-22, 1st Floor, Ram Ganga Vihar Shopping Complex, Opposite Sale Tax Office, Moradabad - 244001. **Mumbai (Andheri):** 351, Icon, 501, 5th Floor, Western Express Highway, Andheri (East), Mumbai - 400069. **Mumbai (Borivali West):** 501, TIARA, CTS- 617, 617/1-4, Off. Chandavarkar Lane, Maharashtra Nagar, Borivali West, Mumbai – 400092. Maharashtra. **Mumbai (Ghatkoper E):** Platinum Mall, Office No.307, 3rd floor, Jawahar Road, Ghatkopar East, Mumbai 400 077, Maharashtra. **Muzaffarnagar:** F26/27-Kamadhenu Market, Opp. LIC Building Ansari Road, Muzaffarnagar - 251 001. **Muzzafarpur :** Brahman Toli, Durga Asthan Gola Road, Muzaffarpur - 842001. **Mysore :** No.1, 1st Floor, CH.26 7th Main, 5th Cross, (Above Trishakthi Medicals), Saraswati Puram, Mysore - 570009. **Namakkal:** 156A / 1, First Floor, Lakshmi Vilas Building, Opp. To District Registrar Office, Trichy Road, Namakkal – 637001 (Tamil Nadu). **Nanded:** Shop No. 8,9 Cellar, 'Raj Mohammed Complex', Main Road, Sri Nagar, Nanded - 431605. **Nadiad:** F 142, First Floor, Gantakaran Complex, Gunj Bazar, Nadiad - 387001. **Nalgonda :** Adj. to Maisaiah Statue , Clock Tower Center, Bus Stand Road , Nalgonda - 508001. **Nashik:** 1st Floor, "Shraddha Niketan", Tilak Wadi, Opp. Hotel City Pride, Sharanpur Road, Nashik - 422 002. **Navsari:** 103 - Harekrishna Complex, above IDBI Bank, Near Vasant Talkies, Chimnabai Road, Navasari - 396445. **Nellore :** Shop No. 2, 1st Floor, NSR Complex, James Garden, near Flower Market, Nellore – 524001 (Andhra Pradesh). **New Delhi:** 401 to 404, 4th Floor, Kanchan Junga Building, Barakhamba Road, New Delhi 110001. **New Delhi:** 306, 3rd Floor, DDA - 2 Building District Centre, Janakpuri, New Delhi 110058. **New Delhi:** Aggarwal Cyber Plaza-II, Commercial Unit No-371, 3rd Floor,Plot No C-7, Netaji Subhash Palace, Pitampura, New Delhi - 110034. **Noida :** Commercial Shop No.GF 10 & GF 38, Ground Floor, Ansal Fortune Arcade, Plot No. K-82, Sector -18, Noida – 201301. Uttar Pradesh. **Palakkad :** 10 / 688, Sreedevi Residency, Mettupalayam Street, Palakkad - 678001. **Palanpur :** Gopal Trade Center, Shop No. 13-14, 3rd Floor, Nr. BK Mercantile Bank, Opp. Old Gunj, Palanpur – 385001, Gujarat. **Panipat :** 83, Devi Lal Shopping Complex, Opp ABN Amro Bank, G T Road, Panipat 132103. **Pathankot:** 13 - A, 1st Floor, Gurjeet Market Dhangu Road, Pathankot - 145 001. **Patiala :** 35, New Lal Bagh, Opposite Polo Ground, Patiala - 147001. **Patiala:** SCO-130, 1st Floor, New Leela Bhawan, Near Punjab National Bank, Patiala - 147001. Punjab. **Pondicherry :** S-8, 100, Jawaharlal Nehru Street, (New Complex, Opp. Indian Coffee House), Pondicherry - 605001. **Puri:** At Darji Pokhari Chakka, Above om Jewellers Hospital Square, Puri Town, Puri – 752001 (Odisha). **Rai Bareli :** 17, Anand Nagar Complex, Rai Bareli - 229001. **Rae Bareilly:** 17, Anand Nagar Complex Opposite Moti Lal Nehru Stadium, SAI Hostel Jail Road, Rae Bareilly – 229001 (Uttar Pradesh). **Raiganj:** Rabindra Pally, Beside of Gitanjali Cenema Hall, P O & P S Raiganj, Dist North Dijajpur, Raiganj – 733134 (West Bengal). **Raipur :** HIG, C-23, Sector – 1, Devendra Nagar, Raipur - 492004. **Rajahmundry :** Cabin 101, D No. 7-27-4, 1st Floor, Krishna Complex, Baruvari Street, T Nagar, Rajahmundry - 533101. **Rajkot :** Office 207 - 210, Everest Building, Harihar Chowk, Opp Shastri Maidan Limda Chowk Rajkot - 360001. **Ranchi :** 4, HB Road, No: 206, 2nd Floor Shri Lok Complex, Ranchi - 834 001. **Rajapalayam:** No 59 A/1, Railway Feeder Road, (Near Railway Station), Rajapalayam – 626117 (Tamil Nadu). **Ratlam :** Dafria & Co.,18, Ram Bagh, Near Scholar's Schoo, Ratlam – 457001. **Ratnagiri :** Orchid Tower, Gr'Floor, Gala No 06, S.V.No.301/Paiki 1/2, Nachane Munciple Aat, Arogya Mandir, Nachane Link Road, At, Post, Tal. Ratnagiri, Dist. Ratnagiri - 415612 (Maharashtra). **Rohtak:** SCO 06, Ground Floor, MR Complex, Near Sonipat Stand Delhi Road, Rohtak-124 001 (Haryana). **Roorkee :** 22 Civil Lines, Ground Floor, Hotel Krish Residence Roorkee - 247667. **Rourkela :** J B S Market Complex, 2nd Floor, Udit Nagar, Rourkela - 769012. **Sagar :** Opp. Somani Automoblies, Bhagwanganj, Sagar - 470002. **Saharanpur :** 1st Floor, Krishna Complex, Opp. Hathi Gate, Court Road, Saharanpur - 247001. **Salem :** No. 2, 1st Floor, Vivekananda Street, New Fairlands, Salem - 636016. **Sambalpur :** C/o Raj Tibrewal & Associates, Opp.Town High School, Sansarak, Sambalpur - 768001. **Sangli:** Jiveshwar Krupa Bldg, Shop. NO.2, Ground Floor, Tilak Chowk, Harbhat Road, Sangli – 416416. **Satara :** 117 / A / 3 / 22, Shukrawar Peth, Sargam Apartment, Satara - 415002. **Satna:** 1st Floor, Shri Ram Market, Beside Hotel Pankaj, Satna - 485001 (Madhya Pradesh). **Shahjahanpur :** Bijlipura, Near Old Distt Hospital , Shahjahanpur - 242001. **Shillong:** 3rd Floor, RPG COMPLEX, Keating Road, Shillong, Meghalaya - 793 001. **Shimla :** 1st Floor, Opp Panchayat Bhawan Main Gate, Bus Stand, Shimla - 171001. **Shimoga :** Nethravathi, Near Gutti Nursing Home, Kuvempu Road, Shimoga - 577201. **Siliguri:** 78 , Haren Mukherjee Road 1st floor Beside SBI Hakimpara Siliguri - 734001. **Sirsa:** Gali No1, Old Court Road, Near Railway Station Crossing, Sirsa - 125055. **Sitapur:** Arya Nagar Near Arya Kanya School, Sitapur - 261001, (Uttar Pradesh). **Solan :** 1st Floor, Above Sharma General Store, Near Sanki Rest house, The Mall, Solan - 173212. **Solapur:** Flat No 109, 1st Floor, A Wing, Kalyani Tower, 126 Siddheshwar Peth, Near Pangal High School, Solapur - 413001. **Sonepat:** 1st Floor, Pawan Plaza, Atlas Road, Subhash Chowk, Sonepat – 131001, Haryana. **Sriganganagar :** 18 L Block, Sri Ganganagar - 335001. **Srikakulam:** Door No 4-4-96, First Floor, Dhanwanthri Complex, Kalinga Road, Opposite Chandramouli Departmental Store, Near Seven Roads Junction, Srikakulam – 532 001 (AP). **Sultanpur:** 967, Civil Lines, Near Pant Stadium, Sultanpur - 228001. **Surat :** Plot No.629,2nd Floor, Office No.2-C/2-D, Mansukhlal Tower, Beside Seventh Day Hospital, Opp.Dhiraj Sons, Athwalines, Surat - 395001. **Surendranagar:** Shop No. 12, M.D.Residency, Swastik Cross Road, Surendranagar - 363001. **Tezpur Sonitpur:** Kanak Tower 1st Floor, Opposite IDBI Bank/ ICICI Bank, C.K.Das Road, Tezpur Sonitpur, Assam – 784001. **Thane:** Dev Corpora, 1st floor, Office no. 102, Cadbury Junction, Eastern Expressway, Thane (West) – 400 601.**Thiruppur:** 1(1), Binny Compound, 2nd Street, Kumaran Road, Thiruppur - 641601. **Thiruvalla :** 1st Floor, Room No - 61(63) International Shopping Mall, Opp. St. Thomas Evangelical Church, Above Thomson Bakery, Manjady, Thiruvalla - 689105 (Kerala). **Tinsukia:** Sanairan Lohia Road,1st Floor, Tinsukia - 786125. **Tirunelveli :** No. F4, Magnem Suraksaa Apartments, Tiruvananthapuram Road, Tamil Nadu, Tirunelveli - 627 002. **Tirupathi :** Shop No : 6, Door No: 19-10-8, (Opp to Passport Office), AIR Bypass Road, Tirupathi – 517501. **Trichur :** Room No. 26 & 27, Dee Pee Plaza, Kokkalai, Trichur - 680001. **Trichy :** No 8, 1st Floor, 8th Cross West Extn, Thillainagar, Trichy - 620018. **Trivandrum:** TC NO: 22/902, 1st floor, Blossom Building, Opposite NSS Karayogam, Sasthamangalam Village P.O, Thiruvananthapuram, Trivandrum – 695010 (Kerala). **Tuticorn:** 1 - A / 25, 1st Floor, Eagle Book Centre Complex, Chidambaram Nagar Main, Palayamkottai Road, Tuticorn **-** 628008. **Udaipur:** 32, Ahinsapuri, Fatehpura circle, Udaipur- 313001. **Ujjain:** Office at 109, 1st Floor, Siddhi Vinayak Trade Center, Shahid Park, Ujjain:- 456010. Madhya Pradesh. **Unjha** (Parent: Mehsana) **:** 10/11, Maruti Complex, Opp. B R Marbles, Highway Road, Mehsana, Unjha - 384170. **Valsad:** Gita Niwas, 3rd Floor, Opp. Head Post Office, Halar Cross Lane, Valsad - 396001. **Vapi :** 208, 2nd Floor Heena Arcade, Opp. Tirupati Tower, Near G.I.D.C. Char Rasta, Vapi – 396195. **Varanasi:** Office no 1, Second floor, Bhawani Market, Building No. D-58/2-A1, Rathyatra, Beside Kuber Complex, Varanasi - 221010. **Vashi:** BSEL Tech Park, B-505, Plot no 39/5 & 39/5A, Sector 30A, Opp. Vashi Railway Station, Vashi, Navi Mumbai – 400705. **Vellore:** Door No 86, BA Complex, 1st Floor, Shop No 3, Anna Salai (Officer Line), Tollgate, Vellore - 632 001 (Tamil Nadu). **Warangal:** A.B.K Mall, Near Old Bus Depot road, F-7, Ist Floor, Ramnagar, Hanamkonda, Warangal - 506001. **Yamuna Nagar:** 124-B/R Model Town, Yamunanagar - 135001. **Yavatmal:** Pushpam, Tilakwadi, Opp Dr Shrotri Hospital, Yavatmal - 445001.

- The online transaction portal of MFU and the authorized Points of Service ("POS") of MF Utilities India Private Limited published on their website at www.mfuindia.com will be considered as Official Point of Acceptance (OPA) for transactions in the Schemes of Kotak Mahindra Mutual Fund.
- In addition to the existing list of Official Points of Acceptance (OPA), MF Central and Cybrilla platforms have also been designated as Official Points of Acceptance for transactions in the Schemes

CAMS, Registrar and Transfer Agent to Kotak Mutual Fund will be the official point of acceptance for electronic transaction received through specified banks, Financial Institutions with whom Kotak Mahindra Mutual Fund has entered or may enter into specific arrangement for purchase/sale/switch of units and secured internet site operated by Kotak Mahindra Mutual Fund.